

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

COPY
Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Royal, Charles A.	2. Court or Organization U.S. Middle District - Georgia	3. Date of Report 05/18/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U. S. Courthouse 475 Mulberry Street Macon, GA 31202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executor	Estate #1
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Spring '09	Mercer University School of Law	\$2,000.00
2. Qrt. '09	West Services, Inc. (Book royalties)	\$2,677.90
3. Fall '09	Mercer University School of Law	\$2,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	First Presbyterian Day School - Math Teacher
2. 2009	Covenant Academy - Math Teacher
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Life	A	Dividend	L	T					
2. SunTrust Retirement Reserve Fund	B	Int./Div.	N	T					
3. MetaMorphix, Inc.		None	J	T					
4. Polywad, Inc.		None	J	T					
5. SunTrust Account #3	A	Interest	J	T					
6. SunTrust Account #4	A	Interest	J	T					
7. SunTrust Account #7	A	Interest	J	T					
8. SunTrust Account #9		None	J	T					
9. Edward Jones Money Market Fund	A	Dividend	J	T					
10. Estate #1		None	J	T					
11. iShares, Inc. - MSCI Brazil	D	Dividend	K	T					
12. American Balanced Fund	A	Dividend	J	T					
13. Capital Income Builder Fund	A	Dividend	J	T					
14. Capital World Growth & Income Fund	A	Dividend	J	T					
15. Fundamental Investors Fund	A	Dividend	J	T					
16. Growth Fund of America	A	Dividend	J	T					
17. Income Fund of America	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Investment Company of America Fund	A	Dividend	J	T					
19. New Perspective Fund	A	Dividend	J	T					
20. New World Fund	A	Dividend	J	T					
21. Seaspan Corp	A	Dividend	J	T					
22. Alcoa, Inc	A	Dividend	K	T					
23. Atlas Energy Resources	A	Dividend	K	T					
24. Berkshire Hathaway Inc		None	M	T					
25. Dow Chemical Co	A	Dividend	K	T					
26. DuPont E I De Nemours Co	B	Dividend	K	T					
27. Exelixis Inc		None	L	T					
28. General Electric	A	Dividend			Sold	01/28/09	L	A	
29. General Electric	A	Dividend			Buy	03/04/09	J		
30. General Electric	A	Dividend			Buy	03/09/09	J		
31. General Electric	A	Dividend			Sold	07/13/09	K	D	
32. iShares Inc MSCI Singapore	A	Dividend	J	T					
33. iShares Inc MSCI Sweden	A	Dividend	K	T					
34. Mead West Na Co Corp	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Penn West Energy	A	Dividend			Sold	06/30/09	J	B	
36. Renesola LTD		None	J	T	Buy	06/30/09	J		
37. Rexahn Pharmaceuticals		None	J	T	Buy	06/30/09	J		
38. San Juan Basin Royalty Trust	A	Dividend	K	T					
39. TICC Capital Corp		None			Sold	04/27/09	J	A	
40. TICC Capital Corp		None			Sold	07/07/09	J	A	
41. TICC Capital Corp		None	J	T	Sold (part)	10/07/09	J	A	
42. Sadia SA ADR		None	J	T	Buy	03/05/09	J		
43. Seagate Technologies		None	K	T	Buy	02/10/09	J		
44. American Oriental Bioengineering Com		None	J	T	Buy	04/17/09	J		
45. Annaly Capital Mgmt Inc	B	Dividend	K	T	Buy	02/11/09	J		
46. Anworth Mtg Asset Corp	A	Dividend	J	T	Buy	12/17/09	J		
47. Babcock & Brown Air Ltd		None	J	T	Buy	12/17/09	J		
48. Centurytel Inc	B	Dividend	K	T	Buy	07/30/09	K		
49. Chesapeake Energy Corp	A	Dividend	K	T	Buy	07/30/09	K		
50. China Sunergy Co Ltd		None	J	T	Buy	06/30/09	J		
51. France Telecom	A	Dividend			Buy	07/30/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		(1) Type (e.g., buy, sell, redemption)	D. Transactions during reporting period			
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)		(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. France Telecom	A	Dividend			Sold	10/19/09	K	B	
53. BRF Brasie Foods	A	Dividend			Sold	10/30/09	J	A	
54. Halozyne Therapeutics Inc		None	J	T	Buy	07/30/09	J		
55. Linn Energy LLC	B	Dividend	K	T	Buy	02/10/09	K		
56. MFA Fine Inc	A	Dividend	J	T	Buy	12/17/09	J		
57. Microsoft Corp	A	Dividend	K	T	Buy	01/29/09	K		
58. Micron Technology Inc		None	J	T	Buy	12/17/09	J		
59. Northstar Realty Fin Corp		None	J	T	Buy	12/17/09	J		
60. Palomar Medical Technologies Inc		None	J	T	Buy	12/17/09	J		
61. Pfizer Inc	A	Dividend	K	T	Buy	02/04/09	J		
62. Power One Inc		None	J	T	Buy	12/17/09	J		
63. Atlas Energy Inc	A	Dividend	K	T					
64. Atlas Amer Inc		None	J	T	Buy	10/20/09	J		
65. Atlas Energy Resources		None	J	T					
66. Seattle Genetics Inc		None	J	T	Buy	07/30/09	J		
67. Silicon Image Inc		None	J	T	Buy	12/17/09	J		
68. Suntrust Bank Inc	A	Dividend	K	T	Buy	02/27/09	J		

1. Income Gain Codes

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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69. WSP Holdings Ltd		None	J	T	Buy	12/17/09	J		
70. Williams Pipeline Partners	A	Dividend	K	T	Buy	07/30/09	K		
71. Yingli Green Energy Holding Co		None	K	T	Buy	02/27/09	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

I. POSITIONS

I have no beneficial interest in the estate. There are no assets owned by the estate. There have been no acquisitions, dispositions or capital gains. This response includes now and at all times during the reporting period.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544