

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FUSTE, JOSE A	2. Court or Organization District Court - Puerto Rico	3. Date of Report 05/14/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address RM. CH-133 U.S. COURTHOUSE 150 CHARDON AVENUE SAN JUAN PR 00918-1758	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	[REDACTED]	[REDACTED] (SEE SECTION VIII FOR EXPLANATORY NOTE).
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Self-employment as an attorney
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	HONORARY MEMBERSHIP (EST. DUES)	CAPARRA COUNTRY CLUB	\$ 1080.0
2.	HONORARY MEMBERSHIP (EST. DUES) (SEE ADVISORY OPINION NO. 47)	BANKERS' CLUB OF PUERTO RICO	\$ 750.0
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	CARIBE FEDERAL CREDIT UNION	PERSONAL LOAN	J
2.	CITIBANK, PUERTO RICO	LINE OF CREDIT	J
3.	CITIBANK, PUERTO RICO	CREDIT CARD	J
4.	CITIBANK, PUERTO RICO	CREDIT CARD	J
5.	PENTAGON FEDERAL	CAR LOAN	J

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. GINNIE MAE BONDS	D	Interest	L	T					
2. AMERICAN FUNDS GROUP: EUROPACIFIC GROWTH FUND	C	Dividend	L	T					
3. AMERICAN FUNDS GROUP: EUROPACIFIC GROWTH FUND	A	Dividend	K	T					
4. AMERICAN FUNDS GROUP: EUROPACIFIC GROWTH FUND	A	Dividend	J	T					
5. AMERICAN FUNDS GROUP: SMALLCAP WORLD FUND	C	Dividend	M	T					
6. JOHNSON & JOHNSON (COMMON STOCK)	A	Dividend	K	T					
7. SAVINGS ACCT. (CARIBE FEDERAL CREDIT UNION)	A	Dividend	J	T					
8. CHECKING & SAVINGS ACCT. (CITIBANK)	A	Interest	J	T					
9. CHECKING & SAVINGS ACCT. (CITIBANK)	A	Interest	J	T					
10. SAVINGS ACCT. (BANCO POPULAR DE P.R.)	A	Interest	J	T					
11. CHECKING & SAVINGS ACCT. (BANCO POPULAR P.R.)	A	Interest	K	T					
12. IRA ORIENTAL FED. BANK	B	Interest	K	T					
13. IRA ORIENTAL FED. BANK	C	Interest	K	T					
14. SAVINGS ACCT. (CARIBE FEDERAL CREDIT UNION)	A	Dividend			sold	2/21	J	A	
15. SAVINGS ACCT. (CITIBANK)	A	Interest	K	T					
16. AMERICAN FUNDS GROUP: EUROPACIFIC GROWTH FUND	B	Dividend	K	T					
17. AMERICAN FUNDS GROUP: CAPITAL	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

WORLD G+I									
18. SAVINGS ACCT. (CITIBANK)	A	Interest	K	T					
19. LEHMAN BROS. 1993 GRANTOR TRUST CORP BOND	A	Interest	K	T					
20. CONNEAUT SCHOOL DIST. MUNI. BOND	A	Interest			redeemed	11/1	K		
21. WESTMORELAND MUNI. BOND	A	Interest	J	T					
22. NORTH YORK COUNTY SCHOOL DIST. MUNI. BOND	B	Interest	L	T					
23. POWER & CASSIA CTYS. SCHOOL DIST. MUNI. BOND	B	Interest	K	T					
24. ST CLAIR CO. SCHOOL DIST MUNI BOND	B	Interest	L	T					
25. HOUSTON TX ISD PUB FAC CORP. MUNI BOND	B	Interest	K	T					
26. FOOTHILL EASTERN TRANSP. MUNI. BOND	C	Interest	L	T					
27. NORTH ADAMS COMMUNITY SCHOOLS MUNI BOND	B	Interest	K	T					
28. ELLIS CTY. GENERAL OBLIG. BOND	C	Interest	L	T					
29. AMERICAN FUNDS GROUP: AMCAP FUND	A	Dividend	K	T					
30. AXA ROSENBERG US SMALLCAP FUND	B	Dividend	J	T					
31. PIMCO TOTAL RETURN FUND	A	Dividend	J	T					
32. DUPAGE CTY FOREST PRESERVE BOND	A	Interest	K	T					
33. GT ATLANTIC & PACIFIC	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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TEA(PREFERRED STOCK)									
34. CHICAGO ILL GEN OBLIG MUNI BOND	C	Interest	K	T					
35. DETROIT MI CONVENTION FAC MUNI BOND	A	Interest	K	T					
36. PICKERINGTON OHIO LOCAL SCH MUNI BOND	A	Interest	K	T					
37. PR PUBLIC FINANCE CORP MUNICIPAL BOND	A	Dividend	J	T					
38. PR CONSERVATION TRUST MUNICIPAL BOND	A	Dividend	J	T					
39. PR MORTGAGE-BACKED & US GOV'T SECURITIES FUND	A	Dividend	J	T					
40. INT'L HOSPITALITY ASSOC., CLASS A PREF SPEC P'SHIP INTEREST		None	K	T					
41. ISHARES INC - MSCI: PACIFIC INDEX FUND	A	Dividend	J	T					
42. ISHARES TRUST - MSCI: EMERGING MARKETS FUND	A	Dividend	J	T					
43. OIL SERVICE HOLDERS TRUST FUND	A	Dividend	J	T					
44. ENERGY SECTOR SPIDER FUND	A	Dividend	K	T					
45. GATEWAY TR INDEX PLUS FUND	A	Dividend	J	T					
46. DELAWARE INT'L VALUE EQMT FUND	B	Dividend			Sold	9/27	J	A	
47. RYDER URSA ADVISOR FUND	A	Dividend	J	T					
48. DENTON, TX INDEPENDENT SCHOOL DISTRICT MUNICIPAL BOND	A	Interest	J	T					
49. GMAC (PREFERRED STOCK)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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50. Lazard Emerging Markets Fund	A	Dividend	J	T	Buy	11/20	J		
51. American Funds Group: New World Fund	A	Dividend	K	T	Buy	11/22	K		

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

SEC. 1. POSITIONS. [REDACTED] I RECEIVE NO COMPENSATION AND MY DUTIES ARE CONFINED TO NON-PROFIT OPERATION AND MAINTENANCE OF THIS RESIDENCIAL FACILITY. SEE ADVISORY OPINION 29 & CANON 5.

SEC. IV. REIMBURSEMENTS & GIFTS. I HAVE ONLY RECEIVED OFFICIAL COURT-RELATED REIMBURSEMENTS ON AUTHORIZED EXPENDITURES REGARDING OFFICIAL TRAVEL ON BEHALF OF THE U.S. COURTS.

SEC. VII. INVESTMENTS & TRUSTS. THE IRAs OWNED BY [REDACTED] MYSELF ARE CASH-EQUIVALENT ACCOUNTS MAINTAINED AT A FINANCIAL INSTITUTION. THEY ARE COMPOSED OF GINNIE MAE BONDS AND OTHER SECURITIES WHICH ARE NOT DIRECTED OR MANAGED BY EITHER OF US.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

Date _____

5/14/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY PROVIDES FALSE INFORMATION OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544