

United States District Court
Southern District of Alabama
United States Courthouse
113 St. Joseph Street
Mobile, Alabama 36602

Virgil Pittman
Senior Judge

October 13, 2004

Judge Mary M. Lisi, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
Suite 2-301
One Columbus Circle, N.E.
Washington, DC 20544

RECEIVED
OCT 25 10 53 AM '04
FINANCIAL
DISCLOSURE OFFICE

Re: 2003 Financial Disclosure Report Amendment

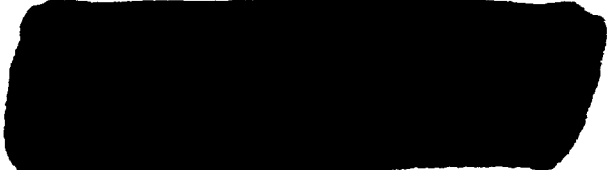
Dear Judge Lisi:

This amendment to the 2003 report is being filed in response to your letter of inquiry dated August 2, 2004. I would have responded sooner but, unfortunately, I have no record of receiving that original letter. However, I did receive a copy of your letter from George D. Reynolds, Staff Counsel on October 12, 2004. Copies of both letters are attached.

In response to the issues cited in paragraphs 2 and 3 of your letter, I have attached a page that was inadvertently omitted from the original filing.

In response to the issues cited in paragraph 4 of your letter, I have attached a supplemental schedule disclosing the information requested.

If you require any other information, please let me know.


Thomas V. Pittman

Enclosures

COPY

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

PITTMAN, THOMAS V

Date of Report

5/11/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) PITTMAN, THOMAS V	2. Court or Organization SOUTHERN DISTRICT OF ALABAMA	3. Date of Report 5/11/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR U.S. DISTRICT JUDGE	5. ReportType (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address ROOM 401, U. S. COURTHOUSE 113 ST. JOSEPH STREET MOBILE, ALABAMA 36602	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. MEMBER, BOARD OF TRUSTEES	SAMFORD UNIVERSITY, BIRMINGHAM, ALABAMA
2. MEMBER, BOARD OF TRUSTEES	BAPTIST OAKS (APTS.), MOBILE, ALABAMA
3. TRUSTEE	██████████ TRUST U/W

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy. sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. COMPASS BANK (CHECKING)	A	Interest	J	T					
2. SCUDDER MANGD MUNI BD (FORMERLY AARP TX FREE)	A	Interest	K	T					
3. ARMY AVAITION CENTER FEDERAL CREDIT UNION (SVGS)	A	Interest	J	T					
4. COMPASS BANK (MONEY MARKET)	A	Interest	J	T					
5. REGIONS BANK (CD)	C	Interest			MATURE D	06/23	L		
6. MORGAN KEEGAN/REGIONS (TREAS. MNY MKT)	A	Dividend	J	T					
7. LINCOLN NATIONAL (ANNUITY)	D	Interest	M	T					
8. NATIONWIDE (ANNUITY)	C	Interest	M	T					
9. AMSOUTH BANK (CHECKING)	A	Interest	J	T					
10. COLONIAL BANK (CD)	B	Interest			MATURE D	04/17	L		
11. COLONIAL BANK (CD)	B	Interest			MATURE D	04/17	L		
12. AMSOUTH BANK (CD)	B	Interest	K	T					
13. COMPASS BRKG (FNMA GTD REMIC)	C	Interest			REDEEME D	06/25	L		
14. COMPASS EXPEDITION FUNDS (MNY MKT)	A	Dividend			CLOSED	06/25	J		
15. SCHWAB ACCOUNT # 1									
16. MANAGER'S INTL EQUITY FUND A	A	Dividend	J	T					
17. PIMCO LOW DURATION FUND	B	Dividend	K	T	PART SOLD	12/03	K	A	
18. SCHWAB GOVERNMENT MONEY MARKET FUND	A	Dividend	J	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. MANAGER'S CAP APPREC FUND		None	J	T					
20. MANAGER'S SPECIAL EQUITY FUND		None	J	T					
21. PIMCO REAL RETURN FUND	B	Dividend	K	T	BUY	12/3	K		
22. TRUST A	D	Interest	M	T					
23. - AMERICAN ELECTRIC POWER COM STK					PART SOLD	01/13	J	A	
24. - DUKE ENERGY CORP COM STK									
25. - RMK SELECT GROWTH FUND A									
26. - RMK SELECT VALUE FUND A									
27. - WISCONSIN ENERGY COM STK					PART SOLD	12/22	J	C	
28. - CASH IN TRUST A									
29. TRUST B	D	Interest	N	T					
30. - U S TREASURY NOTES									
31. - A T & T COM STK									
32. - ALLEGHNEY ENERGY COM STK					SOLD	01/13	J	A	
33. - BELL SOUTH CORP COM STK									
34. - COMCAST CORP COM STK									
35. - FPL GROUP INC COM STK									
36. - RMK SELECT GROWTH FUND A									

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	Amount Code 1 (A -H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy. sell. merger. redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. - RMK SELECT VALUE FUND A									
38. - RMK SELECT AGGRESSIVE GROWTH FUND									
39. - SBC COMMUNICATION INC COM STK									
40. - VERIZON COMMUNIC COM STK									
41. - TRUST B MONEY MARKET FUNDS									
42. CHEVRONTExACO CORP COM STK	A	Dividend	K	T					
43. AMSOUTH CHECKING (X)	A	Interest	J	T					
44. EDWARD JONES ACCT # 1									SEE NOTE IN PART VII
45. - CASH IN EDWARD JONES ACCT # 1	A	Interest	J	T	OPENED	02/25	J		
46. - GREENPOINT BANK CD	A	Interest	K	T	BUY	02/25	K		
47. - COMMERCIAL CAP BANK CD	A	Interest	K	T	BUY	04/25	K		
48. - PEOPLES BANK CD	A	Interest	K	T	BUY	03/26	K		
49. - ACACIA FED SVGS BANK CD	A	Interest	K	T	BUY	04/28	K		
50. - CAPITAL ONE BANK CD	A	Interest	K	T	BUY	07/15	K		
51. - KEY BANK CD	A	Interest	K	T	BUY	04/25	K		
52. - MBNA AMERICA BANK CDS	B	Interest	M	T	BUY	07/08	M		
53. - DE SOTO TEX G.O. BOND	A	Interest	K	T	BUY	03/11	K		
54. - DE SOTO TEX CERTS OF OBLIGATION	A	Interest	K	T	BUY	03/11	K		

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	Amount Code 1 (A -H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy. sell. merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. - GENERAL ELEC CAP CORP BOND	A	Interest	K	T	BUY	04/25	K		
56. - FEDERAL HOME LOAN MTG CORP NOTES	A	Interest	J	T	BUY	03/11	K		
57. EDWARD JONES ACCT # 2									SEE NOTE IN PART VIII
58. - CASH IN EDWARD JONES ACCT # 2	A	Interest	J	T	OPENED	07/25	J		
59. - HOUSEHOLD BANK CD	A	Interest	K	T	BUY	07/25	K		
60. - DISCOVER BANK CD	A	Interest	K	T	BUY	07/25	K		
61. - CAPITAL ONE BANK CD	A	Interest	K	T	BUY	07/25	K		
62. - FEDERAL HOME LOAN CORP NOTES	A	Interest	K	T	BUY	07/25	K		
63. SCHWAB ACCT # 2									SEE NOTE IN PART VIII
64. - SCHWAB MUNI MONEY FUND	A	Interest	L	T	BUY	04/22	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

ITEM 44 - ASSETS IN THIS ACCOUNT WERE ACQUIRED WITH PROCEEDS FROM MATURITY OF ITEM 5, MATURITY OF ITEM 10 AND PROCEEDS FROM SALE OF PERSONAL RESIDENCE.

ITEM 57 - ASSETS IN THIS ACCOUNT WERE ACQUIRED WITH PROCEEDS FROM REDEMPTION OF ITEM 13.

ITEM 63 - ASSETS IN THIS ACCOUNT WERE ACQUIRED WITH PROCEEDS FROM MATURITY OF ITEM 11.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/12/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544