

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alarcon, Arthur L.	2. Court or Organization U.S. Court of Appeals	3. Date of Report 03/08/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. Courthouse 312 No. Spring Street Los Angeles, CA 90012	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Director

Las Familias del Pueblo (a not-for-profit corporation)

2.

3.

4.

5.

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JUDICIAL
DISCLOSURE OFFICE**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1. 6/76

Employee's Retirement Benefit (Los Angeles County)

2. 6/76

Employee's Retirement Benefit (State of California)

3. 6/92

State Judge's Pension

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	Retirement Benefits, State of California	\$2,405.00
2. 2008	Retirement Benefits, Los Angeles County	\$11,097.00
3. 2008	Retirement Benefits, State of California Judges	\$41,920.00
4. 2008	West Services Inc.	\$10,875.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Retirement Plan of Citibank
2. 2008	Retirement Plan of Honeywell
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cal Western Life Ins Co	A	Interest	K	W					
2. U.S. Treasury Bills	C	Interest	K	T	Redeemed (part)	04/03	J		
3.					Redeemed (part)	05/01	L		
4.					Redeemed (part)	08/07	K		
5.					Buy (add'l)	08/27	K		
6. United Airline Stock		None	J	T					
7. Wachovia Bank Accounts	B	Interest			Closed	09/27	K		
8. Citibank Accounts	A	Interest	K	T	Open	10/17	K		
9. Brokerage Account #1									
10. - TD Ameritrade MMDA Sweep Account	A	Interest	K	T					
11. - Citigroup Stock	C	Dividend	K	T	Buy (add'l)	02/15	J		
12.					Buy (add'l)	10/10	J		
13. - Coca Cola Stock	A	Dividend	J	T					
14. - Hewlett Packard Stock	A	Dividend	K	T					
15. - Microsoft Stock	A	Dividend	J	T					
16. - Proctor & Gamble Stock	A	Dividend	J	T					
17. - Nokia Stock	A	Dividend	J	T					

1. Income Code
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)

F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

L = \$10,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - IBM Stock	A	Dividend	J	T					
19. - Sara Lee Stock	A	Dividend	J	T	Buy (add'l)	02/15	J		
20. - Mastercard Inc Stock	A	Dividend	J	T					
21. - Paterson-UTI Energy Inc Stock	A	Dividend	J	T					
22. Brokerage Account #2									
23. - TD Ameritrade MMDA Sweep Account	A	Interest	J	T					
24. - Honeywell Stock	A	Dividend	K	T	Sold (part)	10/15	J	C	
25. - Cisco Stock		None	J	T					
26. - Pfizer Stock	A	Dividend	J	T					
27. - Merck Stock	A	Dividend	J	T					
28. - International Paper Stock	A	Dividend	J	T					
29. - EXXON Mobile Corp Stock	A	Dividend	J	T	Buy (add'l)	10/24	J		
30. - 3M Company Stock	A	Dividend	J	T					
31. - Intel Stock	A	Dividend	J	T					
32. - Clorox Company Stock	A	Dividend	J	T					
33. - Newell Rubbermaid Inc Stock	A	Dividend	J	T					
34. - Citigroup Inc Stock	A	Dividend	J	T	Buy (add'l)	10/15	J		

1. Exemption Codes

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

H2 = More than \$5,000,000

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Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35.					Buy (add'l)	10/24	J		
36.					Buy (add'l)	11/14	J		
37. - GE Stock	A	Dividend	J	T	Buy	02/04	J		
38. Brokerage Account #3									
39. - Wachovia Bank Deposit Sweep Option	A	Interest	J	T					
40. - Capmark Bank CD	C	Interest			Redeemed	06/13	L		
41. - Doral Bank CD	C	Interest			Redeemed	12/08	L		
42. - Enterprise Bank CD	C	Interest			Redeemed	05/02	L		
43. - Franklin Bank CD	C	Interest			Redeemed	07/10	L		
44. - Lehman Commercial Bank CD	C	Interest	L	T					
45. - Stillwater Bank CD	C	Interest			Redeemed	01/24	L		
46. - Calif State Bond		None	M	T	Buy	12/15	M		
47. - Carolina First Bank CD		None	L	T	Buy	07/21	L		
48. - National Bank CD		None	L	T	Buy	06/16	L		
49. - Silverton Bank CD	B	Interest	L	T	Buy	08/07	L		
50. - Southwest Bank CD	C	Interest	L	T	Buy	01/28	L		
51. - Wright Exp CD	A	Interest			Buy	05/05	L		

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Redeemed	08/07	L		
53. Brokerage Account #4									
54. - Wachovia Bank Deposit Sweep Option	A	Interest	J	T					
55. - Firstbank CD	C	Interest			Redeemed	02/14	L		
56. - New Frontier Bank CD	C	Interest			Redeemed	06/27	L		
57. - Washington Mutual CD	C	Interest			Redeemed	05/05	L		
58. - Pyramax Bank CD	C	Interest			Redeemed	08/08	L		
59. - American Exp Bank CD		None	M	T	Buy	11/25	M		
60. - Bank of India CD	A	Interest			Buy	02/14	L		
61.					Redeemed	05/21	L		
62. - Bank India CD		None	L	T	Buy	07/02	L		
63. - Colonial Bank CD		None	L	T	Buy	05/28	L		
64. - First Banking CD	B	Interest			Buy	05/12	L		
65.					Redeemed	11/21	L		
66. - First National Bank CD	A	Interest			Buy	02/14	K		
67.					Redeemed	04/28	K		
68. - Merrick Bank CD	B	Interest			Buy	05/12	L		

1. Income Gain Codes.
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

D = \$1,001 - \$2,000

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,001 - \$2,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$3,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date Month - Day	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Redeemed	11/17	L		
70. - Wachovia Bank CD	A	Interest	L	T	Buy	09/12	L		

1. Income Gain Codes
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

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N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

D = \$1,001 - \$2,000

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,001 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

U = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

- 1) Part VII, lines 10 thru 37: differences between 2007 and 2008 for entries in Column B (Income) and C (Gross Value) are due to fluctuation in stock prices and dividends.
- 2) Part VII, the "Audit" flagged lines 9, 22, 38, and 53 with "...if the entry in column A is not a header then...." The entries in lines 9, 22, 38, and 53 are headers.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544