

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2003

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App. §§101-111)

1. Person Reporting (Last name, first, middle initial) BAUER, William J.		2. Court or Organization U.S. Court of Appeals for the Seventh Circuit		3. Date of Report 5/6/04
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Status		5. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final		6. Reporting Period 1/1/03 - 12/31/03
7. Chambers or Office Address 219 South Dearborn Street Room 2754 Chicago, IL 60604		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐	NONE (No reportable positions.)
1 Trustee	Elmhurst College, Elmhurst, IL
2 Trustee	DePaul University, Chicago, IL
Trustee	Elmhurst Hospital, Elmhurst, IL
3 Board of Advisors	Mercy Hospital, Chicago, IL
Board of Advisors	Willamette Trial Program, Salem, OR

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☒	NONE (No reportable agreements.)
1	
2	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME

A. Filer's Non-Investment Income		
☒	NONE (No reportable non-investment income.)	
1	Foundation Press (casebook royalty)	\$1,369.00
2	Willamette University College of Law (teaching)	\$1,800.00
3		
B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)		
☒	NONE (No reportable non-investment income.)	
1		
2		

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Date of Report

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

SOURCEDESCRIPTION☐

NONE (No such reportable reimbursements.)

- | | |
|---|--|
| 1 | Willamette University (teaching), airfare, rental car, hotel, cabs (Salem, OR) (December 27 - January 4, 2003) |
| 2 | Loyola University (teaching), airfare, hotel, meals, cabs (London, England) (January 4-12) |
| 3 | Association of Government Attorneys (Speaking), airfare, hotel, meals, cabs (New Orleans, LA) (July 31 - August 3) |
| 4 | |
| 5 | |
| 6 | |
| 7 | |

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)SOURCEDESCRIPTIONVALUE☐

NONE (No such reportable gifts.)

- | | | | |
|---|------------------------|-----------------------------|------------|
| 1 | Union League Club | partial honorary membership | \$1,728.00 |
| 2 | Tavern Club of Chicago | partial honorary membership | \$ 250.00 |
| 3 | | | \$ |
| 4 | | | \$ |

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)CREDITORDESCRIPTIONVALUE CODE*☒

NONE (No reportable liabilities.)

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|---|--|--|--|
| 1 | | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000
N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000
P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

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BAUER,

A Description of Assets (including trust assets) <i>Place "X" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Am't. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE									
1 accounts	E	int.	M	T					
2 (Fifth Third Bank, previously Old Kent Bank)									
3 Western & Southern Life Assur. Annuity	A	int.	M	T					
4 General Electric Capital Assur.	A	int.	K	T					
5 Annuity									
6									
7									
8									
9									
10									
11									
12									
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14									
15									
16									
17									

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P1=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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BAUER, William J.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

I received, through the estate of my late [REDACTED] of ICH Corporation, for which I can find no market value. Nevertheless, the stock is listed in my name.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date May 6, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

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1		
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7		

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SOURCE

DESCRIPTION

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☐	NONE (No such reportable gifts.)		
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2			\$
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CREDITOR

DESCRIPTION

VALUE CODE*

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2			
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Name of Person Reporting BAUER, William J.	Date of Report 5/6/04
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BAUER, William J.

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[illegible]

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Signature [REDACTED]

Date August 10, 2004

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