

UNITED STATES DISTRICT COURT
DISTRICT OF NEW HAMPSHIRE

Chambers of
STEVEN J. McAULIFFE
Chief Judge

Warren B. Rudman
United States Courthouse
55 Pleasant Street
Concord, New Hampshire 03301
Telephone 603-226-7304

July 2, 2009

Honorable Bobby R. Baldock
Judicial Conference
of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

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Dear Judge Baldock:

I appreciated your June 24 letter "clearing" me more than your June 29 letter finding minor omissions in my 2008 FDR. (It is comforting to know that even the staff experts fumble occasionally.)

Of course your June 29 observations are correct, but it is very disappointing to find that the auditing software still doesn't pick up such omissions - I ran it several times (copies enclosed) to insure elimination of such oversights. Perhaps it needs to be tweaked yet again.

Consider this letter an amendment to my 2008 FDR in the following respects:

Part VII line 81 add J in Column C(1) and
T in Column C(2)

line 82 add J in Column C(1) and
T in Column C(2)

line 94 add T in Column C(2)

line 95 add T in Column C(2).

Sincerely,



SJM/lag
Enclosures

McAuliffe_Steven_J

Audit Report - MCAULIFFE, STEVEN J.

The review of your report has been completed. It is designed to remind you of certain reporting requirements and to catch common errors, such as the omission of required information or the selection of an incorrect income or value code.

At this time, the review feature cannot conduct a comparison between Part VII of your current and prior reports to check for assets which were added or omitted without the required transaction information in Column D or an explanation in Part VIII. In addition, the software cannot determine whether the description in Column A lists the full name of a mutual fund, e.g., Merrill Lynch Europacific mutual fund, or the full name of the financial institution where an account is held, e.g., Smith Barney Money Market Fund. It is also difficult for the software to note omissions or errors in IRAs and Trusts when the aggregate reporting method is used but the dash is not included before each asset in the aggregate account.

Most items flagged by this review require correction or completion. Certain additional items are included as general reminders. After making your corrections, please repeat this review process to ensure that you did not inadvertently introduce additional errors into your report.

The review of your report noted the following:

Reminders

Part I. Positions

Part I, Positions, line 3, the reporting of an organization or entity as "trust" or "estate" requires a listing of the assets in that trust or estate in Part VII. Further, if the trust or estate has no assets, an explanation of that fact must be included in Part VIII.

Errors

Part VII. Investments and Trusts

Part VII, Investments and Trusts, line 11, you are not required to report your Thrift Savings Plan (TSP).

If this report is unclear or you have a question about its advice, please call the staff of the Committee on Financial Disclosure, at (202) 502-1850.

Audit Report - MCAULIFFE, STEVEN J.

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Errors

Part VII. Investments and Trusts

Part VII, Investments and Trusts, line 1, if this asset was not owned on the last day of the reporting period, Columns C(1) and C(2) should be left blank. If this transaction is only a partial disposition, add "(part)" to the description in Column D(1).

Part VII, Investments and Trusts, line 11, you are not required to report your Thrift Savings Plan (TSP).

304 Part VII, Investments and Trusts, line 33, if the entry in column A is not a header then, Column B must be completed for each asset to show the income code in Column B(1) and the type of income in Column B(2). If no income was earned, Column B(1) should be left blank and the word "None" should be entered in Column B(2).

Part VII, Investments and Trusts, line 71, if this asset was not owned on the last day of the reporting period, Columns C(1) and C(2) should be left blank. If this transaction is only a partial disposition, add "(part)" to the description in Column D(1).

Part VII, Investments and Trusts, line 79, if the entry in column A is not a header then, Column B must be completed for each asset to show the income code in Column B(1) and the type of income in Column B(2). If no income was earned, Column B(1) should be left blank and the word "None" should be entered in Column B(2).

Audit Report - MCAULIFFE, STEVEN J.

Part VII, Investments and Trusts, line 80, if the entry in column A is not a header then, Column B must be completed for each asset to show the income code in Column B(1) and the type of income in Column B(2). If no income was earned, Column B(1) should be left blank and the word "None" should be entered in Column B(2).

Part VII, Investments and Trusts, line 84, if the entry in column A is not a header then, Column B must be completed for each asset to show the income code in Column B(1) and the type of income in Column B(2). If no income was earned, Column B(1) should be left blank and the word "None" should be entered in Column B(2).

Part VII, Investments and Trusts, line 85, please provide the value code for the transaction in Column D(3).

Part VII, Investments and Trusts, line 89, if the entry in column A is not a header then, Column B must be completed for each asset to show the income code in Column B(1) and the type of income in Column B(2). If no income was earned, Column B(1) should be left blank and the word "None" should be entered in Column B(2).

Part VII, Investments and Trusts, line 97, if the entry in column A is not a header then, Column B must be completed for each asset to show the income code in Column B(1) and the type of income in Column B(2). If no income was earned, Column B(1) should be left blank and the word "None" should be entered in Column B(2).

Part VII, Investments and Trusts, line 103, if the entry in column A is not a header then, Column B must be completed for each asset to show the income code in Column B(1) and the type of income in Column B(2). If no income was earned, Column B(1) should be left blank and the word "None" should be entered in Column B(2).

Part VII, Investments and Trusts, line 127, if this asset was not owned on the last day of the reporting period, Columns C(1) and C(2) should be left blank. If this transaction is only a partial disposition, add "(part)" to the description in Column D(1).

Part VII, Investments and Trusts, line 133, if the entry in column A is not a header then, Column B must be completed for each asset to show the income code in Column B(1) and the type of income in Column B(2). If no income was earned, Column B(1) should be left blank and the word "None" should be entered in Column B(2).

Part VII, Investments and Trusts, line 135, if the entry in column A is not a header then, Column B must be completed for each asset to show the income code in Column B(1) and the type of income in Column B(2). If no income was earned, Column B(1) should be left blank and the word "None" should be entered in Column B(2).

Part VII, Investments and Trusts, line 144, if the entry in column A is not a header then, Column B must be completed for each asset to show the income code in Column B(1) and the type of income in Column B(2). If no income was earned, Column B(1) should be left blank and the word "None" should be entered in Column B(2).

Part VII, Investments and Trusts, line 146, if the entry in column A is not a header then, Column B must be completed for each asset to show the income code in Column B(1) and the type of income in Column B(2). If no income was earned, Column B(1) should be left blank and the word "None" should be entered in Column

Audit Report - MCAULIFFE, STEVEN J.

B(2).

Part VII, Investments and Trusts, line 149, if the entry in column A is not a header then, Column B must be completed for each asset to show the income code in Column B(1) and the type of income in Column B(2). If no income was earned, Column B(1) should be left blank and the word "None" should be entered in Column B(2).

Part VII, Investments and Trusts, line 152, if the entry in column A is not a header then, Column B must be completed for each asset to show the income code in Column B(1) and the type of income in Column B(2). If no income was earned, Column B(1) should be left blank and the word "None" should be entered in Column B(2).

If this report is unclear or you have a question about its advice, please call the staff of the Committee on Financial Disclosure, at (202) 502-1850.

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCAULIFFE, STEVEN J.		2. Court or Organization U.S. DISTRICT COURT - N.H.		3. Date of Report 05/14/2009	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)		5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final		6. Reporting Period 01/01/2008 to 12/31/2008	
5b. ☐ Amended Report					
7. Chambers or Office Address WARREN B. RUDMAN COURTHOUSE 55 PLEASANT STREET, ROOM 416 CONCORD, N.H. 03301		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.					

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Fellow	New Hampshire Bar Foundation
2. Director	Challenger Center for Space Science Education
3. Trustee	Trust #1
4. Shareholder (50 percent)	Pleasant Lake Air, Inc., LLC
5. Partner	Pinchers' Investment Group (Neighborhood Investment Club)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Citibank	Credit Card	J
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -BHP Billiton Ltd ADR									
19. -Gilead Sciences Inc.									
20. -MEMC Electr. Matls.					Sold	2/18	J	A	Mkt
21. -Quest Diagnostics									
22. -Suntech Power Hldgs.									
23. -Unilever NV									
24. -USG Corp.					Sold	5/10	J	A	Mkt
25. -Zebra Technologies									
26. -CDN Natl Rwy Co.					Buy	6/16	J		Mkt
27. -CIT Group New					Buy	8/12	J		Mkt
28. -Comp. De Saneamento Basico Estado DeSao Paulo					Buy	1/11	J		Mkt
29. -El Paso Corp.					Buy	6/16	J		Mkt
30. -Harleysville Natl Co. PA					Buy	5/12	J		Mkt
31. -Vestas Wind Syst.					Buy	2/19	J		Mkt
32. -3M Co.					Buy	11/14	J		Mkt
33. Bank of America Corp.		None			Sold	1/3	J	A	Mkt
34. CVS Caremark Corp.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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[illegible][illegible]

T =Cash Market

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52. Citizens Commo. Co.	A	Dividend			Sold	5/14	J	A	Mkt
53. Kinder Morgan Energy LP	A	Dividend	J	T					
54. Alaska Com Sys Grp Inc.	A	Dividend			Sold	2/11	J	A	Mkt
55. Penn VA Resource Pt. LP	A	Dividend	J	T					
56. Adobe Systems, Inc.	A	Dividend			Sold	12/12	J	A	Mkt
57. Cisco Systems, Inc.	A	Dividend	J	T					
58. Goodrich Corp.	A	Dividend			Sold	12/12	J	A	Mkt
59. Myriad Genetics, Inc.	A	Dividend	K	T					
60. Shire PLC ADR	A	Dividend			Sold	7/10	J	A	Mkt
61. Williams Cos., Inc.	A	Dividend	J	T					
62. Ishares TR Russell 2000 Index Fund	A	Dividend	J	T					
63. Ishares TR Russell Midcap Val. Index Fund	A	Dividend	J	T					
64. Paragon Shipping	A	Dividend			Sold	4/30	J	A	Mkt
65. Diana Shipping	A	Dividend			Sold	11/17	J	A	Mkt
66. Norfolk South. Corp.	A	Dividend	J	T					
67. Kraft Foods	A	Dividend			Sold	1/18	J	A	Mkt
68. American Eagle Outfitters	A	Dividend			Sold	6/2	J	A	Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. Altria Group	A	Dividend			Sold	1/17	J	A	Mkt
70. Lloyds TSB Group	A	Dividend			Sold	12/11	J	A	Mkt
71. Blackrock ENH Div Tr Com	A	Dividend			Sold	1/22	J	A	Mkt
72. Eaton Vance Tax Mng Buywrite Inc.	A	Dividend			Sold	3/6	J	A	Mkt
73. Eaton Vance Tax Mng.	A	Dividend			Sold	3/6	J	A	Mkt
74. Nicholas-Applegate Intl & Prem.	A	Dividend	J	T					
75. Alpine Dyn. Div. Fund	A	Dividend			Sold	2/25	J	A	Mkt
76. Blackrock Real Asset Tr	A	Dividend	J	T					
77. IRA Ishares Russell Mid-cap	A	Dividend	K	T					
78. IRA Saytem Comp. Svcs.	A	Dividend	J	T					
79. Royal Bank of Canada	A	Dividend	J	T	Buy	7/10	J		Mkt
80. Mindray Medical Intl Ltd	A	Dividend	J	T	Buy	7/10	J		Mkt
81. Ishares Trust Russell Midcap Growth Index Fund	A	Dividend			Buy	7/10	J		Mkt
82. McDonald's Corp.		None			Buy	12/11	J		Mkt
83. American Capital Ltd.	A	Dividend			Buy	2/5	J		Mkt
84. American Capital Ltd.	A	Dividend			Sold	11/17	J	A	Mkt
85. Apple, Inc.	A	Dividend	J	T	Buy	2/26	J		Mkt

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86. Johnson & Johnson, Inc.	A	Dividend	J	T	Buy	4/30	J		Mkt
87. Deere & Co.	A	Dividend	J	T	Buy	4/30	J		Mkt
88. Corning, Inc.	A	Dividend			Buy	5/14	J		Mkt
89. Corning, Inc.	A	Dividend			Sold	11/21	J	A	Mkt
90. Praxair	A	Dividend	J	T	Buy	5/14	J		Mkt
91. Express Scripts, Inc.	A	Dividend	J	T	Buy	5/14	J		Mkt
92. CR Bard, Inc.	A	Dividend	J	T	Buy	5/14	J		Mkt
93. Colgate-Palmolive Co.	A	Dividend	J	T	Buy	5/14	J		Mkt
94. General Electric	A	Dividend	J		Buy	9/17	J		Mkt
95. IBM Corp.	A	Dividend	J		Buy	9/24	J		Mkt
96. Ishares S&P 500 Index Fd	A	Dividend			Buy	10/16	J		Mkt
97. Ishares S&P 500 Index Fd	A	Dividend			Sold	12/11	J	A	Mkt
98. Wachovia Corp. New Pfd.		None			Buy	10/22	J		Mkt
99. Wachovia Corp. New Pfd.		None			Sold	10/24	J	A	Mkt
100. S&P 500 Depository Receipt		None			Buy	10/27	J		Mkt
101. S&P 500 Depository Receipt		None			Sold	11/7	J	A	Mkt
102. Powershares QQQ Trust Unit	A	Dividend	J	T	Buy	11/12	J		Mkt

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Federated Prime Obligation Fd (Money Market Fund)	A	Dividend	J	T	Buy	4/1	J		Mkt
104. Bank of Am. Corp. [C]	A	Dividend	J	T					
105. Lowes Cos., Inc. [C]	A	Dividend	J	T					
106. BP PLC ADR [C]	A	Dividend	J	T					
107. Fed. Prime Obig. MM Fund [C]	A	Dividend	J	T					
108. Wellpoint, Inc. [C]	A	Dividend			Sold	4/30	K	A	Mkt
109. Kinder Morgan Energy Ptnrs. LP [C]	A	Dividend	K	T					
110. CVS Caremark Corp. [C]	A	Dividend	J	T					
111. Cemex S.A. [C]	A	Dividend	J	T					
112. Citigroup Inc. [C]	A	Dividend			Sold	9/24	J	A	Mkt
113. NFJ Dvd Int & PR FD [C]	A	Dividend	K	T					
114. Enterprise Prod Pt [C]	A	Dividend	K	T					
115. MFS Intl Div FD [C]	A	Dividend	J	T					
116. Chesapeake Energy [C]	A	Dividend	J	T					
117. Citizens Commo [C] Name Change: Frontier Comm. Corp.	A	Dividend	K	T					
118. Joy Global [C]	A	Dividend			Sold	9/17	J	A	Mkt
119. Penn VA Res. Ptnrs. [C]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MCAULIFFE, STEVEN J.

Date of Report

05/14/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Alaska Comm. Sys [C]	A	Dividend			Sold	1/30	J	A	Mkt
121. Alpine Ser Tr [C]	A	Dividend			Sold	3/4	K	A	Mkt
122. Alliance Resource Ptnrs [C]	A	Dividend	J	T					
123. Altria Group [C]	A	Dividend			Sold	11/17	J	A	Mkt
124. Kraft Foods, Inc. [C]	A	Dividend			Sold	1/18	J	A	Mkt
125. Paragon Shipping [C]	A	Dividend			Sold	4/30	J	A	Mkt
126. Diana Shipping Inc. [C]	A	Dividend			Sold	11/17	J	A	Mkt
127. Lloyd's TSB Grp [C]	A	Dividend			Sold	12/11	K	A	Mkt
128. Blackrock Real Asset [C]	A	Dividend	J	T					
129. Blackrock Cap & Inc Str [C]	A	Dividend	J	T					
130. Eaton Vance Tax Mng Buywrite Inc. [C]	A	Dividend	K	T					
131. Eaton Vance Tax Mng [C]	A	Dividend	K	T					
132. Nicholas-Applegate Intl. & Prem. Str. [C]	A	Dividend	J	T					
133. American Capital Ltd. [C]	A	Dividend			Buy	2/5	J		Mkt
134. American Capital Ltd. [C]	A	Dividend			Sold	11/17	J	A	Mkt
135. AIG [C]		None			Buy	3/6	J		Mkt
136. AIG [C]		None			Sold	6/10	J	A	Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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MC AULIFFE, STEVEN J.

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137. Johnson & Johnson [C]	A	Dividend	J	T	Buy	4/30	J		Mkt
138. Deere & Co. [C]	A	Dividend	J	T	Buy	4/30	J		Mkt
139. Aflac, Inc. [C]	A	Dividend	J	T	Buy	6/10	J		Mkt
140. General Electric [C]	A	Dividend	J	T	Buy	9/17	J		Mkt
141. Apple, Inc. [C]	A	Dividend	J	T	Buy	9/24	J		Mkt
142. IBM Corp. [C]	A	Dividend	J	T	Buy	9/24	J		Mkt
143. Ishares S&P 500 Index Fund [C]		None			Buy	10/16	J		Mkt
144. Ishares S&P 500 Index Fund [C]		None			Sold	10/22	J	A	Mkt
145. Wachovia Corp. New Pfd [C]		None			Buy	10/22	J		Mkt
146. Wachovia Corp. New Pfd [C]		None			Sold	10/24	J	A	Mkt
147. Merrill Lynch Depository Sh. Rep. [C]	A	Dividend	J	T	Buy	10/22	J		Mkt
148. Standard & Poor's 500 Depository Receipt [C]		None			Buy	10/27	J		Mkt
149. Standard & Poor's 500 Depository Receipt [C]		None			Sold	11/7	J	A	Mkt
150. Powershares QQQ Trust Unit [C]	A	Dividend	J	T	Buy	11/12	J		Mkt
151. Ishares S&P 500 Index Fd [C]		None			Buy	11/17	J		Mkt
152. Ishares S&P 500 Index Fd [C]		None			Sold	12/11	J	A	Mkt
153. McDonald's Corp. [C]	A	Dividend	J	T	Buy	12/11	J		Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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154. RMA Tax-Free Fund [K]	A	Interest	J	T					
155. UBS Pace MM Inv Fund Class P [K]	A	Interest	J	T					
156. Am Funds Growth Fund of Am Class F [K]	A	Dividend	J	T					
157. John Hancock Classic Val Fund Class A [K]	A	Dividend	J	T					
158. Oppenheimer Main St. Small Cap Fd A [K]	A	Dividend	J	T					
159. RS Value Fund Class A [K]	A	Dividend	J	T					
160. Thornburg Intl Value Fund Class A [K]	A	Dividend	J	T					
161. DWS Core Fixed Income Fund Class A [K]	A	Dividend	J	T					
162. FT Templeton Global Bond A [K]	A	Dividend	J	T					
163. Manager's Bond Fund [K]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PART I. POSITIONS.

Pleasant Lake Air, Inc., LLC, is a corporation formed to own a Cessna 182 Skylane single engine private airplane. I own a one-half interest in the corporation (and thus the airplane).

PART VI. LIABILITIES.

I own a summer cottage with a former law partner, Edward E. Shumaker, III, as tenants in common, which property is mortgaged in part. That liability is not disclosed since it is secured by real property which is a [REDACTED]

PART VII. INVESTMENTS AND TRUSTS.

Trust #1 assets are listed in Part VII, identified by the designation '[c]."

PART VII. INVESTMENTS AND TRUSTS.

Line 9. Pinchers' Investment Group Ptnshp Share (specific holdings noted by " - " below) (investment club - aggregated per suggestion of Committee on Financial Disclosure 6/11/08).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544