

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) JONES III, JOHN E.	2. Court or Organization US COURT-MIDDLE DISTRICT OF PA	3. Date of Report 05/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 240 WEST THIRD STREET SUITE 406 WILLIAMSPORT, PA 17701	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.	2002	THE STATE EMPLOYEES RETIREMENT SYSTEM (PENNSYLVANIA) FORMER EMPLOYER - VESTED PENSION
2.		
3.		

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	HUMANIST SOCIETY OF SANTA BARBARA	2/13 - 2/17	SANTA BARBARA, CA	LECTURE	AIRFARE
2.	HEFSTRA UNIVERSITY	3/11 - 3/12	HAMPSTEAD, NY	LECTURE	MILEAGE, HOTEL, AND MEALS
3.	LIBERTY UNIVERSITY SCHOOL OF LAW	3/20 - 3/21	LYNCHBURG, VA	MOOT COURT AND LECTURE	MILEAGE, HOTEL, AND MEALS
4.	UNIVERSITY OF MARYLAND	3/22 - 3/23	COLLEGE PARK, MD	LECTURE	MILEAGE, HOTEL, AND MEALS
5.	ANTI-DEFAMATION LEAGUE	3/26 - 3/27	HOUSTON, TX	LECTURE	AIRFARE, HOTEL, AND MEALS
6.	MERCERSBURG	3/31	MERCERSBURG, PA	LECTURE	HOTEL, MILEAGE, AND MEALS

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ACADEMY

7.	FEDERAL JUDGES ASSOCIATION	5/2 - 5/4	WASHINGTON, DC	BOARD MEETING	MILEAGE, HOTEL, AND MEALS
8.	RICHARD S RODNEY AMERICAN INN OF COURT	5/13 - 5/14	WILMINGTON, DE	LECTURE	HOTEL, MILEAGE AND MEALS
9.	DREXEL UNIVERSITY	6/3	PHILADELPHIA, PA	LECTURE	HOTEL, MILEAGE, AND MEALS
10.	ASPEN INSTITUTE	6/30 - 7/2	ASPEN, CO	PANEL	HOTEL, AIRFARE, AND MEALS
11.	BRIDGEWATER COLLEGE	9/17	BRIDGEWATER, VA	LECTURE	AIRFARE
12.	SOUTHERN METHODIST UNIVERSITY	9/23 - 9/26	DALLAS, TX	LECTURE	AIRFARE, HOTEL, AND MEALS
13.	GEOLOGICAL SOCIETY OF AMERICA	10/17 - 10/20	PORTLAND, OR	LECTURE	AIRFARE, HOTEL, AND MEALS
14.	BERKS COUNTY BAR ASSOCIATION	10/27	READING, PA	LECTURE	PARKING
15.	ST. MARY'S COLLEGE OF MARYLAND	11/2 - 11/3	ST. MARY'S CITY, MD	LECTURE	MILEAGE, HOTEL, AND MEALS
16.	INTERNATIONAL ASSOCIATION OF DEFENSE COUNSEL	11/11	PHILADELPHIA, PA	LECTURE	MILEAGE
17.	RUTGERS UNIVERSITY	11/16 - 11/17	NEW BRUNSWICK, NJ	LECTURE	MILEAGE, HOTEL, AND MEALS
18.	TEMPLE UNIVERSITY	11/20	PHILADELPHIA, PA	LECTURE	MILEAGE AND MEALS
19.	PENN STATE UNIVERSITY	12/1	STATE COLLEGE, PA	LECTURE	MILEAGE, HOTEL, AND MEALS
20.	INDIANA UNIVERSITY	12/4 - 12/5	BLOOMINGTON, IN	LECTURE	AIRFARE, HOTEL, AND MEALS

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. [REDACTED]	A	Distribution	O	W					
2. [REDACTED]	A	Distribution	N	W					
3. [REDACTED]	A	Distribution	O	W					
4. [REDACTED]	A	Distribution	M	W					
5. [REDACTED]	A	Distribution	N	W					
6. [REDACTED]	A	Distribution	K	W					
7. [REDACTED]		None	J	W					
8. [REDACTED]	A	Distribution	M	W					
9. NATIONWIDE-ANNUITY	A	Interest	J	T					
10. UNION BANK & TRUST CO.-CHECKING	A	Interest	K	T					
11. QUALITY VENDING INC-50% INTEREST	D	Distribution	L	W					
12. LOAN RECEIVABLE-PHOENIX CONTRACTING	D	Interest	M	T					
13. UBPTC-COMMON STOCK (UNION BANCORP INC (UBPT.PK))	A	Dividend	J	T					
14. DREYFUS PREMIER BALANCED FD.		None			Merged (with line 15)	1/8/09	J		
15. DREYFUS BALANCED OPPORTUNITY FUND CLASS A	A	Dividend	J	T					
16. PENN NATIONAL GAMING - COMMON STOCK		None	J	T	Sold (part)	5/21/09	J	B	
17. CHARLES SCHWAB & CO INC - M/M FUND	A	Interest			Redeemed	6/15/09	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. OPPENHEIMER GLOBAL FUND A - MUTUAL FUND	A	Dividend	J	T					
19. OPPENHEIMER CAPITAL APPRECIATION FUND A - MUTUAL FUND		None	J	T					
20. WYE COMMON STOCK-IRA	A	Dividend			Redeemed	10/15/09	J	C	
21. CVX COMMON STOCK-IRA	B	Dividend	K	T					
22. HPQ COMMON STOCK-IRA	A	Dividend	J	T					
23. XOM COMMON STOCK-IRA	A	Dividend	K	T					
24. FULT COMMON STOCK-IRA	A	Dividend	J	T					
25. GE COMMON STOCK-IRA	A	Dividend	J	T					
26. HON COMMON STOCK-IRA	A	Dividend	J	T					
27. KMB COMMON STOCK-IRA	A	Dividend	K	T					
28. SOV COMMON STOCK-IRA		None			Merged (with line 29)	2/25/09	J		
29. BANCO SANTANDER CENT HISPANO CDR - IRA	A	Dividend	J	T					
30. MRK COMMON STOCK-IRA	A	Dividend	J	T					
31. MMM COMMON STOCK-IRA	A	Dividend	K	T					
32. PEP COMMON STOCK-IRA	A	Dividend	K	T					
33. PFE COMMON STOCK-IRA	A	Dividend	J	T					
34. UNION BANCORP INC - IRA	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. NATIONWIDE MUTUAL FUND CLASS A	A	Dividend	J	T					
36. UNION BANK & TRUST CO.-SAVINGS	A	Interest	J	T	Open	6/15/09	J		
37. SIRIUS SATELLITE RADIO - COMMON STOCK		None	J	T	Sold (part)	9/14/09	J		
38. SIRIUS SATELLITE RADIO INC - COMMON STOCK		None			Sold	9/14/09	J		
39. FIDELITY BLUE CHIP VALUE FUND - MUTUAL FUND - FBCVX	A	Dividend	J	T					
40. VANGUARD PA LONG TERM TAX EX - MUTUAL FUND	A	Dividend	J	T					
41. FIDELITY REAL ESTATE INCOME FUND - MUTUAL FUND	A	Dividend	J	T					
42. FIDELITY INVESTMENT - M/M FUND	A	Dividend	J	T					
43. AFLAC INC - COMMON STOCK - IRA	A	Dividend	J	T					
44. DREYFUS SMALL CAP - MUTUAL FUND	A	Dividend			Sold	3/16/09	J		
45. SCHWAB S&P 500 INDEX - MUTUAL FUND	A	Dividend			Sold	5/21/09	J		
46. VANGUARD INTL VALUE FUND - MUTUAL FUND - VTRIX	A	Dividend			Sold	1/12/09	J		
47. VANGUARD STRATEGIC - MUTUAL FUND - VSEQX	A	Dividend			Sold	7/10/09	J		
48. FNB DAILY FUND - IRA	A	Dividend	J	T					
49. HOME DEPOT INC - COMMON STOCK	A	Dividend	J	T					
50. VERIZON - COMMON STOCK - IRA	A	Dividend	J	T					
51. FNB DAILY FUND - IRA	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. APPLE COMPUTER - COMMON STOCK		None	J	T					
53. GLD - COMMON STOCK		None	K	T	Sold (part)	10/22/09	J	B	
54. ISHARES SILVER TR - COMMON STOCK		None			Sold	6/3/09	J	A	
55. FEDERATED TOTAL RETURN BD MUTUAL FUND - IRA - FTRBX	C	Dividend	L	T					
56. VANGUARD INTERM TERM BOND INDEX FUND - IRA - VIBSX	C	Dividend	L	T					
57. VANGUARD SHORT-TERM FED-ADM #549 MUTUAL FUND - IRA VSGDX	B	Dividend	L	T					
58. TARGET CORP - COMMON STOCK - IRA	A	Dividend	J	T					
59. WALGREEN CO - COMMON STOCK - IRA	A	Dividend			Sold	7/1/09	J		
60. WELLS FARGO & CO - COMMON STOCK - IRA	A	Dividend	J	T					
61. RR DONNELLEY & SONS CO - COMMON STOCK - IRA	A	Dividend	J	T					
62. UNITED PARCEL SERVICE CL B - COMMON STOCK - IRA	A	Dividend	J	T					
63. APPLIED MATERIALS - COMMON STOCK - IRA	A	Dividend	J	T					
64. INTEL CORP - COMMON STOCK - IRA	A	Dividend	J	T					
65. MICROSOFT CORP - COMMON STOCK - IRA	A	Dividend	J	T					
66. SELECT SECTOR SPDR TR UTILITIES - COMMON STOCK - IRA	A	Dividend	K	T					
67. SELECT SECTOR SPDR TR MATERIALS - COMMON STOCK - IRA	A	Dividend	K	T					
68. DODGE & COX STOCK FUND - MUTUAL FUND - IRA	B	Dividend	L	T					

1. Income Gain Codes. (See Columns B1 and I24)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. FIDELITY FUND (FFIDX) - MUTUAL FUND	A	Dividend	J	T					
70. OPPENHEIMER MONEY MARKET - MUTUAL FUND	A	Dividend			Redeemed	6/15/09	J		
71. MEREDITH CORP - IRA	A	Dividend	J	T					
72. CITIGROUP - IRA		None	J	T					
73. FEDERATED STRATEGIC VALUE FUND #662 - IRA	A	Dividend	K	T					
74. OAKMARK INT'L #109 - IRA	A	Dividend	J	T					
75. HARBOR INT'L FUND #11 - IRA	A	Dividend	J	T					
76. BAIDU COM INC SPON ADR		None	J	T					
77. LIBERTY GLOBAL INC		None	J	T					
78. S&P MID CAP 400	A	Dividend	J	T					
79. ORRSTOWN FINANCIAL SERVICES	A	Dividend	J	T					
80. SUSQUEHANNA BANK	A	Dividend	J	T					
81. AMERICAN CENTURY MID CAP VALUE	A	Dividend	J	T					
82. BRIDGEWAY LARGE CAP GROWTH	A	Dividend	J	T					
83. FIDELITY BALANCED FBALX	A	Dividend	J	T					
84. SWEDISH EXPT CR CORP ELEMENTS		None			Sold	6/18/09	J		
85. VANGUARD US VALUE VUVLX	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. FEDERATED STRATEGIC VALUE #662 - IRA - SVAIX	A	Dividend	J	T					
87. NEWMONT MINING	A	Dividend			Sold	6/2/09	J	A	
88. MARKET VECTORS ETF TR GOLD MINERS		None			Sold	6/2/09	J	B	
89. MARKET VECTORS ETF TR GOLD MINERS		None			Sold	6/2/09	J	B	
90. ANNALY CAPITAL MANAGEMENT INC	A	Dividend			Sold	3/23/09	J		
91. ANNALY CAPITAL MANAGEMENT INC	A	Dividend			Sold	3/23/09	J		
92. MCDERMOTT INTL INC		None			Sold	4/20/09	J		
93. CONTINENTAL AIRLINES		None			Sold	1/21/09	J		
94. US AIRWAYS		None			Sold	1/21/09	J		
95. TREASURY FUND CAPITAL RESERVES	A	Dividend			Redeemed	6/15/09	J		
96. WALT DISNEY CO	A	Dividend	J	T					
97. PHOENIX CONTRACTING - FAMILY BUSINESS	A	Distribution	J	W					
98. FEDERATED INTERCONTINENTAL FUND - IRA	A	Dividend	J	T	Buy	6/15/09	J		
99. NXG COMMON STOCK		None	J	T	Buy	5/11/09	J		
100. NG COMMON STOCK		None	J	T	Buy	5/4/09	J		
101. POT COMMON STOCK	A	Dividend	J	T	Buy	9/22/09	J		
102. AGCO COMMON STOCK		None	J	T	Buy	10/13/09	J		

1. Income Gain Codes:
(See Columns B1 and D3)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

JONES III, JOHN E.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. IPI COMMON STOCK		None	J	T	Buy	9/23/09	J		
104. GDXX COMMON STOCK		None	J	T	Buy	12/21/09	J		
105. MFN COMMON STOCK		None	J	T	Buy	6/22/09	J		
106. CCJ COMMON STOCK		None	J	T	Buy	1/27/09	J		
107. CCJ COMMON STOCK		None	J	T	Buy	2/17/09	J		
108. NEW GOLD INC. COMMON STOCK		None			Buy	5/4/09	J		
109. NEW GOLD INC. COMMON STOCK		None			Sold	8/24/09	J	B	
110. POWERSHARES DB MULTI SECTOR COMMODITY COMMON STOCK		None			Buy	3/18/09	J		
111. POWERSHARES DB MULTI SECTOR COMMODITY COMMON STOCK		None			Sold	6/5/09	J	A	
112. SOUTHERN COPPER CORP COMMON STOCK		None			Buy	1/28/09	J		
113. SOUTHERN COPPER CORP COMMON STOCK		None			Sold	2/17/09	J	A	
114. US GOLD CORP COMMON STOCK		None			Buy	5/7/09	J		
115. US GOLD CORP COMMON STOCK		None			Sold	8/24/09	J	A	
116. UNITED STATES NATURAL GAS FD LP		None			Buy	4/28/09	J		
117. UNITED STATES NATURAL GAS FD LP		None			Sold	6/3/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting JONES III, JOHN E.	Date of Report 05/12/2010
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PART VII - INVESTMENTS AND TRUSTS

ITEM 29 BANCO SANTANDER IS A NAME CHANGE FROM ITEM 31 SOVEREIGN (SOV) ON THE 2008 REPORT.

ITEM 53 GLD COMMON STOCK IS A NAME CHANGE FROM ITEM 57 STREETTRACKS GOLD TR GOLD - COMMON STOCK ON THE 2008 REPORT.

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Name of Person Reporting

JONES III, JOHN E.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544