

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Daniel, Wiley Y.	2. Court or Organization U.S. District Court, Colorado	3. Date of Report 05/06/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address United States District Court 901 19th Street, A1038 Denver, Colorado 80294	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Roundup River Ranch
2. President and Member of Board	Federal Judges Association
3. Director	Colorado Campaign for Inclusive Excellence
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

RECEIVED
2009 MAY 17 PM 12:50
U.S. DISTRICT COURT
DENVER, CO

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Daniel, Wiley Y.

Date of Report

05/06/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	University of Colorado School of Law for Teaching	\$14,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Law Education Institute & Colorado Bar Association	1/3 to 1/7 2009	Vail, CO	Speaker at CLE Program	Lodging & Food
2.	Colorado Bar Association	6/25 to 6/27 2009	Steamboat Springs, CO	Speaker at CLE Program	Food, Lodging & Mileage
3.	Federal Judges Association	5/1 to 5/4 2009	Washington, D.C.	FJA Board Meeting	Transportation, Food & Lodging
4.	Federal Judges Association	7/14 to 7/17 2009	Milwaukee, WI	Federal Magistrate Judges Association Meeting	Transportation, Food & Lodging
5.	Federal Judges Association	10/10 to 10/16 2009	Marrakech, Morocco	International Association of Judges Conference	Transportation & Food
6.	Federal Judges Association	10/18 to 10/21 2009	Las Vegas, Nevada	National Conference of Bankruptcy Judges	Transportation, Food & Lodging

FINANCIAL DISCLOSURE REPORT
Page 3 of 7

Name of Person Reporting

Daniel, Wiley Y.

Date of Report

05/06/2010

7.				
8.				
9.				
10.				
11.				
12.				

FINANCIAL DISCLOSURE REPORT
Page 4 of 7

Name of Person Reporting
Daniel, Wiley Y.

Date of Report
05/06/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Countrywide Mortgage	Mortgage on real property in Frisco, CO	L
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Daniel, Wiley Y.

Date of Report

05/06/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Frisco, CO real property	D	Distribution	K	W					
2. Denver, CO real property	D	Distribution	L	W					
3. Charles Schwab & CO—See attached sheet for transactions		None	M	T					
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

Daniel, Wiley Y.
Financial Disclosure Report - Calendar year 2009
Prepared January 14, 2010

Description of Assets	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Amount Code	Type	Value Code	Value Method	Type	Date	Value Code	Gain Code	Private transaction
Charles Schwab IRA									
Mutual Fund Holdings:									
- Oakmark Select	A	Dividend	K	T	Partial sale	11/30 & 12/8	J	A	N/A
- Turner Midcap Growth	None	N/A	J	T	Partial sale	11/30/2009	J	A	N/A
- Harbor Capital Appreciation	None	N/A	J	T	Partial sale	5/6 & 11/30	J	A	N/A
- Artisan International	A	Dividend	K	T	Partial sale	6/15 & 11/30	J	A	N/A
- Oakmark International	B	Dividend	J	T	Partial sale	12/8/2009	J	A	N/A
- Oppenheimer Developing Markets	A	Dividend	J	T	Partial sale	5/6, 6/15, 12/8	J	A	N/A
- Loomis Sayles Bond	C	Dividend	K	T	Partial sale	5/6, 9/21, 11/30	J	A	N/A
- Select American Shares	None	N/A	J	T	Purchase	11/30/2009	J		N/A
- Wasatch Small Cap Growth	None	N/A	J	T	Purchase	11/30/2009	J		N/A
- Schwab Money Market Fund	A	Dividend	J	T					
- Berkshire Hathaway	None	N/A	K	T	Add'l purchase	12/1/2009	J		N/A
Charles Schwab joint brokerage account									
- Schwab Money Market Fund	A	Dividend	J	T					

FINANCIAL DISCLOSURE REPORT
Page 6 of 7

Name of Person Reporting
Daniel, Wiley Y.

Date of Report
05/06/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT
Page 7 of 7

Name of Person Reporting

Daniel, Wiley Y.

Date of Report

05/06/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544