

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Duffey, Jr., William S.	2. Court or Organization Northern District of Georgia	3. Date of Report 05/12/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 1721 United States Courthouse 75 Spring Street, S.W. Atlanta, GA 30303-3309	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Mary Hall Freedom House, Inc. - Salary
2. 2008	Holtzbrink Publishing Holdings, LP - royalties from copyrights
3. 2008	Penguin Group (USA) Inc. - royalties from copyrights
4. 2008	Self-employed writer

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of South Carolina	4/17/08-4/18/08	Columbia, SC	Professional/Civic Org.	Travel
2. Chemonics International, Inc.	6/6/08-6/13/08	Kyiv, Ukraine	Seminar w/ Gov.	Travel
3. Federal Courts	10/27/08-10/30/08	West Palm Beach, FL	Educational Seminar	Travel
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage Account #2									
2. -MS Bank Deposit Program	A	Interest	J	T					
3. -American LTD Term Tax-Ex Bond	A	Interest	K	T	Sold (part)	05-23	J		
4. -Franklin Mutual Shares Fund	A	Dividend	K	T	Sold (part)	12-23	K		
5.	A	Distribution							
6. -Atlanta WTR-A BE	B	Interest	K	T					
7. -Van Kampen Emerging Market Fund	A	Dividend	L	T	Sold (part)	06-18	J	D	
8.	E	Distribution							
9. -Van Kampen Equity Growth Fund		None	K	T					
10. -Unit Van Kampen Equity Allocation Port folio	B	Dividend	L	T	Sold (part)	08-01	M		
11.	D	Distribution							
12. IRA #1	A	Dividend	M	T					
13.	C	Interest							
14. -MS Bank Deposit Program									
15. -FHR 2920 WG 5.25%									
16. -Federal National Mtg Assn					Sold (part)	12-25	J		
17. -MS International Fund C									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Fed Home Ln Bk 5.00%					Matured	1-17	K	A	
19. -Franklin Perspectives All C									
20. -AIPABSRT									
21. -Fed Home LN BK 2/2/18					Buy	1-24	K		
22. IRA #2	A	Interest	M	T					
23. -MS Bank Deposit Program									
24. -AIPABSRT									
25. -Keyworth Bank									
26. Roth IRA #1	A	Dividend	J	T					
27. -Van Kampen Growth & Income C									
28. Roth IRA #2	A	Dividend	J	T					
29. -Van Kampen Growth & Income C									
30. Brokerage #4 (See Part VIII) (X)									
31. -MS Bank Deposit Program (See Part VIII) (X)									
32. -MS Strategist Fund C (See Part VIII) (X)									
33. -Unit Van Kampen Core Equity Alloc. Port (See Part VII) (X)									
34. NW Mutual Extra Ordinary Life		None			Donated				

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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35. NW Mutual Estate CompLife		None	L						
36. NW Mutual 65 Life		None	L						
37. K & S Investments	A	Interest	J	U					
38.	A	Dividend							
39.	A	Distribution							
40. C & B Capital I, L.P. *	C	Dividend	K	U					
41.	A	Interest							
42.	B	Distribution							
43. C & B Capital II, L.P. **	A	Interest	K	U					
44.	D	Distribution							
45.	D	Dividend							
46. Betsy Byars, Inc.		None	M	W					
47. Gold coins		None	J	T					
48. Brokerage Account #1									
49. -MS Bank Deposit Program	A	Interest	J	T					
50. -Intertational Value Equity Fund		None			Sold	11-07	M		
51. -MS Equally Weighted S & P 500	D	Distribution	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

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52.	A	Dividend							
53. -San Diego Cnty CA Pension Oblg	B	Interest			Sold	02-28	L		
54. -Blackrock Global Allocation C	B	Dividend	L	T	Buy	11-13	K		
55. Brokerage Account #3									
56. -MS Bank Deposit Program	A	Interest	J	T					
57. -Ishares Dow Jns US Financial Sector	B	Dividend	K	T					
58. -Ishares Dow Jns Us Cons Cyclcl	A	Dividend			Sold	10-24	K		
59. -Ishares DJ US Cnsmr Non Cyclcl	A	Dividend	K	T					
60. -Ishares DJ US Healthcare Scter	A	Dividend	L	T					
61. -Ishares DJ US Indl Scter	A	Dividend	K	T					
62. -Ishares MSCI Emerging Mkts Fd	A	Dividend	J	T					
63. -Ishares MSCI EAFE Fund	B	Dividend	K	T					
64. -Standard & Poors Midcap 400	A	Dividend	K	T					
65. IRA #3	E	Dividend	O	T					
66.	D	Interest							
67. -Morgan Stanley Bank Deposit Program									
68. -The Technology Sel Sec SPDR FD									

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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69. -Ishares Dow Jns US Fincl Sctr									
70. -Ishares DJ US Basic Mtls Sctr									
71. -Ishares Dow Jns US Cons Cyclel									
72. -Ishares DJ US Healthcare Sctr									
73. -Powershares Dynamic Pharmaceutacal									
74. -Powershares Exchange TR FD									
75. -Eaton Vance Ltd Durations FD									
76. -Ishares S&P Gbl Engy Sctr Idx									
77. -FHR 3237 CB					Sold (part)	8-15	J	A	
78. -GNMA Pool					Sold (part)	12-20	J	A	
79. -US TSY Note 8/15/09									
80. -US TSY Note 11/15/08					Matured	11-13	J	A	
81. -MFS Charter Income TR SBI									
82. -US TSY BD Fed 2/15/09									
83. -Ishares MSCI Growth Index FD									
84. -FHLMC 7/15/14									
85. -Ishares Gbl Tech Sctr									

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86. -Ishares IBOXX Invest GR					Buy	2-06	M		
87. -Ishares Lehman Aggregate					Buy	2-06	M		
88. -FHLMC 11/20/15					Buy	11-17	K		
89. One Georgia Bank		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

*Ownership interest percentage is 0.20875%. During the reporting period, C&B Capital I, L.P. held interests in the following companies:

Ancillary Health Care Solutions, Inc.
Central Security Group, Inc.
VCG, LLC
Global Care, Inc.
NuBridges, Inc.
Alliance Surgery, Inc.
Alimera Sciences, Inc.
Focus Receivables Management, Inc.
Healthmark Partners, Inc.

**Ownership interest percentage is 0.74334%. During the reporting period, C&B Capital II, L.P. held interests in the following companies:

SnagAJob.com, L.P.
CBG Holdings, Inc.
Alimera Sciences, Inc.
All Web Leads, Inc.
Brightree, LLC
Healthcare Solutions, Inc.
Prommis Solutions, Inc.

***Brokerage Account #4 is owned [REDACTED]

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544