

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Linn, Richard	2. Court or Organization U.S. Court of Appeals, Fed Cir	3. Date of Report 04/30/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. Court of Appeals 717 Madison Place, N.W. Washington, DC 20439	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member		Intellectual Property Advisory Board, George Washington University Law School
2. Past-President		The Giles S. Rich American Inn of Court
3. Member		The Richard Linn American Inn of Court
4. Visiting Member		The Hon. William C. Conner American Inn of Court
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The Hon. William C. Conner American Inn of Court	January 15-16, 2009	New York, NY	Inn of Court Dinner	Transportation, Meals, and Hotel
2.	The Ben Franklin American Inn of Court	January 21, 2009	Philadelphia, PA	Inn of Court Meeting	Transportation and Meals
3.	New York Intellectual Property Law Association	March 27-29, 2009	New York, NY	Annual Judges Dinner	Transportation, Meals, and Hotel
4.	The Hon. William C. Conner American Inn of Court	April 15-16, 2009	New York, NY	Inn of Court Meeting	Transportation, Meals, and Hotel
5.	The Richard Linn American Inn of Court	May 14-15, 2009	Chicago, IL	Inn of Court Meeting	Transportation

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6.	New York Intellectual Property Law Association	May 20-21, 2009	New York, NY	Bar Association Annual Meeting	Transportation, Meals, and Hotel
7.	New Jersey Intellectual Property Law Association	June 5-6, 2009	Short Hills, NJ	Annual Judges Dinner	Transportation, Meals, and Hotel
8.	The Richard Linn American Inn of Court	June 12-13, 2009	Chicago, IL	Inn of Court Dinner	Transportation, Meals, and Hotel
9.	The Boston Intellectual Property American Inn of Court	July 30-31, 2009	Boston, MA	Inn of Court Meeting	Transportation, Meals, and Hotel
10.	High Tech Law Institute and Federal Circuit Bar Association	September 24-27, 2009	Santa Clara, CA	Law School and Bar Association Seminar	Transportation, Meals, and Hotel
11.	The Richard Linn American Inn of Court	September 29-30, 2009	Chicago, IL	Inn of Court Meeting	Transportation
12.	The Boston Intellectual Property American Inn of Court	October 26-27, 2009	Boston, MA	Inn of Court Meeting	Transportation, Meals, and Hotel
13.	The Richard Linn American Inn of Court	November 12-15, 2009	Chicago, IL	Inn of Court Meeting	Transportation
14.	The Paul R. Michel American Inn of Court	December 1-2, 2009	Los Angeles, CA	Inn of Court Meeting	Transportation, Meals, and Hotel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Meridian International	Tickets to the Meridian Ball	\$420.00
2. New York Intellectual Property Law Association	Steuben Glass eagle leadership award	\$1,017.00
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
1. Cash Equivalent Account # 3	A	Interest	J	T					
2. Brokerage Account # 7									
3. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
4. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
5. - American Funds: Washington Mutual Investors Fund Class 529A	A	Dividend	J	T					
6. Brokerage Account # 9									
7. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
8. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
9. - American Funds: Washington Mutual Investors Fund Class 529A	A	Dividend	J	T					
10. - American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
11. Brokerage Account # 10									
12. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
13. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
14. - American Funds: Washington Mutual Investors Fund Class 529A	A	Dividend	J	T					
15. - American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
16. Brokerage Account # 11									
17. - American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cust (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
19. - American Funds: Washington Mutual Investors Fund Class 529A	A	Dividend	J	T					
20. - American Funds: Intermediate Bond Fund of America C1 529A	A	Dividend	J	T					
21. Brokerage Account # 13									
22. - American Funds Growth-Income Separate Account		None	L	T					
23. - American Funds Growth Separate Account		None	M	T					
24. - T. Rowe Price Large Cap Growth Portfolio Separate Account		None	M	T					
25. - MFS Value Portfolio Separate Account (See note)		None	M	T					
26. - Russell 2000 Index Portfolio Separate Account		None	L	T					
27. - Arto Int'l Stock Portfolio Separate Acct (See note)		None	M	T					
28. Brokerage Account # 14									
29. - Clarion Global Real Estate Portfolio Sep. Acct (See note)		None	K	T					
30. - MetLife Stock Index Portfolio Separate Account		None	L	T					
31. Cash Equivalent Account #15	A	Interest	L	T	Open	09/16/09	L		

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII, line 27, Artio International Stock Portfolio was previously known as and changed its name from Julius Baer International Stock Portfolio

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544