

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) BOUDIN, MICHAEL (NMI)	2. Court or Organization U.S. COURT OF APPEALS, 1ST CIR	3. Date of Report 06/09/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part time) U.S. CIRCUIT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. COURTHOUSE 1 COURTHOUSE WAY, SUITE 7710 BOSTON, MASSACHUSETTS 02210	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the **NONE** box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member of the Council	American Law Institute
2. Trustee or Co-trustee	Trust #1, Trust #2 and Trust #3
3. Editorial Advisor	Daedalus (Journal of the American Academy of Arts and Sciences)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. [N/A]	Vested pension plan account at former law firm, Covington & Burling
2.	
3.	

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Boudin, Michael (NMI)

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Name of Person Reporting
BOUDIN, MICHAEL (NMI)Date of Report
06/09/2010**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	Harvard Law School - teaching	\$25,830.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Professorship at Harvard Law School ☐
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, --**(includes those to spouse and dependent children; see pp. 23-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Duke Law Journal	2/05-2/06	Durham, N.C.	Symposium	Duke Law Journal--transportation, meals and lodging
2. American Bar Ass'n	3/24-3/26	Washington, D.C.	Antitrust Section mtg	ABA--transportation, meals and lodging
3. American Law Institute	10/21-10/23	New York, N.Y.	Attendance at Council mtg	American Law Institute--transportation and meals
4.				
5.				

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BOUDIN, MICHAEL (NMI)

Date of Report
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Eastern Co. ☐	Blanket mortg. on summer home and rental property, Wellfleet, MA	None
2. GMAC ☐	Mortg. on rental prop. #1, Cambridge, MA	None
3. GMAC ☐	Mortg. on rental prop. #2, Cambridge, MA	None
4. Harvard University ☐	Education loan	M
5. Bank of America ☐	Mortgage on summer home, Wellfleet, MA	N

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Name of Person Reporting

BOUDIN, MICHAEL (NMI)

Date of Report

06/09/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. C & B PENSION PLAN (see note 1)	G	Int/Div.	P1	T					
2. - Ramier Small/Mid Cap Equity									
3. - Schwab Stable Value Instl Class II									
4. TIAA-CREF RETIREMENT PLAN (see note 1)	C	Int/Div.	L	T					
5. - TIAA Traditional									
6. - CREF Stock									
7. Bank of America account Bos, MA	A	Interest	M	T					
8. TD BankNorth acc't Orleans, MA	A	Interest	J	T					
9. UBS FINANCIAL SERVICES, INC. (UBS)									
10. RMA Government Portfolio (UBS)	A	Interest	N	T					
11. - Dryden Nat. Muni Fund (UBS)	A	Interest	J	T					
12. - Dul., MN Pub. Hsg Auth. Bds(09) (UBS)	B	Interest			Redeemed	12/1/09	K	A	
13. - UBS US Allocation Fund C (UBS)	B	Dividend	M	T					
14. UBS Bank USA deposit account (UBS)	A	Interest	L	T					
15. MORGAN STANLEY (MS)									
16. - Standard & Poor's Dep. Receipts (MS)	C	Dividend	M	T					
17. - Active Assets Tax Free Trust Account (cash equiv.) (MS)	A	Interest	N	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

BOUDIN, MICHAEL (NMI)

Date of Report

06/09/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Springfield, MA Bonds (12) (MS)	B	Interest			Redeemed	10/1/09	K	A	
19. - MFS Investors Growth ST FD CL B (MS)	A	Dividend	K	T					
20. - Massachusetts State Bonds (10) (MS)	B	Interest	K	T					
21. - Massachusetts State Bonds (12) (MS)	B	Interest	K	T					
22. - Davis New York Venture B (MS)		None	M	T					
23. - Massachusetts State Bonds (10) (MS)	A	Interest	K	T					
24. - Massachusetts State Bonds (13) (MS)	A	Interest	J	T					
25. - Lynn, MA Bonds (14) (MS)	B	Interest			Redeemed	10/23/09	K	A	
26. - Massachusetts State Bonds (15) (MS)	B	Interest	K	T					
27. - Virginia Beach, VA Bonds (18) (MS)	C	Interest	L	T					
28. - Virginia Beach, VA Bonds (21) (MS)	B	Interest	K	T					
29. - Massachusetts State Bonds (37) (MS)	D	Interest	M	T					
30. TIAA-CREF RETIREMENT PLAN ☐ (see note 2)	D	Int /Div	P1	T					
31. - TIAA Fund ☐									
32. - CREF stock ☐									
33. - CREF Global ☐									
34. VANGUARD IRA ACCOUNT ☐ (see note 3)	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$30,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	S = Assessment W = Estimated
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other		T = Cash Market	

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Name of Person Reporting

BOUDIN, MICHAEL (NMI)

Date of Report

06/09/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Index 500 Fund ☐									
36. - Windsor Fund ☐									
37. - Windsor II Fund ☐									
38. FIDELITY IRA ACCOUNT ☐ (see note 4)	A	Dividend	L	T					
39. - Income Fund ☐									
40. - Growth and Income Fund ☐									
41. - Magellan Fund ☐									
42. Scudder Cash Investment Trust MMF ☐ (see note 5)	A	Interest	J	T					
43. Rental House, Wellfleet, MA ☐	E	Rent	PI	W					
44. Summer residence, Wellfleet, MA (occas. rent house) ☐	D	Rent	PI	W					
45. BankAmerica, Boston, MA ☐	A	Interest	K	T					
46. Kauai, Hawaii, land ☐		None	O	W					
47. Bank of Hawaii ☐	A	Interest	J	T					
48. Rental Prop. #1, Cambridge, MA ☐	E	Rent	O	W					
49. Rental Prop. #2, Cambridge, MA ☐	E	Rent			Sold	11/30/09	O	G	Tsedal & W. Lawrence Neeley
50. MERRILL LYNCH ☐									
51. - General Electric stock ☐	A	Dividend	J	T					

1. Income Codes (See Columns D1 and D4)	A = \$1,000 or less E = \$50,001 - \$100,000 F = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 I = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D1)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

BOUDIN, MICHAEL (NMH)

Date of Report

06/09/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
52. - CMA money fund ☐	A	Dividend	J	T					
53. TRUST #1	A	Dividend	K	T					
54. - UBS US Allocation Fund Class C					Sold (part)	1/12/09	J	C	
55. - UBS US Allocation Fund Class C					Sold (part)	6/22/09	J	A	
56. - UBS US Allocation Fund Class C					Sold (part)	9/9/09	J	C	
57. TRUST #2	C	Dividend	M	T					
58. - UBS US Allocation Fund Class C									
59. RMA Government Portfolio (UBS)									
60. TRUST #3	B	Int./Div.	O	T					
61. -RMA Government Portfolio									
62. - New Rochelle, NY Bond (09) (UBS)					Redeemed	5/15/09	K	A	

1. Income/Gain Codes (See Column B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$300,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I1 = \$1,000,001 - \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000 I2 = More than \$5,000,000 N1 = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
2. Value Codes (See Column C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

BOUDIN, MICHAEL (NMH)

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

MICHAEL BOUDIN, Report Dated May 30, 2007
ATTACHMENT TO PART VII

Note 1: My Covington & Burling and HAA-CREF retirement plans involve tax-deferred income which is not fully calculated. I have therefore estimated "income" at 5% of year-end asset value.

Note 2: In all funds, dividends are reinvested, regular employer contributions are made for new investment in the CREF Stock fund. Income is estimated as 5% of asset value.

Note 3: In all funds, dividends are reinvested, regular monthly employee contributions are made for new investment in the Index 500 fund.

Note 4: In all funds, dividends are reinvested

Note 5: Dividends are reinvested.

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BOUDIN, MICHAEL (NMH)

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544