

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

1. Person Reporting (Last name, First name, Middle initial) Lourie, Alan D	2. Court or Organization US Court of Appeals-Fed Circuit	3. Date of Report 3/7/04
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge-active	5. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/03 to 12/31/03
7. Chambers or Office Address H.T. Markey Nat Courts Bldg 717 Madison Place NW Washington, DC 20439	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	trust 1-contingent interest
2. Trustee	trust 2-contingent interest
3. Member	Temple Law School Board of Visitors
4. Co-executor of estate	estate

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 040690	GlaxoSmithKline, successor to former employer, provides pension and group health and life insurance

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Lourie, Alan D

Date of Report

3/7/04

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (Spouse, not spouse's)
1.	Jan-Dec	GlaxoSmithKline PLC pension	88273.00

B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))

☒ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	Temple Law School	Dinner and hotel for meeting of Board of Visitors, May 1-2, Phila, Pa.
2.	Federal Circuit Bar Association	Bench and bar conference, Amelia Is., Fla., meals and hotel, May 21-25
3.	Intellectual Property Owners	Dinner and hotel at meeting at which I spoke, Sept. 14-15, Chicago, Ill
4.	Temple Law School	Dinner and hotel for Board of Visitors meeting, Nov. 20-21, Phila, Pa.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Louie, Alan D

Date of Report

3/7/04

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

FINANCIAL DISCLOSURE REPORT

Page 1 of 3

Name of Person Reporting

Lomig, Alan D

Date of Report

3/7/04

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Price ("X") after each adjustment from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-F)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, margn, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (Disregardable income, assets, or transactions)									
1. Vanguard Tax Exempt Money Mkt Fund	A	Dividend	K	T					
2. Vanguard Tax-Ex MM Fund 2	A	Dividend	K	T					
3. Franklin Templeton Mutual Shares Fund	B	Dividend	M	T					
4. Vanguard Health Care Fund	B	Dividend	M	T					
5. T Rowe Price Cap Appreciation Fund (IRA)	B	Dividend	M	T					
6. Vanguard Windsor II Fund	A	Dividend	L	T					
7. Vanguard Intermed Tax Exempt Fund 1	D	Dividend			Partial sale	6/2	N	E	
8.					Sell	6/20	N	E	
9. Vanguard High Yield Corp Fd (IRA)	D	Dividend	L	T					
10. College Retirement Equity Fd-TIAA-CREF	C	Interest	L	T					
11. Riggs Bank, Wash. DC checking account	A	Interest	K	T					
12. US Treasury notes	B	Interest	K	T					
13. Vanguard 500 Index Fund	B	Dividend	M	T					
14. Vanguard Extended Market Index Fund	B	Dividend	M	T	Partial sale	7/28	K	C	
15. Vanguard Global Equity Fund	B	Dividend	L	T	Buy	2/28	K		
16.					Buy	7/28	K		
17. Vanguard Intermed Tax Exempt Fund 2	D	Dividend			Sell	7/16	M	D	

1. Income/Value Codes	A = \$1,001 to \$5,000	B = \$5,001 to \$25,000	C = \$25,001 to \$50,000	D = \$50,001 to \$100,000	E = \$100,001 to \$500,000
(See Columns B1 and C4)	F = \$500,001 to \$1,000,000	G = \$1,000,001 to \$5,000,000	H = \$5,000,001 to \$25,000,000	I = \$25,000,001 to \$50,000,000	J = \$50,000,001 to \$100,000,000
2. Value Codes	1 = \$1 to \$100,000	2 = \$100,001 to \$500,000	3 = \$500,001 to \$1,000,000	4 = \$1,000,001 to \$5,000,000	5 = \$5,000,001 to \$25,000,000
(See Columns C1 and C5)	6 = \$25,000,001 to \$50,000,000	7 = \$50,000,001 to \$100,000,000	8 = \$100,000,001 to \$500,000,000	9 = \$500,000,001 to \$1,000,000,000	10 = \$1,000,000,001 to \$5,000,000,000
	11 = \$5,000,000,001 to \$25,000,000,000	12 = \$25,000,000,001 to \$50,000,000,000	13 = \$50,000,000,001 to \$100,000,000,000	14 = \$100,000,000,001 to \$500,000,000,000	15 = \$500,000,000,001 to \$1,000,000,000,000
3. Value Method Codes	Q = Appraised	R = Cost (Fund Purchase Only)	S = Acquisition	T = Closed-End Fund	
(See Column C6)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 2 of 3

Name of Person Reporting

Lourie, Alan D

Date of Report

3/7/04

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the operator and dependent children. See pp. 24-27 of filing instructions.)

A. Description of assets (including trust assets) Please "X" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-J)	(2) Type (e.g. div, rent, or int.)	(1) Value Code 2 (L-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, transfer, redemption)	(2) Date, Month - Day	(3) Value Code 2 (L-P)	(4) Gain Code 1 (A-J)	(5) Identity of broker/seller (if private transaction)
18. Vanguard Pacific Stock Index Fund	A	Dividend	K	T					
19. Fidelity Dividend Growth Fund	A	Dividend	M	T					
20. Vanguard Internet Explorer Fd		None			Sell	2/28	K	A	
21. Vanguard European Stock Index Fund	B	Dividend	L	T					
22. Vanguard Limited Term Tax Exempt Fund 1	E	Dividend	O	T	Buy	6/20	N		
23.					Buy	6/27	N		
24. Vanguard Limited Term Tax Exempt Fund 2	B	Dividend	O	T	Buy	11/3	M		
25.					Buy	11/24	N		
26. T. Rowe Price Tax-free IM Bond Fund	C	Dividend	L	T					
27. Vanguard MidCap Index Fund	A	Dividend	L	T					
28. Vanguard Small Cap Index Fund	A	Dividend	K	T					
29. Vanguard Wellesley Inc Fund	D	Dividend			Buy	1/23	O		
30.					Sell	8/18	O	D	
31. Vanguard Equity Income Fund	E	Dividend	N	T	Buy	8/18	O		
32.					Buy	9/30	K		
33.					Partial sale	11/24	N	D	
34. IRA-TRPrice Eq Inc Fund	C	Dividend	M	T					
35. T. Rowe Price Short Term Bond Fund	E	Dividend	P1	T					

1. Income Code:	A = \$1,001 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$10,000	E = \$10,001-\$50,000
(See Column 1A and C-4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H = \$1,000,001-\$5,000,000	I = \$5,000,001-\$9,999,999	J = Above \$10,000,000
2. Value Code:	L = \$1,000 or less	M = \$1,001-\$50,000	N = \$50,001-\$100,000	O = \$100,001-\$500,000	P = \$500,001-\$1,000,000
(See Column 1C and D-3)	Q = \$1,000,001-\$5,000,000	R = \$5,000,001-\$10,000,000	S = \$10,000,001-\$25,000,000	T = \$25,000,001-\$50,000,000	U = Above \$50,000,000
3. Value Method Code:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessed	T = Book Value	U = Other
(See Column 1C)	W = Bond Value	X = Other	Y = Estimated	Z = Other	

FINANCIAL DISCLOSURE REPORT

Page 3 of 3

Name of Person Reporting
Louie, Alan D

Date of Report
3/7/04

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	Not exempt from disclosure			
	Amount (Code 1 (A-I))	Type (e.g. div, rent, or int.)	Value (Code 2 (J-K))	Value Method (Code 3 (Q-W))	Type (e.g. buy, sell, merger, redemption)	(2) Date Month - Day	(3) Value (Code 2 (J-K))	(4) Date Code 1 (A-I))	(5) Identity of broker/seller (if private transaction)
36. T. Rowe Price Midcap Value Fund	B	Dividend	L	T					
37. T. Rowe Price Midcap Growth Fund		None	L	T					
38. Trust 1 (next 3 items)									
39. Vanguard Federal Money Market Fund	A	Dividend	J	T					
40. Vanguard High Yield Corp Fund	B	Dividend	K	T	Partial Sale	10/2	J	A	
41. Riggs Bank, Wash, DC checking account	A	Interest	J	T					
42. Trust 2 (3 items)									
43. Riggs Bank, Wash., DC checking account	A	Interest	J	T					
44. Vanguard Money Market Fund	C	Dividend	O	T					
45. Vanguard Short Term Corp Fund	E	Dividend			Sell	8/1	O	D	
46. Estate (co-executor of) now terminated									
47. Vanguard Fed Money Mkt Fd	A	Dividend			Sell	9/8	J	A	
48. Vanguard ST Corp Bd Fd	B	Dividend			Sell	1/9	P1	E	
49. First Union Bank	A	Interest			Sell	9/8	J	A	

1. Income Value Codes:	A = \$1,000 or less	B = \$1,001-\$1,500	C = \$1,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and C4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	N = \$250,001-\$500,000
(See Columns C1 and D3)	O = \$500,001-\$1,000,000	P = \$1,000,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$10,000,000	P3 = More than \$10,000,000
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Credit Market	
(See Column G1)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Lourie, Alan D

Date of Report

3/7/04

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Lourie, Alan D

Date of Report

3/7/04

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

3/7/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544