

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MORENO, FEDERICO A.	2. Court or Organization SOUTHERN DISTRICT OF FLORIDA	3. Date of Report 05/07/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CHIEF U.S. DISTRICT JUDGE-ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Courthouse 400 N. Miami Avenue Room 13-3 Miami, Florida 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-11 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/13-12/13	Adjunct Professor Stipend - University of Miami Law School	\$6,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Asset (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential (I.R.A. Mutual Funds) ***	C	Interest	K	T					
2. Prudential (I.R.A. Mutual Funds) Same as #1 except in [REDACTED]	C	Interest	K	T					
3. Coconut Grove Bank (Checking Account)	A	Interest	K	T					
4. Prudential Securities - Gas System Revenue Series	A	Int./Div.	K	T					
5. AXA Equitable Variable Investments Mutual Funds	B	Int./Div.	L	T					
6. T Rowe Price SSGA US Gov't Bd Mutual Funds MFT/AIM Cap. App.	E	Int./Div.	N	T					
7. Sabadell Bank Acct#1(formerly Melon)	C	Interest	N	T					
8. Marquis Bank	B	Interest	M	T					
9. First Bank of Florida Money Market Acct #1	C	Interest	O	T					
10. First Bank of Florida Checking Acct #2	A	Interest	K	T					
11. Sabadell Bank Money Mkt. Acct #2	A	Interest	K	T					
12. Pimco Dynamic Credit Income Fund	C	Dividend	L	T	Buy	01/31/13			
13. Apple Inc.	B	Dividend	K	T	Buy	05/29/13			
14.									
15.									
16.									
17.									

1. Income Gen. Codes: A - \$1,000 or less B - \$1,001 - \$2,500 C - \$2,501 - \$5,000 D - \$5,001 - \$15,000 E - \$15,001 - \$50,000
(See Columns B1 and D4) F - \$50,001 - \$100,000 G - \$100,001 - \$1,000,000 H - \$1,000,001 - \$5,000,000 I - More than \$5,000,000
2. Value Codes: J - \$15,000 or less K - \$15,001 - \$50,000 L - \$50,001 - \$100,000 M - \$100,001 - \$250,000
(See Columns C1 and D3) N - \$250,001 - \$500,000 O - \$500,001 - \$1,000,000 P - \$1,000,001 - \$5,000,000 Q - More than \$5,000,000
3. Value Method Codes: Q - Appraisal R - Cost (Real Estate Only) S - Assessment T - Cash Market
(See Column C3) U - Book Value V - Other W - Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS AND TRUSTS

*****1. Prudential (I.R.A. Mutual Funds) Investment Plan Annuities linked to a Prudential Life Insurance Policy

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ FEDERICO A. MORENO**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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