

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hayden, Katharine S	2. Court or Organization US District Court - DNJ	3. Date of Report 05/12/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address United States District Court USPO & Courthouse Building Newark, New Jersey 07101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	Seton Hall University School of Law
2. Board of Directors	Federal Judges Association
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2007	Seton Hall University School of Law, Adjunct Professor - Spring Term	\$ 6,000.00
2. 2007	Seton Hall University School of Law, Adjunct Professor - Fall Term	\$ 6,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federal Judges Association	5/5/07-5/7/07	Washington, DC	Annual FJA Board Meeting	FJA Board of Directors Meeting (Transportation, Meals)
2.	Sedona Conference	4/25/07-4/28/07	Sedona, AZ	Conference	Faculty for Seminar on Complex Litigation - Mass Torts.
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1									
2. - Alcoa Inc. Common Stock		None			Sell	1/19	J		See Note in Part VIII
3.	A	Dividend	J	T	Buy	7/27	J		See Note in Part VIII
4. - Arbor Realty Trust Inc. Common Stock	A	Dividend	J	T					
5. - Cabela's Inc. Class A Common Stock		None			Sell	5/17	J		
6. - Consolidated Edison Inc. Common Stock	A	Dividend	J	T					
7. - Duke Energy Corp. (Holding Company) Common Stock	A	Dividend	J	T					
8. - Encana Corp-Cad Common Stock	A	Dividend	K	T					
9. - Enterprise Prods Partners LP Common Stock	A	Dividend	K	T	Buy	08/30	J		
10. - GlaxoSmithKline PLC SP ADR Common Stock	A	Dividend	J	T					
11. - JP Morgan Chase & Co. Common Stock	A	Dividend	J	T	Partial Sell	7/27	J	A	
12. - Kimberly Clark Corp. Common Stock	A	Dividend	K	T					
13. - Motorola Inc. DE Common Stock	A	Dividend			Sell	7/30	J	A	
14. - Newell Rubbermaid Inc. Common Stock	A	Dividend	J	T					
15. - Nokia Corp. Sponsored ADR Common Stock	A	Dividend	K	T					
16. - Pentair Inc. Common Stock	A	Dividend	J	T					
17. - Pfizer Inc. Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$1,000,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Sasol Ltd., Spons. ADR Common Stock	A	Dividend	K	T					
19. - Walt Disney Co. Common Stock	A	Dividend	J	T	Buy	2/12	J		
20. - Wyeth Common Stock	A	Dividend			Sell	8/13	J	B	
21. - Calamos Strategic Total Return ST TH AUCT RT CUM PFD	B	Dividend			Redemption	11/21	K		
22. - Van Kampen Sr Incm TR SER W Taxable AUCT CUM PFD SHS	B	Dividend			Redemption	11/21	K		
23. - Van Kampen Sr Incm TR SER F AUCT RT CUM PFD SHS	B	Dividend			Redemption	11/23	K		
24. - Altria Group Inc. Common Stock	A	Dividend	K	T	Buy	4/3	J		
25. - Aqua America Inc. Common Stock	A	Dividend	J	T					
26. - AT&T Inc. Common Stock	A	Dividend	K	T	Buy	11/20	J		
27. - Chesapeake Energy Corp. Common Stock	A	Dividend	J	T	Buy	5/4	J		
28. - Citibank NA Bank Deposit Program	A	Interest	J	T					See Note in Part VIII
29. - Honeywell Intl Inc. Common Stock	A	Dividend	J	T					
30. - Kayne Anderson Mlp Invt Co. Ser A Bond	B	Interest			Redemption	11/26	K		
31. - Kayne Anderson Mlp Invt. Co. Ser B Bond	B	Interest			Redemption	11/21	K		
32. - Masco Corp. DE Common Stock	A	Dividend			Partial Sell	2/12	J	A	
33.					Sell	2/28	J		
34. - Microsoft Corp Common Stock	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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35. - Mitsubishi UFJ Financial Group Inc. ADR Common Stock	A	Dividend	J	T	Partial Sell	5/31	J	A	
36. - Montpelier RE Holdings Ltd. Common Stock	A	Dividend	J	T					
37. -Procter & Gamble Co. Common Stock	A	Dividend	K	T					
38. - Sara Lee Corp. Common Stock	A	Dividend			Sell	7/30	J		
39. - Sears Holding Corp. Common Stock		None	K	T					
40. - Wal-Mart Stores Inc. Common Stock	A	Dividend	J	T					
41. -American Intl Group Inc. Common Stock	A	Dividend	K	T	Buy	1/17	J		
42.					Buy	1/29	J		
43. -Citibank NA South Dakota Bank Deposit Program	B	Interest	L	T					See Note in Part VIII
44. -CVS Corp. Common Stock	A	Dividend	K	T	Buy	08/13	J		
45. -Foster Wheeler LTD Bermuda Common Stock		None	K	T					
46. Hanesbrands Inc. Common Stock		None			Sell	3/22	J	A	
47. -Hitachi LTD-ADR Common Stock	A	Dividend			Sell	5/8	J	C	
48. -L-1 Identity Solutions Inc. Common Stock		None	J	T					
49. Marvell Technology Group LTD-USD Common Stock		None			Sell	6/12	J		
50. -Nabors Industries LTD-USD New Common Stock		None	J	T					
51. -Patterson UTI Energy Inc. Common Stock	A	Dividend	J	T					

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52. -WM Wrigley Jr Co. Common Stock	A	Dividend	J	T					
53. - Western Asset Municipal money Market Fund CI A	A	Interest	J	T					See Note in Part VIII
54. - Citicorp Trust Bank FSB Bank Deposit Program	A	Interest	L	T					See Note in Part VIII
55. - Transocean Inc. Common Stock		None	J	T	Buy	10/2	J		See Note in part VIII
56.					Sell	11/27	J		
57. -Seaspan Corp Common Stock	A	Dividend	J	T	Buy	5/17	J		
58. -Alcatel-Lucent ADR Common Stock	A	Distribution			Buy	5/7	J		
59.					Sell	12/18	J		
60. -Align Technology Inc. Common Stock		None	J	T	Buy	10/25	J		
61. -Annaly Capital Management Inc Common Stock.		None	J	T	Buy	12/27	J		
62. -BJ Services Co Common Stock	A	Dividend			Buy	1/19	J		
63.					Buy	1/31	J		
64.					Sell	12/12	J		
65. -Brookdale Sr Living Inc Common Stock		None			Buy	7/24	J		
66.					Sell	8/29	J		
67. -Citadel Broadcasting Corp Common Stock		None	J	T	Buy	2/12	J		See Note in Part VIII
68.					Partial Sell	6/21	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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69. -Citigroup Inc Common Stock	A	Dividend			Buy	2/28	J		
70.					Buy	5/15	J		
71.					Buy	6/27	J		
72.					Sell	12/27	J		
73. -Citizens Communications Co Common Stock	A	Dividend			Buy	2/1	J		
74.					Sell	11/20	J		
75. -Darling Intl Inc Common Stock		None	J	T	Buy	11/30	J		
76. -EMC Corp - Mass Common Stock		None	J	T	Buy	8/1	J		
77.					Buy	11/20	J		
78. -Energy Transfer Partners LP Common Stock		None	J	T	Buy	12/12	J		
79. -General Maritime Corp Common Stock	A	Dividend			Buy	5/8	J		
80.					Sell	11/27	J		
81. -General Lease Ltd ADR Common Stock	A	Dividend	J	T	Buy	5/31	J		
82. -Home Depot Inc Common Stock	A	Dividend	J	T	Buy	7/9	J		
83. -Impala Platinum Holdings Ltd Sponsored ADR Common Stock	A	Dividend	J	T	Buy	2/12	J		
84. -Kraft Foods Inc Class A Common Stock		None			Sell	4/3	J	B	See Note in Part VIII
85.					Sell	4/10	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

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86. -Layne Christensen Co Common Stock		None	J	T	Buy	2/15	J		
87.					Buy	3/7	J		
88. -Lowes Companies Inc Common Stock	A	Dividend	J	T	Buy	10/2	J		
89. -McDonalds Corp Common Stock	A	Dividend	J	T	Buy	9/6	J		
90. -Shering Plough Corp Common Stock		None			Buy	8/13	J		
91.					Sell	9/5	J	A	
92.					Buy	12/10	J		
93.					Sell	12/14	J		
94. -Spectra Energy Corp Common Stock	A	Dividend			Buy	1/31	J		See Note in Part VIII
95.					Sell	10/2	J		
96. -Telecom Italia SPA-ADR Common Stock	A	Dividend	J	T	Buy	3/22	J		
97. -Wachovia Corp 2nd Common Stock		None	J	T	Buy	12/18	J		
98. -Walter Inds Inc Common Stock	A	Dividend	J	T	Buy	2/9	J		
99.					Partial Sell	11/28	J	B	
100. BROKERAGE ACCOUNT #2									
101. -Fidelity Investments Money Market Account	A	Dividend	J	T					
102. -Western Asset Municipal Money Market	B	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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103. BROKERAGE ACCOUNT #3									
104. - Smith Barney FMA Account	A	Interest	N	T					
105. IRA/401K ACCOUNTS									
106. - IRA Franklin Templeton Mutual Shares Fund Class A	C	Dividend	L	T					
107. - IRA Smith Barney Money Funds Inc. Class A	A	Interest	J	T					
108. - IRA Smith Barney Money Funds Class A	C	Interest	M	T					
109. -IRA Smith Barney	A	Interest	J	T					See Note in Part VIII
110. - Guardian Whole Life	E	Dividend	N	T					
111. -Citibank NA Bank Deposit	A	Interest	J	T					See Note in Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 ● = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hayden, Katharine S

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report)*

Part VII - Line 2 - Holdings in Alcoa Inc Common Stock at 12/31/2006 were sold on 1/19/2007 (subsequent purchase made as shown on Part VII - Line 3)

Part VII - Line 3 - Subsequent to sale of Alcoa Inc Common Stock (as shown on Part VII-Line2), [REDACTED] of Alcoa Inc Common Stock was purchased on 7/27

Part VII - Line 28 - Citibank NA Bank Deposit Program is a sweep money market bank account

Part VII - Line 43 - Citibank NA South Dakota Bank Deposit Program is a sweep money market bank account

Part VII - Line 53 - Western Asset Municipal Money Market Fund C1 A is a sweep money market bank account

Part VII - Line 54 - Citicorp Trust Bank FSB Bank Deposit Program is a sweep money market bank account

Part VII - Line 55 - Due to a merger on 11/27, shareholders' [REDACTED] in Transocean Inc Common Stock were exchanged for [REDACTED] of Transocean Inc Common Stock and cash

Part VII - Line 67 - Due to a spinoff on 6/14, Walt Disney Co spun off to shareholder [REDACTED] of Citadel Broadcasting Corp Common Stock and [REDACTED] spinoff on 2/12 and fractional shares redeemed for cash on 2/12

Part VII - Line 84 - Due to spinoff on 4/5, altria Group Inc spun off to shareholders [REDACTED] of Draft Foods Inc Class A Common Stock

Part VII - Line 94 - Due to a spinoff on 1/8, Duke Energy Corp (Holding Company) spun off to shareholder [REDACTED] of Spectra Energy Corp Common Stock; thereafter on 1/31 additional shares were purchased

Part VII - Line 103 - Citibank NA Bank Deposit Program is a sweep money market bank account

Part VII - Line 109 - IRA Smith Barney consolidated with Line 108 - IRA Smith Barney Money Funds Class A

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544