

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PADOVA, JOHN R.	2. Court or Organization EASTERN DISTRICT OF PA	3. Date of Report 05/07/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR DISTRICT COURT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2011 to 12/31/2011
7. Chambers or Office Address 17613 U.S. COURTHOUSE 601 MARKET STREET PHILADELPHIA, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member of Patient Advocate Board	Kimmel Cancer Center
2.	Member of Advisory Board	Regina Academies
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. N.Y. Intellectual Property Law Assoc., Inc.	3/25/2011 - 3/26/2011	New York, NY	Annual dinner and program	transportation, cab, tips, hotel, meals for Judge and spouse.
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
5. _____	_____	_____	_____	_____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. VKM PA QUALITY MUN TR (INVESCO)	A	Interest	J	T					
2. PNC BANK CHKG	A	Interest	K	T					
3. IRA PNC	A	Interest	K	T					
4. Fed Pa Mun M.M. -RBC M.M. Fund	A	Interest	J	T					
5. Dreyfus Pa M.M.	A	Interest	J	T					
6. Boston Capital 17	A	Interest	J	T					
7. Bank Chkg & Sav. Citizens Bank	A	Interest	J	T					
8. PA Econ. Dev. Fin. G&B 12-1-30	A	Interest	J	T					
9. Exelon	B	Dividend	K	T					
10. USAA Sav-Act	A	Interest	K	T					
11. Boston Cap Ser 23	A	Dividend	J	T					
12. Boston Cap SER 15 & 18	A	Interest	J	T					
13. JMS/DLJSC/Phila Inv. RETIRE. PLAN ACCT IRA	E	Div & Int	P1	T					
14. - Pershing Gov Act-mm	A	Dividend	O	T					
15. - ACM Govt. Sec. Fund-name change- Alliance Bernstein Income	B	Dividend	K	T					
16. - Alliance World Fund-name change- Alliance Bernstein Global	D	Dividend	L	T					
17. - Commercial Act Lease Realty, Inc.-Natl. Retail	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Aspen Group Res. Corp.		None	J	T					
19. - Templeton Emer Mktg. Inc.	B	Dividend	K	T					
20. - Pepsico Cap Res Inc. 4/01/11		None			Sold	04/01/11	L		
21. - U.S. Treas SEC Strip 11/15/11		None			Redeemed	11/15/11	N		
22. - Van Kampen Amer Cap Sr Inc. INVESCO	B	Dividend	K	T					
23. - Cons Edison Ins. Com.	D	Dividend	M	T					
24. - Microsoft (IRA)	B	Dividend	L	T					
25. - Intel (IRA)	B	Dividend	L	T					
26. - Citigroup Inc. Com	A	Dividend	J	T					
27. - Public Service Enter (IRA)	C	Interest	L	T					
28. - A 123 Sys Inc. Com (IRA)		None	J	T					
29. - Exelon (IRA)	D	Dividend	M	T					
30. Fid. Adv. Sr 11 Gro Opty Fd. B	A	Dividend	J	T					
31. Putnam Inv Fds - Gro B	A	Dividend	J	T					
32. Berks Cty. Pa M.A. - Phoebe	A	Interest			Sold	04/27/11	J		
33. New Jersey Econ Dev 10/23	A	Interest			Sold	08/29/11	J		
34. Evergreen Money Mkt 9 -now Wells Fargo	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Vanguard Pa L/T Tax Ex Fund	C	Interest	L	T	Sold (part)	02/10/11	L		
36. Vanguard M/M - IRA	A	Dividend	M	T					
37. Vanguard B/M - IRA	D	Dividend	M	T					
38. Vanguard 500 - IRA	C	Dividend	N	T					
39. American Century	A	Dividend	K	T					
40. Ohio State Env. 5/1/29	B	Interest			Redeemed	10/19/11	K		
41. PNC Bank Sav. & Ckg	A	Interest	L	T					
42. NJ Econ Dev - Seashore 4-1-31	A	Interest			Redeemed	04/01/11	K	A	
43. Allegheny Co. Pa. IDA 6-6	A	Interest			Redeemed	09/01/11	J		
44. Del. Co. Pa. Auth. Cabrini	B	Interest	K	T					
45. Nuveen Select	B	Dividend	K	T					
46. Pa. St. Tpk. Comm Oil	B	Interest	K	T					
47. PA St Ref-1st Ser. 4.5	A	Interest	J	T					
48. PA St Go - 5.0	A	Interest	J	T					
49. Stroudsburg, PA - 5.0	A	Interest	J	T					
50. Economy, PA - 5.0	B	Interest	K	T					
51. Mtgy. Co. IDA Ret. 5.250	B	Interest	K	T					

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52. Union Co. Hosp. - 5.0	B	Interest	K	T					
53. Lehigh Co PIDA 9/1/29	A	Interest	J	T					
54. Eaton Vance-Tx mg. (Global)	D	Dividend	K	T					
55. Puerto Rico 7/1/42	A	Interest	K	T					
56. Nuveen PA Prem Inc	B	Dividend	K	T					
57. Nuveen PA Invst QF	B	Dividend	K	T					
58. Van Kampen Amer PA Mun. Inc. (INVESCO)	B	Dividend	K	T					
59. Federated Govt Res MM	A	Dividend	L	T					
60. Sayre PA Health Serv 12/1/31	B	Interest			Redeemed	12/01/11	K	A	
61. Eaton Vance T/M	C	Interest	K	T					
62. Eaton Vance T/M (JT)	A	Interest	J	T					
63. California St G/O 9/1/36	B	Interest	K	T					
64. Blackrock Intl. Growth and Inc. Trust	C	Dividend	K	T					
65. Vanguard GNMA Fund	A	Interest	K	T					
66. Geisonger PA H.A.	B	Interest			Redeemed	06/16/11	K		
67. Mtgy Co. PA H.E. Arcadia	B	Interest	K	T					
68. Phila PA Wtr Rev. Ser B	B	Interest	K	T					

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69. Puerto Rico Co. Ser B	B	Interest	K	T					
70. Mtgy Co. PA Ser C 12/31	B	Interest	K	T					
71. Beaver County PA 11/15/31	A	Interest	K	T					
72. State PSBS PA 3/1/29	B	Interest	K	T					
73. Nebraska Edl Fin	A	Interest	K	T					
74. Puerto Rico-Ser C 7/1/19	A	Interest	J	T					
75. PA St. Tpk 12/1/41 5.3%	A	Interest	K	T					
76. Puerto Rico Ser Q 7/1/37	A	Interest	L	T					
77. Cumberland Co 1/1/16	A	Interest	J	T					
78. Pitts-Allegheny 2/1/25	A	Interest	J	T					
79. Erie PA Mercyhurst	A	Interest	J	T					
80. Mtgy Co Pa IDA 11/15/36	A	Interest	J	T					
81. Nebraska Concordia	A	Interest	J	T					
82. Puerto Rico P.b.A. 7/1/37 Q	B	Interest	K	T					
83. Puerto Rico PBA D 7/1/36	A	Interest	J	T					
84. Pa St Tpk 12/1/40	A	Interest			Sold	11/03/11	J		
85. RBC MM Fund (X)		None	J	T					

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86. RBC MM Fund (X)	A	Interest	J	T					
87. Puerto Rico PBA 7/1/37 Series Q	A	Interest	J	T					
88. Puerto Rico PBA 7/1/41	A	Interest	L	T					
89. Cumberland Co. 1/1/28	A	Interest	K	T					
90. Passaic Valley N.J.	A	Interest	J	T	Buy	09/06/11	J		
91. Huntsville-Redstone	A	Interest	K	T	Buy	11/04/11	K		
92. Mtgy Co. Pa Ind Dev A-11/15/28	B	Interest	K	T	Buy	03/31/11	K		
93. Mtgy Co. PIDA-RFDG 12/1/20	A	Interest	J	T	Buy	03/31/11	J		
94. NJ Economic D.A.-Seashore 11/1/36 2010 C-1	A	Interest	K	T	Buy	11/14/11	K		
95. Pa St. TPK Com - 12/1/40 2009 D	A	Interest	J	T	Buy	04/27/11	J		
96. Del. St. Eco Dev. A. - 10/1/45	A	Interest	K	T	Buy	10/19/11	K		
97. Phila Gas Wks 8/1/40	A	Interest	J	T	Buy	03/23/11	J		
98. Del St. Eco D.A. 10/1/45	A	Interest	K	T	Buy	11/08/11	K		
99. Pa St Tpk Com 12/1/40 2009A	A	Interest			Buy	07/15/11	J		
100. Pa St Tpk Com 12/1/40 2009A	A	Interest			Sold	11/03/11	J		
101. Pa St. Tpk Com - 6/1/39 2009B	A	Interest	K	T	Buy	06/20/11	K		
102. Teva Phar Ind. L&D ADR	B	Dividend	L	T	Buy	01/31/11	M		

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103. Vanguard Sht. Term	A	Interest	L	T	Buy	02/10/11	L		
104. St. John Baptist Loc Rev.	A	Interest	J	T	Buy	08/29/11	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

Line 13 includes lines 14 through 29, plus line 102.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **JOHN R. PADOVA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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