

FD-278
Rev. 7-2016

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. 101-106)

1. Person Reporting (last name, first, middle initial) Alford, Theodore L.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 06/18/2014
4. Title (Article III judges indicate active or senior status) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ New Report ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 2013
7. U.S. Bankruptcy Judge []	8b. Amended Report []	
9. Chambers or Office Address 111 W. NORTH STREET SEATTLE, WA 98101-3143 WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, including the NOVA box for each part where you have reportable information.		

I. POSITIONS. (See instructions on page 1 of form.)

☒ NONE (no reportable positions)

POSITION

NAME OF ORGANIZATION/ENTITY

H. AGREEMENTS. (See instructions on page 1 of form.)

☒ NONE (no reportable agreements)

DATE

PARTIES AND TERMS

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Name of Person Reporting

Amber Theodor C

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III. NON-INVESTMENT INCOME

(Originate interest and basis see pp. 17-18) (None miscellaneous)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Chapman University, Fowler School of Law	\$11,700.00
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2013	partner draws from law firm Gaskell & Brown, LLC
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. California Bk. Forum	May 22, 2013	San Diego	state conference	for food and board
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	credit card balance	J
2.	American Express	credit card balance	J
3.	Wells Fargo	credit card balance	J
4.	Bank of America	overdraft line of credit	J
5.			

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Name of Person Reporting

Albert, Theodor C.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Georgia Dover Ed. Trust #1	A	Dividend			Distributed	9/01/13	J		
2. -Washington Mutual Investor's Fund									
3. Georgia dover Ed. Trust#2	A	Dividend	K	T	Distributed (part)	9/01/13	J		
4. -Washington Mutual Investors Fund									
5. Bank of America checking	A	Interest	J	T					
6. Griffin & Griffin 401k	C	Int./Div.	L	T					
7. -The Growth Fund of America									
8. -The New Economy Fund									
9. -Small Cap World Fund									
10. -Washington Mutual Investors Fund									
11. -The Income Fund of America									
12. -The Bond Fund of America									
13. -American High Income Trust									
14. -U.S.Government Securities Fund									
15. -Intermediate Bond Fund of America									
16. -American Funds Money Market Fund									
17. Wells Fargo Checking	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. IRA Wells Fargo Advisors	A	Int./Div.	L	T					
19. -Wells Fargo Cash Account									
20. -Apple, Inc.									
21. -Currencyshares Australian Dollar Trust									
22. -Hecla Mining Co.									
23. -Microsoft Corp.					Sold	06/05/13	J		
24. -Xerox Corp.					Sold	12/04/13	J		
25. Wells Fargo Advisors #1(G) header									
26. -Wells Fargo Cash Account	A	Interest	L	T					
27. -Alpha Pro Tech LTD	A	Dividend	J	T					
28. -BP PLC Spons ADR	A	Dividend	J	T	Buy (add'l)	01/30/13	J		
29. -Darden Restaurants	A	Dividend	K	T	Sold (part)	04/05/13	J	A	
30. -Frontier Communications	A	Dividend	J	T					
31. -General Electric Corp.	A	Dividend	J	T					
32. -New York Community Bancorp.	A	Dividend	J	T					
33. -Morgan Stanley Fix/Float CPI Note	A	Dividend	J	T					
34. -U.S.Treasury Bond	A	Interest	L	T	Redeemed (part)	02/12/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Albert, Theodor C.

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35. -Aberdeen Asia Pacific Fund	A	Dividend	J	T					
36. -Morgan Stanley Emerging Market Fund	A	Dividend	J	T					
37. -Eaton Vance Tax Managed Global Buy-write Opp. Fund	A	Dividend	J	T					
38. -Gabelli Dividend & Income Trust	A	Dividend	J	T					
39. -Blackrock Enhanced Govt. Fund	A	Dividend	J	T					
40. -Green Mountain Coffee	A	Dividend	J	T	Buy (add'l)	05/08/13	K		
41. Wells Fargo Advisors #2 (G) header									
42. -Cash Sweep Account	A	Interest	L	T	Distributed (part)	02/01/13	K		
43. -Cash Sweep Account	A	Interest	L	T	Distributed (part)	03/06/13	K		
44. -Cash Sweep Account	A	Interest	L	T	Distributed (part)	04/15/13	K		
45. -Cash Sweep Account	A	Interest	L	T	Distributed (part)	08/27/13	K		
46. -John Hancock Life Ins. Floating Rate signature notes	A	Dividend	J	T	Sold (part)	04/12/13	K		
47. -John Hancock Life Ins. Floating Rate signature notes	A	Dividend	J	T	Sold (part)	12/10/13	K		
48. -Protective Life Secd. Tr. floating rate notes	A	Dividend	J	T	Sold (part)	08/22/13	J		
49. -La Salle Bank Floating Rate	A	Dividend			Sold	08/22/13	J		
50. -KKR Financial Holdings	A	Dividend			Buy	12/10/13	L		
51. -KKR Financial Holdings	A	Dividend			Sold	12/17/13	L	C	

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52. -Two Harbors Inv. Corp.	A	Dividend	J	T	Buy	12/19/13	J		
53. -Eaton Vance Tax Mg. Global	A	Dividend	J	T	Buy (add'l)	05/08/13	J		
54. -Aberdeen Asia Pac. Fund	A	Dividend	J	T	Buy (add'l)	12/10/13	J		
55. -Blackrock Global Opp. Eq. Trust	A	Dividend	J	T	Buy	10/22/13	J		
56. -SPDR DB Intl. Gov. Inflat. Protection	A	Dividend	J	T	Buy	10/22/13	J		
57. -AT&T	A	Dividend	J	T	Buy	07/07/13	J		
58.									
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67.									
68.									

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69.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII Investments cont. Items 1 and 2 Georgia Dover Educational trusts are invested in Washington Mutual Investor's Fund administered by Learning Quest. The first one is depleted, or nearly so.

Item #6 Griffin&Griffin 401k maintained by [REDACTED] former employer invested in American Funds: The Growth Fund of America-R3, The New Economy Fund R-3, Smallcap World Fund r-3, Washington Mutual Investors Fund R-3, The Income Fund of America R3, The Bond Fund of America R3, American High Income Trust R-3, U.S.Governemnt Seucirites Fund R3, Intermediate Bond Fund of America R3 and American Funds Money Market Fund R3.

Item #17: This checking account is used [REDACTED] to operate [REDACTED] firm Gaskell & Brown. Balance fluctuates daily.

Item #18 Wells Fargo Advisors: this IRA account was the destination of the rollover of County of Ventura 401k during 2011 (see amended 2011 report). This account includes Apple, Inc., Currencyshares Australian dollar Trust, Hecla Mining Co., Microsoft Corp, Xerox Corp.

Item # 41 Wells Fargo Advisors #2: this account was used to receive proceeds of sale of [REDACTED] residence on or about 9/5/12 and then some holdings liquidated and used for downpayment of new duplex home in San Francisco on or about 12/1/12 as well as reduction of credit card debt reported in part VI 2012 report which accounts for their then reduced balances.

Item # 42-45 are from a sweep cash account held at Wells Fargo Advisors. These distributions were used in purchase of a home in Orange County as well as remodel of the SF property.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Theodor C. Albert

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544