

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. app. §§101-111)

1. Person Reporting (Last name, first, middle initial) <i>Simisor Charles R.</i>		2. Court or Organization <i>KY WD</i>		3. Date of Report <i>5-15-06</i> <i>4-17</i>
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) <i>Act.</i>		5a. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final		6. Reporting Period <i>1-1-05 12-31-05</i>
7. Chambers or Office Address <i>601 W. Broadway #247</i> <i>Louisville, KY 40202</i>		5b. Amended Report _____		
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____				
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.				

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☐ NONE (No reportable positions.)	
1 <i>[REDACTED]</i>	<i>[REDACTED]</i>
2 <i>Execution</i>	<i>ESTATE #1</i>
3	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
1	
2	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	AMOUNT
A. Filer's Non-Investment Income		
☒ NONE (No reportable non-investment income.)		
1		
2		
3		
B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)		
☐ NONE (No reportable non-investment income.)		
1 <i>05</i>	<i>[REDACTED]</i>	
2		

RECEIVED
APR 24 10 40 AM '06
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

SIMPSON

Charles R

Date of Report

4-17-06

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)SOURCEDESCRIPTION

NONE (No such reportable reimbursements.)

1

2

3

4

5

6

7

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)SOURCEDESCRIPTIONVALUE

NONE (No such reportable gifts.)

1

\$

2

\$

3

\$

4

\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)CREDITORDESCRIPTIONVALUE CODE*

NONE (No reportable liabilities.)

1

2

3

4

5

*Value Codes:

A-\$15,000 or less

B-\$15,001-\$50,000

C-\$50,001-\$100,000

D-\$100,001-\$250,000

E-\$250,001-\$500,000

F-\$500,001-\$1,000,000

G-\$1,000,001-\$5,000,000

H-\$5,000,001-\$25,000,000

I-\$25,000,001-\$50,000,000

J-\$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Simpson Charles R

Date of Report

5-15-06
4-17

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code1 (A-H)	Type (e.g., div, rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 GE common	A	DIV	K	T					
2 LOU. FED C.V.	B	INT	K	T					
3 KY TELCO C. V. X	B	INT	L	T					
4 DUKAC TAT-FAGE INCOME SECUR	D	DIV	M	T					
5 SCUDRON TAX FREE FUND	A	INT			SELL	3/27	J	A	
7 HILLIARD-LYONG GOVT FUND	A	DIV			SELL	2/14	L	FF	
8 HILLIARD-LYONG GOVT FUND	A	DIV			SELL	3/17	J	A	
9 VANGUARD ADMIRAL TRSAS MM	B	DIV	L	T	BUY	2/17	L		
10 VANGUARD TOTAL STOCK MKT FUND	A	DIV	K	T	BUY	9/22	K		
11 ANGELICA com.	A	DIV	J	T					
12 BARRICK GOLD com	A	DIV	J	T					
13 CASUM MARE RET. GRP com	A	DIV	J	T					
14 PRINCETON DOWNS com	A	DIV	J	T					
15 JOHNSON OUTDOOR COM	A	DIV	J	T					
16 SPATCO com	A	DIV	J	T					
(E) 17 HILLIARD-LYONG GOVT FUND	A	DIV			SELL	2/14	L	A	

1 Income/Gain Codes	A-\$1,000 or less (See Col. B1, D4)	B-\$1,001-\$2,500 (See Col. B1, D4)	C-\$2,501-\$5,000 (See Col. B1, D4)	D-\$5,001-\$15,000 (See Col. B1, D4)	E-\$15,001-\$50,000 (See Col. B1, D4)
2 Value Codes	J-\$15,000 or less (See Col. C1, D3)	K-\$15,001-\$50,000 (See Col. C1, D3)	L-\$50,001-\$100,000 (See Col. C1, D3)	M-\$100,001-\$250,000 (See Col. C1, D3)	N-\$250,001-\$500,000 (See Col. C1, D3)
3 Value Method Codes	Q-Appraisal (See Col. C2)	R-Cost (real estate only) (See Col. C2)	S-Assessment (See Col. C2)	T-Cash/Market (See Col. C2)	U-Other (See Col. C2)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Simson Cameron R

Date of Report

5-15-06

VII. Page 2 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amt. Code (A-H)	(2) Type (C, div, rent or int.)	(1) Value Code2 (I-B)	(2) Value Method Code3 (Q-W)	(1) Type (C, buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code2 (I-B)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 VANGUARD ADMIRAL TREAS M M FUND	B	DIV	L	T	Buy	2/17	L		
2 VANGUARD TOTAL STOCK MKT 10 Day FUND	A	DIV	K	T	Buy	7/22	K		
3 ASA LTD com	A	DIV	K	T					
4 BARRICK GOLD com	A	DIV	J	T					
5 CASHMARE RETAIL GROUP com	A	DIV	J	T					
6 COUNCIL DOWNS INC com	A	DIV	J	T					
7 CROSS AT com	A	DIV	J	T					
8 GLIMCO REIT com	A	DIV	J	T					
9 KY EVGUTH SPC com	A	DIV	J	T					
10 VERITAS com	B	DIV	K	T					
(C) 11 WILLIAMS-LYONS HOLD FUND	A	DIV			Sell	2/15	L	A	
12 VANGUARD ADMIRAL TREAS M M FUND	B	DIV	L	T	Buy	2/24	L		
13 CASHMARE RETAIL GROUP com	A	DIV	K	T					
14 COUNCIL DOWNS com	A	DIV	J	T					
15 HEATHSORE com	A	DIV	J	T					
16 NATIONAL WEALTH INVEST com	A	DIV	J	T					
17 PENNA REIT com	A	DIV	J	T					

Income Codes: A: \$1,000 or less (See C-1, B-1, D-1)	B: \$1,001-\$2,500 C: \$2,501-\$5,000 D: \$5,001-\$10,000 E: \$10,001-\$25,000 F: \$25,001-\$50,000 G: \$50,001-\$100,000 H: \$100,001-\$250,000 I: \$250,001-\$500,000 J: \$500,001-\$1,000,000 K: \$1,000,001-\$5,000,000 L: \$5,000,001-\$10,000,000 M: \$10,000,001-\$25,000,000 N: \$25,000,001-\$50,000,000 O: \$50,000,001-\$100,000,000 P: \$100,000,001-\$250,000,000 Q: More than \$250,000,000	Value Codes: 1: \$1-\$100,000 (See C-1, D-1)	2: \$100,001-\$500,000 3: \$500,001-\$1,000,000 4: \$1,000,001-\$5,000,000 5: \$5,000,001-\$10,000,000 6: \$10,000,001-\$25,000,000 7: \$25,000,001-\$50,000,000 8: \$50,000,001-\$100,000,000 9: \$100,000,001-\$250,000,000 10: More than \$250,000,000	Value Method Codes: Q: Appraisal (See C-1, D-2)	R: Cost (real estate only) S: Assessment T: Book value U: Other V: Other W: Estimated	1: Cash/Market 2: Other
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

SIMPSON CAMERON R

Date of Report

5-15-06
4-17

VII. Page 3 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Asset (including trust assets) Place "X" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Am Code (A-H)	Type (C, div, rent or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (E, buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 VENTAS Com	A	DIV	K	T					
2 MILLARD-LYONS GOVT FUND	A	DIV			SELL	2/18	K	A	
3 VANGUARD TREAS MNT FUND	A	DIV	K	T	BUY	2/24	K		
4 VANGUARD STOCK MNT FUND	A	DIV	J	T	BUY	7/12	J		
5 BEST FUND STRATEGIC MUNICIPAL COM	A	DIV	J	T					
6 EXELON Com	A	DIV	J	T					
7 FPL GROUP Com	A	DIV	J	T					
8 GE Com	A	DIV	K	T					
9									
10 DUPRE INTERMEDIATE GOVT BOND SER	A	DIV	L	T					
11 US-BANK CD X	D	DIV	L	T					
12 PRUDENTIAL FINANCIAL ACCOUNT X	A	INT	K	T					
13 STOCKYARDS BANK ACCOUNT X	A	INT	M	T					
14 HM BONDS X	A	INT	K	T					
15 SERIES E BONDS	A	INT	K	T					
16 1/2 INTEREST REAL ESTATE ALA. MOBILE KY X	A	INT			SELL	11/21	L	E	
17									

OMITTED IN ERROR FROM PRIOR REPORTS

1 Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 E=\$15,001-\$50,000	H2=More than \$5,000,000
2 Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$250,000,001-\$500,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	P4=More than \$50,000,000
3 Value Method Codes: Q=Appraisal (See Col. C2) U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

SIMPSON, CHARLES A.

Date of Report

5-15-06
4-17

VII. Page 4 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Asset (including trust assets) <i>Place (A) after each asset exempt from prior disclosure</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amt. Code1 (A-F)	(2) Type (C, div, rent or int)	(1) Value Code2 (J-P)	(2) Value Method Code3 (Q-W)	(1) Type (C, buy, sell, mortgage, redemption)	(2) Date Month-Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-F)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 _____ <u>ESTATE #1 X</u>									
2 <u>SCUDSEN TAX EXEMPT MONEY FUND</u>	A	INT			SELL	9/17/04	M	A	
3 <u>GATON VANCE MONTICELLO TRUST</u>	B	INT			SELL	1/08	K	A	
4 <u>DUPRE TAX FREE INCOME SECUR</u>	E	DIV			SELL	10/28	O	A	
5 <u>EXELON COM.</u>	A	DIV			SELL	11/14	E	A	
6 <u>FPL GROUP COM.</u>	A	DIV			SELL	11/10	E	A	
7 <u>GE COM</u>	A	DIV			SELL	11/10	F	A	
8 <u>PRUDENTIAL FINANCIAL COM</u>	A	DIV			SELL	11/22	J	A	
9 <u>FIRST ENERGY Common</u>	A	DIV			SELL	11/14	J	A	
10 <u>M&S MULTI-MARKET FUND</u>	A	DIV			SELL	11/14	J	A	
11 <u>JEFFERSON COUNTY KY SCHOOL Bonds</u>	B	INT			SELL	11/9	M	A	
12 <u>SUN 101 BAYVIEW/MONTICELLO FUND</u>	E	INT	N	T					
13 <u>JEFFERSON COUNTY KY CAP. PRD. BONDS</u>	A	INT			SELL	11/14	K	A	
14 <u>KY TURNPIKE BONDS</u>	A	INT			SELL	11/14	K	A	
15 <u>KY TURNPIKE BONDS</u>	A	INT			SELL	11/14	K	A	
16 <u>LOUISVILLE AREA REVENUE BONDS</u>	A	INT			SELL	11/14	K	A	
17 <u>OWENSBORO KY ELECTRIC BONDS</u>	A	INT			SELL	11/14	K	A	

1. Income/Gain Code: A-\$1,000 or less (See Col. B1, D4) B-\$1,001-\$100,000 C-\$100,001-\$1,000,000 D-\$1,000,001-\$5,000,000 E-\$5,000,001-\$100,000,000 F-\$100,000,001-\$500,000,000 G-\$500,000,001-\$1,000,000,000 H-\$1,000,000,001-\$5,000,000,000 I-\$5,000,000,001-\$10,000,000,000 J-More than \$10,000,000,000	2. Value Method Code: Q-Appraisal (See Col. C2) R-Cost (real estate only) S-Assessment V-Other T-Cash/Market W-Estimated	3. Value Method Code: Q-Appraisal (See Col. C2) R-Cost (real estate only) S-Assessment V-Other T-Cash/Market W-Estimated	4. Value Method Code: Q-Appraisal (See Col. C2) R-Cost (real estate only) S-Assessment V-Other T-Cash/Market W-Estimated	5. Value Method Code: Q-Appraisal (See Col. C2) R-Cost (real estate only) S-Assessment V-Other T-Cash/Market W-Estimated
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

BIMPSON, CUALEZ R.

Date of Report

5-15-06
4-17

VII. Page 5 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

Description of Assets (including trust assets) <i>Place "P" after each asset exempt from prior disclosure</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amt. Code (A-H)	Type (e.g. div, rent or int)	Value Code (J-P)	Value Method Code (Q-W)	Type (e.g. buy, sell, merge, redemption)	Date Month-Day	Value Code (J-P)	Gain Code (A-H)	Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Dreyfus Strategic Municipal COM	B	DIU	K	T					
2 U.S. RSTRD BONDS	A	INT	L	T					
3 U.S. MH BONDS	A	INT	L	T					
4 PNC BANK ACCOUNTS	A	INT			SSU	9/2	L	A	
5 CHASE BANK ACCOUNTS	AB	INT			SSU	9/2	M	A	
6 U.S. BANK ACCOUNT	AB	INT			SSU	9/7	M	A	
7 BB&T BANK ACCOUNT	A	INT			SSU	9/1	W	A	
8 STOCK BROS BANK ACCOUNT	D	INT	P	T					
9									
10									
11									
12									
13									
14									
15									
16									
17									

1. Income Gain Code: A: \$1,000 or less; B: \$1,001-\$5,000; C: \$5,001-\$50,000; D: \$50,001-\$100,000; E: \$100,001-\$500,000; F: \$500,001-\$1,000,000; G: \$1,000,001-\$5,000,000; H: More than \$5,000,000	2. Value Code: J: \$15,000 or less; K: \$15,001-\$50,000; L: \$50,001-\$100,000; M: \$100,001-\$250,000; N: \$250,001-\$500,000; O: \$500,001-\$1,000,000; P: \$1,000,001-\$5,000,000; Q: More than \$5,000,000	3. Value Method Code: Q: Appraisal; R: Book value; S: Cost (for estate only); T: Estimated; U: Other	4. Gain Code: A: \$1,000 or less; B: \$1,001-\$5,000; C: \$5,001-\$50,000; D: \$50,001-\$100,000; E: \$100,001-\$500,000; F: \$500,001-\$1,000,000; G: \$1,000,001-\$5,000,000; H: More than \$5,000,000
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Simpson, C. M. R.

Date of Report

5-15-06
4-17

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date 4-17-06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

FILING INSTRUCTIONS

Mail signed original and 2 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544