

AQ-10
Rev. 1/2004**FINANCIAL DISCLOSURE REPORT**

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Key, Alan C	2. Court or Organization U.S. District Court, Hawaii	3. Date of Report 3/18/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Sr.	5. Report type (check appropriate type) ☐ Nomination, Date 7/3/1986 ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 300 Ala Moana Blvd., C-415 Honolulu, HI 96850	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)☐ **NONE** - (No reportable positions.)**SELF INITIATED
AMENDMENT**

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Counselor	American Inns of Court - Hawaii
2.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)☐ **NONE** - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 12/90	IRA with Pacific Century Trust (fka Hawaiian Trust Co., Ltd.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Kay, Alan C

Date of Report

3/18/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1. _____

B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. _____

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. _____

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Kay, Alan C

Date of Report

3/18/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1. _____

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1. _____

	(1) (A-H)	Int.)	(J-P)	Code 3 (Q-W)	(1) merger, redemption)	disclosure			
						(2) Day	(3)	(4)	transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Real Property 1, Molokai, HI [REDACTED]	A	Rent	[REDACTED]	S					Tenant: Milton Juorio
2. Real Property 2, Molokai, HI (\$49,200)	A	Rent	K	S					
3. Real Property, Kailua, Oahu, HI (\$144,500)		None	M	S					
4. Real Property, Hanu, Maui, HI (\$508,200)		None	O	S					
5. Pacific Century Trust (IRA)1	[REDACTED]	Int./Div.	[REDACTED]	T					
6. -Pacific Capital Funds									
7. -Frank Russell Funds									
8. Pacific Century Trust (IRA)2	A	Interest	K	T					
9. -Oppenheimer Main St. Growth & Income Fund									
10. -Putnam Fund for Growth & Income									
11. Pacific Century Trust (IRA)3	A	Interest	J	T					
12. -Pacific Century money market									
13. Trust with Pacific Century Trust4	A	Interest	J	T					
14. -Pacific Capital Funds									
15. Trust	A	Dividend	M	T					
16. -Charles Schwab money market, 1040, & Int'l Index Funds									
17. Sears Liquid Asset Fund	A	Interest	J	T					
18. AIT common stock	A	Dividend	K	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
 (See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
 (See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
 P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
 (See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 2 of 2

Name of Person Reporting

Kay, Alan C

Date of Report

3/18/2004

VII. INVESTMENTS and TRUSTS

- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. - Income during reporting period		C. - Gross value at end of reporting period		D. - Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Pacific Century Financial Corp.		Dividend		T	partial gift		J		Donees were several charities
20. Exxon common stock	C	Dividend	M	T					
21. (intentionally blank)									
22. General Electric common stock	D	Dividend		T					
23. General Motors Corp. common stock	A	Dividend	J	T					
24. Verizon (formerly GTE Corp.) common stock	A	Dividend	K	T					
25. IBM common stock	A	Dividend	J	T					
26. Starwood Hotels & Resorts common stock	A	Dividend	K	T					
27. Phillips Petroleum Co. (stocks, notes & debentures)	A	Int./Div.	K	T					
28. Hawn Electric Industries common stock	A	Dividend	J	T					
29. Rayonier common stock	A	Dividend	J	T					
30. Lucent Technologies common stock	A	Dividend	J	T					
31. SBC Communications common stock	A	Dividend	J	T					
32. ITT Industries common stock	A	Dividend	K	T					
33. ITT Hartford Financial Services Group common stock	A	Dividend	L	T					

1. Income/Gain Codes:

A = \$1,000 or less

(See Columns B1 and B4)

F = \$50,001-\$100,000

2. Value Codes:

J = \$15,000 or less

(See Columns C1 and D3)

N = \$250,000-\$500,000

P3 = \$25,000,001-\$50,000,000

3. Value Method Codes

Q = Appraisal

(See Column C2)

U = Book Value

R = \$1,001-\$2,500

G = \$100,001-\$1,000,000

K = \$15,001-\$50,000

O = \$500,001-\$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501-\$5,000

H1 = \$1,000,001-\$5,000,000

L = \$50,001-\$100,000

P1 = \$1,000,001-\$5,000,000

P4 = \$More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001-\$15,000

H2 = More than \$5,000,000

M = \$100,001-\$250,000

P2 = \$5,000,001-\$25,000,000

T = Cash/Market

E = \$15,001-\$50,000

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Kay, Alan C

Date of Report

3/18/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Kay, Alan C

Date of Report

3/18/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

3/18/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT

Calendar Year 2002

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Kay, Alan C	2. Court or Organization U.S. District Court, Hawaii	3. Date of Report 3/18/2003
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Sr.	5. ReportType (check appropriate type) ☐ Nomination, Date 7/3/1986 ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2002 to 12/31/2002
7. Chambers or Office Address 300 Ala Moana Blvd., C-415 Honolulu, HI 96850	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Counselor

American Inns of Court - Hawaii

2.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 12/90

IRA with Pacific Century Trust (fka Hawaiian Trust Co., Ltd.)

RECEIVED
MAR 26 11 23 AM '04
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Kay, Alan C

Date of Report

3/18/2003

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(yours, not spouse's)

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B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE1.

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

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FINANCIAL DISCLOSURE REPORT

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Kay, Alan C

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

FINANCIAL DISCLOSURE REPORT

Page 1 of 2

Name of Person Reporting
Kay, Alan C

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Kay, Alan C

Date of Report

3/18/2003

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Kay, Alan C

Date of Report

3/18/2003

IX. CERTIFICATION.

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Signature



Date

3/18/04

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FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544