AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial)	2. Court or Organization	3. Date of Report
Smith, Jr., Charles L	Northern District of Alabama	5/14/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5. Report Type** (check appropriate type)	**6. Reporting Period**
U.S. District Judge - Active	○ Nomination, Date ○ Initial ◉ Annual ○ Final	1/1/2003 to 12/31/2003
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
United States Courthouse 101 Holmes Avenue Huntsville, Ala. 35801	Reviewing Officer_____ Date_____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☑ NONE - (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.	1981	Judicial Retirement Fund of Alabama (Retirement fund of former employer, State of Alabama), no control
2.	1981	Madison County, Alabama Retirement Fund (Retirement fund of former employer, Madison County), no control

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Incom

☑ NONE - (No reportable non-investment income.)

	DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
1.			

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☐ NONE - (No reportable non-investment income.)

	DATE	SOURCE AND TYPE
1.	2003	Madison County Commission (Salary)

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☑ NONE - (No such reportable reimbursements.)

	SOURCE	DESCRIPTION
1.		

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☑ NONE - (No such reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ NONE - (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Regions Bank	Rental Property No. 2, Madison, Ala.	L
2.	Regions Bank	Rental Property No. 3, Baldwin County, Ala.	M

FINANCIAL DISCLOSURE REPORT

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VII. INVESTMENTS and TRUSTS – income, value, transcations (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A -H)	B. (2) Type (e.g. div. rent. or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, merger, redemption)	D. If not exempt from disclosure (2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. U.S. Savings Bonds-Series EE	A	Interest	J	T					
2. U.S. Savings Bonds-Series EE	A	Interest	J	T	Buy Biweekly	2003			
3. Regions Financial Corp.	C	Dividend	L	T	Partial Sale	6/24	J	A	
4.					Partial Sale	9/16	J	A	
5. Citi Bank Money Fund	A	Interest	J	T	Partial Sale	9/26	J	A	
6. Meadowbrook, Ltd. 4.75% Int. in limited partnership	B	Interest	J	U					
7. Farm	D	Rent (Crop)	N	Q					
8. Farm House	C	Rent (House)	L	Q					
9. Conn. Mutual Life Ins. Growth Acct.	A	Dividend	J	T					
10. Conn. Mutual Life Ins. Intl. Equity Acct.	A	Dividend	J	T					
11. Conn. Mutual Life Ins. Total Return Acct.	A	Dividend	J	T					
12. Crown Life Ins. - Cash Value	A	Dividend	J	T					
13. Adtran, Inc.					Partial Sale	2/24	J	A	
14.					Sold All	5/13	K	E	
15. Apple Computer, Inc.		None	J	T	Partial Sale	9/16	J		
16. AOL-Time Warner, Inc.		None	J	T	Partial Sale	9/16	J		
17. Putnam Voyager Fd Cl B	A	Dividend	J	T					
18. Clorox Co.	A	Dividend	K	T					

1. Income/Gain Codes: (See Columns B1 and D4) A = $1,000 or less; B = $1,001-$2,500; C = $2,501-$5,000; D = $5,001-$15,000; E = $15,001-$50,000; F = $50,001-$100,000; G = $100,001-$1,000,000; H1 = $1,000,001-$5,000,000; H2 = More than $5,000,000
2. Value Codes: (See Columns C1 and D3) J = $15,000 or less; K = $15,001-$50,000; L = $50,001-$100,000; M = $100,001-$250,000; N = $250,000-$500,000; O = $500,001-$1,000,000; P1 = $1,000,001-$5,000,000; P2 = $5,000,001-$25,000,000; P3 = $25,000,001-$50,000,000; P4 = $More than $50,000,000
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19. OPENTV Corp Class A		None	J	T					
20. WorldCom Group Corp		None	J	T					
21. WorldCom, Inc. GA-New MCI Group	A	Dividend	J	T					
22. Defered Comp Plan - PEBSCO Nationwide Fixed	B	Interest	K	T	Buy Biweek	2003	J	A	
23. Madison Co. AL Retirement Fund	B	Interest	K	T					
24. Deferred Comp Plan - PEBSCO Fidelity Magellan	B	Dividend	K	T					
25. Deferred Comp Plan - PEBSCO American Century Ultra	B	Dividend	K	T					
26. Deferred Comp Plan - PEBSCO Putnam Voyager Fd Cl A	B	Dividend	K	T					
27. Deferred Comp Plan - PEBSCO Fidelity Contrafund	B	Dividend	K	T					
28. Am Family Broadcasting Corp (Retirement)		None	J	T					
29. Fix Corp Intl., Inc.		None	J	T	Partial Sale	12/26	J		
30. First Merit Corp	A	Dividend	J	T					
31. Sanmina-SCI Corp		None	J	T					
32. Deferred Comp Plan - PETSCO S&P 500 Index	A	Dividend	J	T					
33. PACT	A	Interest	J	T					
34. Scholars Choice	B	Dividend	J	T	Buy	3/21	J		
35.					Buy	6/19	J		
36.					Buy	9/17	J		

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37.					Buy	12/18	J		
38. Weyhauser	A	Dividend	J	T					
39. Easy Street Investors Corp - [redacted]		None	K	T					
40. -- Davis Selected - Large Cap - Money Manager Acct	E	Dividend	M	T					
41. -- National Asset Managers - Money Manager Acct	A	Dividend			Sold	4/13	L	A	
42. -- SBAM Mid/Small Cap Growth - Money Manager Acct	A	Dividend			Sold	4/13	L	A	
43. -- TCW Large Cap Growth - Money Manager Acct	A	Dividend	M	T					
44. -- Johnson & Johnson									
45. -- Unitedhealth Group, Inc.									
46. -- Pepsico, Inc.									
47. -- Adtran, Inc.					Sold	10/06	L	F	
48. -- Nextel Telecommunications, Inc.									
49. -- Maxim Intergrated Prod.									
50. -- Supertex									
51. -- Miller Industries, Inc. Tenn.		None			Sold	11/05	J		See note in Part VIII
52. -- First Tenn. Nat. Corp.									
53. -- QWest Comm. Intl.									
54. -- AT&T Corp		None			Sold	8/04	J		

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55. -- Cisco Sys, Inc.									
56. -- Exxon Mobil Corp									
57. -- ICOS Corp									
58. -- Pfizer, Inc.									
59. -- Alliant TechSystems, Inc.									
60. -- Corp Exec Brd Co					Sold	8/04	K	E	
61. -- CyberSource Corp									
62. -- St. Joe Company									
63. -- United Technologies Corp.									
64. -- Varsity Group									
65. -- Sanmina SCI Corp									
66. -- Lowe's Companies, Inc.									
67. -- Goldman Sachs Group									
68. -- Lincoln National Corp.									
69. -- Principal Financial Group									
70. -- Agere Systems Class A		None			Sold	8/04	J		
71. -- Agere System Class B		None			Sold	8/04	J		
72. -- CopyTele Class A					Sold	8/05	J	A	

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73. -- Comcast		None			Sold	8/04	K		
74. -- Rorer Large Cap Core Fund	D	Dividend	M	T	Buy	4/15	L		
75. -- Wachovia MM Fund	D	Dividend	M	T	Buy	4/15	L		
76. -- SouthTrust Corp.	A	Dividend	K	T	Buy	8/04	K		
77. -- WP Stewart & Co. LTD	A	Dividend	K	T	Buy	8/04	K		
78. -- Net2Phone, Inc.		None	J	T	Buy	10/22	J		
79. ITC DeltaCom, Inc. - New Issue		None	J	T					See note in Part VIII
80. American Century Value Fund	A	Dividend	J	T					
81. Rental Property No. 2, Madison, Ala.		None			Sold	10/02	L	E	Tom Hislop
82. Education IRA No. 1									
83. -- SB Aggressive Growth Funds CL B	A	Dividend	J	T					
84. -- SB Money Funds Cash Port CL A	A	Interest	J	T					
85. -- Coca Cola Bottling Co.	A	Dividend	J	T	Buy	2/11	J		
86. Education IRA No. 2									
87. -- SB Aggressive Growth Funds CL B	A	Dividend	J	T					
88. -- SB Money Funds Cash Port CL A	A	Interest	J	T					
89. Education IRA No. 3									
90. -- SB Aggressive Growth Funds CL B	A	Dividend	J	T					

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91. – SB Money Funds Cash Port CL A	A	Interest	J	T					
92. Education IRA No. 4									
93. – SB Aggressive Growth Funds CL B	A	Dividend	J	T					
94. – SB Money Funds Cash Port CL A	A	Interest	J	T					
95. Coca Cola Bottling Co.	A	Dividend	J	T	Buy	2/11	J		
96. eBay, Inc.		None	J	T	Buy	11/20	J		
97. Proctor & Gamble	A	Dividend	J	T	Buy	1/24	J		
98. Johnson & Johnson	A	Dividend	K	T	Buy	2/12	J		
99.		None			Partial Sale	8/18	J		
100.		None			Partial Sale	9/18	J		
101.		None			Partial Sale	11/17	J		
102. Rental Property No. 3, Baldwin County, Ala.		None			Buy	10/03	M		Craig F. Dyas
103. Trust No. 1 (X)	A	Dividend	J	T					
104. – Citibank NA Bank Deposit Program	A	Interest	J	T					
105. – Apple Computer, Inc.		None	J	T					
106. – Fix Corp. Intl., Inc.		None	J	T					
107. – ITC DeltaCom, Inc. - New Issue		None	J	T					See note in Part VIII
108. – Johnson & Johnson	A	Dividend	J	T	Buy	2/11	J		

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109.		None			Sold	6/24	J		
110. – Lockheed Martin Corp.	A	Dividend	J	T	Buy	2/11	J		
111. – Regions Financial Corp.	A	Dividend	J	T	Sold	6/24	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

Re: Part VII, Line 52: Stock in Miller Industries, Inc. of Tenn., a security held in the portfolio of Easy Street Investors Corp, was sold on 11/05/02. This transaction should have been reported in my 2002 Financial Disclosures, but was omitted through error.

Re: Part VII, Lines 79 & 107: Stock in ITC DeltaCom, Inc. now is included among the assests of Trust No. 1.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature  Date May 14, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544