

UNITED STATES COURT OF APPEALS
UNITED STATES COURTHOUSE
FOLEY SQUARE
NEW YORK, NY 10007

SELF INITIATED
AMENDMENT

CHAMBERS OF
PIERRE N. LEVAL
CIRCUIT JUDGE
(212) 857-2310

September 19, 2008

Hon. Ortrie D. Smith, Chair
Committee on Financial Disclosure
of the Judicial Conference of the United States
Administrative Office of the U.S. Courts
Suite 2-301
Columbus Circle, N.E.
Washington, D.C. 20544

RECEIVED
2008 SEP 22 A 9:45
FINANCIAL
DISCLOSURE OFFICE

Re: Financial Disclosure Report for Year 2007

Dear Judge Smith:

Many thanks for your letter of August 12, 2008. Two items discussed in your letter have called my attention to mistakes in my filings, which I am taking steps to correct.

1. You note that in Part VII, Line 60, I listed the partial sale of "U.S. T-bill due 5/31/08," a security which was not listed in my previous report. In identifying this security, I made a mistake as to the year of maturity. The security was in fact "U.S. T-bill due 5/31/07." (As you note in your letter, furthermore, it is not necessary to report the maturity date of such a security.) I am submitting a Supplemental Report for the year 2007 correcting my mistake as to the year of maturity.

2. You note that in Part VII, Line 92, I list the sale of a Merrill Lynch money market fund, which security was not listed in my prior report. Thanks to your letter, I have become aware that by oversight I omitted this asset, held by a trust for which I serve as trustee, from prior reports. I am now submitting Amended Reports for prior years correcting the error by adding this security to the list in Part VII.

Together with this letter, I submit Supplemental Reports for the years 1996 - 2007 making the corrections noted above. With much gratitude for the thankless work done by you, your committee and staff in checking over reports to guard against such mistakes,

A large black rectangular redaction mark covering the signature and name of the sender.

SUPPLEMENTAL

SELF INITIATED

AMENDMENT

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

AO 10
Rev. 1/2008

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

1. Person Reporting (last name, first, middle initial) Leval, Pierre N	2. Court or Organization U.S. Court of Appeals, 2nd Cir	3. Date of Report 09/19/08
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2007 to 12/31/2007
	5b. ☒ Amended Report	
7. Chambers or Office Address 40 Foley Square Rm 1901 New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

RECEIVED
 2008 SEP 22 A 9:46
 FINANCIAL
 DISCLOSURE OFFICE

CORRECTION TO
FINANCIAL DISCLOSURE REPORT
Page 7 of 11 **for YEAR 2007**
(SEE PART VIII)

Name of Person Reporting
Leval, Pierre N

Date of Report

05/14/2008

09/19/08

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. "					Buy	5/31	O		
53. "	A	Interest			Sell part	6/5	J		
54. "	A	Interest			Sell part	6/28	J		
55. "	A	Interest			Sell part	7/30	J		
56. "	A	Interest			Sell part	9/12	J		
57. "	A	Interest			Sell part	9/28	J		
58. "	A	Interest			Sell part	10/29	J		
59. "	E	Interest			Sell	11/29	N		
60. U.S. T-bill due 5/31/08	A	Interest			Sell part	1/29	J		
61. "	D	Interest			Sell part	2/2	L		
62. "	A	Interest			Sell part	3/28	J		
63. "	B	Interest			Sell part	4/12	L		
64. "	A	Interest			Sell part	4/27	J		
65. "	E	Interest			Sell	5/31	O		
66. Lincoln St. Realty (limited partnership)		None	J	W					
67. Hershey (common)	C	Dividend	L	T					
68. Smith Barney Liquid Res Fund (common)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

SUPPLEMENTAL

FINANCIAL DISCLOSURE REPORT
for Year 2007

Name of Person Reporting

LEVAL, PIERRE N.

Date of Report

9/19/08

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

In Part VII, lines 60-65 I refer to sales of "U.S.T-bill due 5/31/08." I made an error as to the due date of these bills, which was in fact 5/31/07. I have submitted the page from Part VII of my original report, amended to show the correct due date of these securities.

SUPPLEMENTAL
FINANCIAL DISCLOSURE REPORT
FOR YEAR 2007

Name of Person Reporting

LEVAL, PIERRE N

Date of Report

9/19/08

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Leval, Pierre N	2. Court or Organization U.S. Court of Appeals, 2nd Cir	3. Date of Report 05/14/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 40 Foley Square Rm 1901 New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Council member	The American Law Institute
2. Trustee, Executor	██████████
3. Member - Photography Committee	Museum of Modern Art
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2/10/05	As executor, contract with Christie's, Inc. for sale of works of art.
2. 5/8/07	Contract with Lester Walker for architectural services.
3. 9/28/07	Contract with Porcelli Construction Co. for construction services.

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FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Leval, Pierre N

Date of Report

05/14/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	State St. Cultural Inst. Pension Plan - pension
2. 7/25/07	CIG (Assoc. of NYC Public Cultural Institutions) - fee for report.
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. U.S. Courts	June 7 - 10, 2007	Lake George, NY	Court conference	\$1336.45
2. Aspen Institute	July 25 - Aug. 2, 2007	Aspen, Colorado	Teaching	\$2939.92
3. U.S. State Dept.	Sept. 13 - 21, 2007	Moscow, Russia	See Part VIII	\$6062.00
4. U.S. Courts	Oct. 26 - 27, 2007	Marlboro, Vermont	J. Oakes memorial svc	\$342.68
5. Annenberg School for Communication	Nov. 2 - 11, 2007	Amman, Jordan	See Part VIII	\$4615.15

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Leval, Pierre N

Date of Report

05/14/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	MONY	Loan on life insurance policy	L
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Leval, Pierre N

Date of Report

05/14/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Abbot Labs (common stock)(self [REDACTED])	E	Dividend	P1	T					
2. Exxon Mobil (common)	D	Dividend	O	T					
3. Schering Plough (common)(self [REDACTED])	D	Dividend	O	T					
4. PE Biosystems (common)(Perkin Elmer)(PKI)	B	Dividend	M	T					
5. PE Celera Genomics (common) (CR)		None	K	T					
6. USX	A	Dividend	J	T					
7. USX					Sell part	7/20	J	A	
8. Daimler Chrysler (common)	A	Dividend	J	T					
9. FMC Money Mkt (self [REDACTED] (Dreyfus)	A	Interest	J	T					
10. FMC Select Fund (common) (self [REDACTED])	G	Dividend	P1	T					
11. FMC Select Fund (self)					Buy	2/6	J		
12. "					Buy	2/20	J		
13. "					Sell part	2/26	J	A	
14. "					Buy	3/2	K		
15. "					Buy	3/15	J		
16. "					Buy	4/3	J		
17. "					Buy	4/19	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Leval, Pierre N

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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18. "					Buy	5/23	J		
19. "					Buy	6/12	J		
20. "					Buy	7/3	J		
21. "					Buy	7/10	J		
22. "					Buy	8/7	J		
23. "					Buy	10/3	J		
24. "					Buy	12/5	J		
25. "					Buy	12/14	L		
26. "					Sell part	12/26	K	A	
27. "					Buy	12/31	J		
28. FMC Select Fund					Buy	2/6	K		
29. "					Buy	2/20	J		
30. "					Buy	4/3	J		
31. "					Buy	5/23	J		
32. "					Buy	6/22	J		
33. "					Buy	7/3	J		
34. "					Buy	9/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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35. "					Buy	10/3	J		
36. "					Buy	12/14	J		
37. "					Buy	12/31	J		
38. "					Sell part	12/26	K	C	
39. Fed Hm Ln Bk Cons Disc Nts due 2/28/07	A	Interest			Sell part	1/10	M		
40. "	E	Interest			Sell	2/28	P1		
41. Fed Hm Ln Bk Cons Disc Nts 6/15/07 [REDACTED]	D	Interest			Sold	6/15	N		
42. Fed. Hm Ln Bk Cons Disc Nt 12/05/07 [REDACTED]	E	Interest			Sold	12/5	N		
43. Fed. Hm Ln Bk Cons Disc Nt 6/4/08 [REDACTED]		None	N	T	Buy	12/5	N		
44. Fed. Hm Ln Bk Cons Disc Nt 12/5/07 [REDACTED]					Buy	6/15	N		
45. "	D	Interest			Sell	12/5	N		
46. Fed Hm Ln Bk Cons Disc Nts 0.0% due 2/6/08		None	P1	T	Buy	8/17	P1		
47. Fed Hm Ln Bk Cons Disc Nts 0.0% due 5/30/08		None	O	T	Buy	11/29	O		
48. Fed Hm Ln Bk Cons Disc Nts due 8/17/07	E	Interest			Buy	2/28	P1		
49. Fed Hm Ln Bk Cons Disc Nts due 8/17/07	E	Interest			Sell	8/17	P1		
50. U.S. T-bills 0.0% due 11/29/07					Buy	5/31	O		
51. "	E	Interest			Sell	11/29	O		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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52. "					Buy	5/31	O		
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55. "	A	Interest			Sell part	7/30	J		
56. "	A	Interest			Sell part	9/12	J		
57. "	A	Interest			Sell part	9/28	J		
58. "	A	Interest			Sell part	10/29	J		
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64. "	A	Interest			Sell part	4/27	J		
65. "	E	Interest			Sell	5/31	O		
66. Lincoln St. Realty (limited partnership)		None	J	W					
67. Hershey (common)	C	Dividend	L	T					
68. Smith Barney Liquid Res Fund (common)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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69. Gen. American Inv. (common) (GAM) ●	E	Dividend	N	T					
70. Chase Bank (account) ●	A	Interest	J	T					
71. Chase Bank (formerly Bank of New York)		None	O	T					
72. Warburg Pincus (Credit Suisse) Funds (common) (trust)	A	Dividend			Sold	1/18			
73. Art Works (estate)		None	J	Q	See VIII				
74. "					Sell part	7/10	J		
75. Chase (Bank of NY) account & money market fund (estate)	E	Interest	J	T	Transfer	8/29	P1		See VIII
76. Vanguard GNMA Fixed Income Fund (trust)	C	Interest	L	T	Buy	1/22	L		
77. "					Buy	2/1	J		
78. "					Buy	2/7	J		
79. FSMAX		None	K	T	Buy	12/24	K		
80. FLGEX		None	K	T	Buy	12/24	K		
81. HGOCX		None	J	T	Buy	12/27	J		
82. IHSAX		None	J	T	Buy	12/27	J		
83. HNSCX		None	J	T	Buy	12/27	J		
84. HIOCX		None	K	T	Buy	12/27	K		
85. HCACX		None	K	T	Buy	12/27	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Leval, Pierre N

Date of Report

05/14/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. IGINCX		None	J	T	Buy	12/24	J		
87. TILFX		None	K	T	Buy	12/24	K		
88. FNYXX	A	Interest	K	T					
89. "					Buy	12/21	M		
90. "					Sell part	12/24	L		
91. "					Sell part	12/27	K		
92. Merrill Lynch money market fund (trust)	A	Interest			Sold	1/17	J		
93.									
94.									
95.									
96.									
97.									
98.									
99.									
100.									
101.									
102.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
Q = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Leval, Pierre N

Date of Report

05/14/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

In Part VII, item no. 73, "Art Works," the date of the appraisal was Jan. 20, 2005.

In Part IV

Line 3: Lectures to and meetings with Russian judges.

Line 5: Lectures to and meetings with Jordanian judges.

Line 75: Transfer from estate to beneficiaries.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Leval, Pierre N

Date of Report

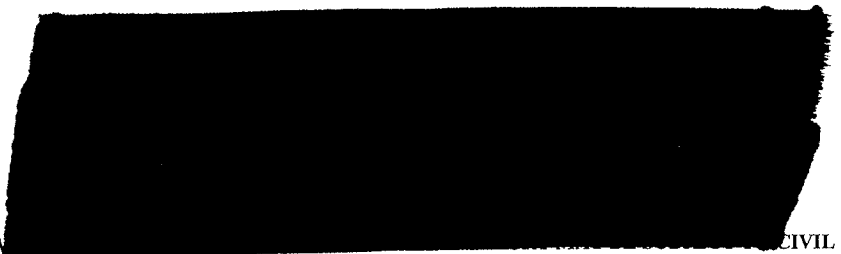
05/14/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND
AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)



FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544