

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schiltz, Patrick J.	2. Court or Organization U.S. District Court, Minnesota	3. Date of Report 05/05/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address Warren E. Burger U.S. Courthouse 316 North Robert Street - Suite 774 Saint Paul, MN 55101-1423	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Minnesota Chapter of Federal Bar Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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 FINANCIAL
 DISCLOSURE OFFICE

Schiltz, Patrick J.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	University of Illinois (teaching)	\$3,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	University of St. Thomas (salary and summer research stipend)
2. 2009	West Services, Inc. (payment for work on casebook supplement)
3. 2009	Pauline Books & Media (\$600 honorarium for book chapter)
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Notre Dame Law School	Feb. 18-20, 2009	Notre Dame, IN	Judge Moot Court	Transportation, hotel, meals
2. University of Illinois College of Law	Mar. 17-18, 2009	Champaign, IL	Deliver Van Arsdell Lecture	Transportation, hotel, meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS — Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK ACCOUNTS									
2. -- Wells Fargo Bank Accounts	B	Interest	M	T					
3. -- US Bank Accounts (X)	A	Interest	J	T	Open	03/19/09	J		
4. -- Vanguard Prime Money Market Fund	A	Int./Div.	K	T					
5. FAEGRE & BENSON RETIREMENT ACCOUNT #1									
6. -- Vanguard Institutional Index Fund		None	M	T					
7. FAEGRE & BENSON RETIREMENT ACCOUNT #2									
8. -- Vanguard Institutional Index Fund		None	L	T					
9. UNIVERSITY OF ST. THOMAS 403(b) ACCOUNT #1									
10. -- CREF Stock Fund		None	M	T					
11. -- CREF Growth Fund		None	L	T					
12. -- CREF Bond Market Fund		None	K	T					
13. -- CREF Inflation-Linked Bond Fund		None	L	T					
14. UNIVERSITY OF ST. THOMAS 403(b) ACCOUNT #2									
15. -- CREF Stock Fund		None	M	T					
16. -- CREF Growth Fund		None	K	T					
17. -- CREF Bond Market Fund		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. – CREF Inflation-Linked Bond Fund		None	L	T					
19. IRA #1									
20. – Oakmark Fund	A	Dividend	J	T					
21. IRA #2									
22. – Oakmark Fund	A	Dividend	K	T					
23. MUTUAL FUNDS									
24. – Fidelity New Markets Income Fund	B	Dividend	K	T					
25. – Janus Worldwide Fund	A	Dividend	K	T					
26. – Northeast Investors Trust	A	Dividend	J	T					
27. – Oakmark Fund	A	Dividend	J	T					
28. – T. Rowe Price Small-Cap Stock Fund	A	Dividend	J	T					
29. – T. Rowe Price Tax-Free High Yield Fund	B	Dividend	K	T					
30. – Tweedy Browne American Value Fund	A	Dividend	J	T					
31. – Vanguard GNMA Fund	B	Dividend	K	T					
32. – Vanguard Intermediate-Term Tax-Exempt Fund	A	Dividend	K	T					
33. – Vanguard Limited-Term Tax-Exempt Fund	A	Dividend	K	T					
34. – Vanguard REIT Index Fund	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A – \$1,000 or less F – \$50,001 - \$100,000	B – \$1,001 - \$2,500 G – \$100,001 - \$1,000,000	C – \$2,501 - \$5,000 H1 – \$1,000,001 - \$5,000,000	D – \$5,001 - \$15,000 H2 – More than \$5,000,000	E – \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J – \$15,000 or less N – \$250,001 - \$500,000 P3 – \$250,000.001 - \$50,000,000	K – \$15,001 - \$50,000 O – \$500,001 - \$1,000,000	L – \$50,001 - \$100,000 P1 – \$1,000,001 - \$5,000,000 P4 – More than \$50,000,000	M – \$100,001 - \$250,000 P2 – \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q – Appraisal U – Book Value	R – Cost (Real Estate Only) V – Other	S – Assessment W – Estimated	T – Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Sig.

PS/05/10

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544