



FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Norton, David C.	2. Court or Organization U.S. District Court	3. Date of Report 08/03/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address P.O. Box 835 Charleston, SC 29402	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Trust (Trust #1)	
2. Trustee	Article 5 Trust	
3. Trustee	Article 6 Trust	
4.		
5.		

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	Charleston school of Law	\$24,780.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 01-/07/08	Professor of Pathology at Medical University of South Carolina -Salary
2. 07-12/08	Forensic Pathologist at Fulton County Medical Examiner Office, in Atlanta GA - Salary
3. 2008	Self-employed Forensic Consultant
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp 25-27 of filing instructions)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Judges Association	05/03/08-05/05/08	Washington, DC	Activity of prof.assoc	Expenses for travel, lodging & food.
2. South Carolina Association for Justice	08/07/08-08/09/08	Hilton Head Island, SC	Activity of prof. assoc.	Expenses for travel, lodging & food
3. SC Defense Trial Attorneys' As sociation	11/13/08-11/16/08	Amelia Island, SC	Activity of prof. assoc.	Expenses for travel, lodging & food.
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AES Corp		None	J	T					
2. AFLAC Inc		None	J	T					
3. Alcatel-Lucent ADR		None	J	T					
4. Alcoa Inc	A	Dividend	J	T					
5. Allianz Life Franklin Valuemark	A	Dividend	K	T					
6. Altria Group	A	Dividend	J	T					
7. American Express Co	A	Dividend	J	T					
8. American Intl Group Inc	A	Dividend	J	T					
9. American Intl Group Inc	A	Dividend	J	T					
10. Ameriprise Financial Inc	A	Dividend	J	T					
11. Amgen Inc		None	J	T					
12. AT&T Inc	A	Dividend	J	T					
13. AT&T Inc	A	Dividend	J	T					
14. AT&T Inc	A	Dividend	J	T					
15. Bank of America	C	Dividend	K	T					
16. Bank of New York Mellon Corp	A	Dividend	J	T					
17. Berkshire Hathaway Inc CL B		None	J	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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18. BP PLC Spons ADR	C	Dividend	K	T					
19. Bristol Myers Squibb	A	Dividend	J	T					
20. Capital World Growth & Income Fd CL A	A	Dividend	J	T					
21. Capital World Growth & Income Fd CL A	B	Dividend	K	T					
22. Capital World Growth & Income Fd CL A	A	Dividend	J	T					
23. Chevron Corp	B	Dividend	K	T					
24. Chevron Corp	A	Dividend	J	T					
25. Chevron Corp	A	Dividend	K	T					
26. Cisco Systems Inc		None	J	T					
27. Citadel Broadcasting Corp		None	J	T					
28. Citadel Broadcasting Corp		None	J	T					
29. Citibank Deposit Program	A	Interest	J	T					
30. Citibank Deposit Program	A	Interest	K	T					
31. Citibank Deposit Program	A	Interest	K	T					
32. Citigroup Inc	C	Dividend	K	T					
33. Coca-Cola Co	A	Dividend	J	T					
34. Comcast Corp CL A	A	Dividend	J	T					

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35. Comcast Corp CL A	A	Dividend	J	T					
36. CSX Corp	A	Dividend	K	T					
37. Dell Inc		None	J	T					
38. Walt Disney Co		None	J	T					
39. Walt Disney Co		None	J	T					
40. Walt Disney Co		None	J	T					
41. Dover		None	J	T					
42. Dow Chemical		None	J	T					
43. Dow Chemical	A	None	J	T					
44. E I Dupont Co	A	None	J	T					
45. Eaton Vance Tax Free Fund CL A	A	Dividend	M	T					
46. Eaton Vance Tax Free Fund CL B	A	Dividend	K	T					
47. Electronic Data Systems Corp New	A	Dividend			Sold	08/26	J	A	
48. Eli Lilly & Co	B	Dividend	K	T					
49. Eli Lilly & Co	A	Distribution	J	T					
50. Emerson Electric Co		None	K	T					
51. Expedia Inc		None	J	T					

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52. Exxon Mobil Corp	A	Dividend	J	T					
53. Exxon Mobil Corp	A	Dividend	K	T					
54. Fairpoint Communications Inc		None	J	T	Spinoff (from line 138)				
55. Fairpoint Communications Inc		None	J	T	Spinoff (from line 139)				
56. Federal Home Loan Mortgage Corp	A	Dividend	J	T					
57. Federal National Mortgage Assn	A	Dividend	J	T					
58. First Federal of Charleston	C	Interest	O	T					
59. First Financial Holdings Inc		None	N	T					
60. First Financial Holdings Inc		None	L	T					
61. Genetech Inc		None	K	T					
62. General Electric Co	B	Dividend	K	T					
63. General Electric Co	A	Dividend	J	T					
64. General Electric Co	C	Dividend	K	T					
65. General Motors Corp	A	Dividend	J	T					
66. Goldman Sachs Group Inc	A	Dividend	J	T					
67. Growth Fund of America CL A	A	Dividend	K	T					
68. Growth Fund of America CL A	A	Distribution	J	T					

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69. Guided Therapeutics Inc		None	K	T					
70. Hartford Financial Services Group	A	Dividend	J	T					
71. Hartford Capital Appreciation Fund (IRA)	A	Dividend	M	T					
72. Hewlett Packard Co	A	Dividend	J	T					
73. Home Depot Inc	A	Dividend	J	T					
74. Honeywell Intl Inc	A	Dividend	J	T					
75. HSN Inc		None	J	T	Spinoff (from line 76)				
76. IAC/Interactive Corp		None	J	T					
77. IBM	B	Dividend	L	T					
78. Idearc Inc	A	Dividend	J	T					
79. Idearc Inc	A	Dividend	J	T					
80. Intel (IRA)	A	Dividend	K	T					
81. Intel	A	Dividend	J	T					
82. Interval Leisure Group Inc		None	J	T	Spinoff (from line 76)				
83. Johnson & Johnson	A	Dividend	J	T					
84. Kimberly Clark	A	Dividend	J	T					
85. Kraft Foods Inc CL A	A	Dividend	J	T					

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86. Legg Mason Partners Aggressive Growth F D CL C		None	J	T					
87. Legg Mason Value Trust		None	K	T					
88. Lincoln National Corp	A	Dividend	J	T					
89. Lincoln National Life Annuity (IRA)	A	Dividend	N	T					
90. LSI Logic Corp		None	J	T					
91. MFS South Carolina Muni Bond CL A	A	Interest	J	T					
92. MFS South Carolina Muni Bond CL B	A	Interest	J	T					
93. Mass Mutual Life Ins		None	J	T					
94. McDonalds Corp	A	Dividend	K	T					
95. Merrill Lynch & Co Inc	A	Dividend	J	T					
96. Metlife Ins Co of Connecticut Vintage	A	Dividend	L	T					
97. Metlife Life & Ann Co of Conn TLAC Port Arch	A	Dividend	M	T					
98. Metlife Life & Ann Co of Conn TLAC Marquis Port	A	Dividend	M	T					
99. Microsoft Corp	A	Dividend	J	T					
100. JP Morgan Chase & Co	A	Dividend	J	T					
101. Motorola	A	Dividend	J	T					
102. 3M Company	A	Dividend	J	T					

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103. NCR Corp New		None	J	T					
104. Neenah Paper Inc	A	Dividend			Sold	04/08		A	
105. Nokia Corp	A	Dividend	J	T					
106. Norfolk Southern	B	Dividend	L	T					
107. North Carolina Rental Property 100%	C	Rent	O	Q					
108. Paragon Life Fixed Fund		None	J	T					
109. Pepsico Inc	A	Dividend	J	T					
110. Pfizer Inc	A	Dividend	J	T					
111. Pfizer Inc	A	Dividend	J	T					
112. Phillip Morris Intl Inc		None	J	T	Spinoff (from line 6)				
113. Proctor & Gamble	A	Dividend	K	T					
114. Proctor & Gamble	A	Dividend	K	T					
115. Progress Energy	A	Dividend	J	T					
116. Putnam Tax Smart FDS Equity FD Cl B	A	Dividend	M	T					
117. Safeway Inc New	A	Dividend	J	T					
118. SCANA Corp	B	Dividend	K	T					
119. SCBT	A	Dividend	K	T					

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120. Scherling Plough Corp	A	Dividend	J	T					
121. Smucker Co New	A	Dividend	J	T					
122. South Carolina Deferred Comp Plan	A	Dividend	L	T					
123. South Carolina State Retirement Plan	B	Dividend	M	T					
124. South Carolina ST Ser MB UT	A	Interest	J	T					
125. South Financial Group (IRA)		None	K	T					
126. Suntrust Banks Inc	D	Dividend	L	T					
127. Synovus Financial Corp	A	Dividend	J	T					
128. Teradata Corp		None	J	T					
129. Texas Instruments Inc	A	Dividend	J	T					
130. Ticketmaster Inc		None	J	T	Spinoff (from line 76)				
131. Time Warner Inc	A	Dividend	J	T					
132. Total Systems Services Inc		None	J	T	Spinoff (from line 122)				
133. Travelers Companies Inc	A	Dividend	J	T					
134. Tree.Com Inc		None	J	T	Spinoff (from line 76)				
135. Trust #1 - Lain Farm			P1	Q					
136. UTS First TR SPL Situations TR 1559 Dow Target 10	A	Dividend	K	T					

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Norton, David C.

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08/03/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Verizon	A	Dividend	J	T					
138. Verizon	A	Dividend	J	T					
139. Wachovia	B	Dividend	J	T					
140. Wachovia (IRA)	B	Dividend	J	T					
141. Washington Mutual Inc	A	Dividend	J	T					
142. Washington Mutual Investors Fund CL A	B	Dividend	K	T					
143. Washington Mutual Investors Fund CL A	B	Dividend	K	T					
144. Washington Mutual Investors	A	Dividend	J	T					
145. Wells Fargo & Co New	A	Dividend	J	T					
146. Wyeth	A	Dividend	J	T					
147. Wyeth	A	Dividend	J	T					
148. Zimmer Holdings inc		None	J	T					
149.									
150.									
151.									
152.									
153.									

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Date of Report
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.									
155.									
156.									
157.									
158.									
159.									
160.									
161.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Explanations for Part VII. Investments and Trusts.

1. Line 69, Guided Therapeutics Inc formerly named Spectrex.
2. Line 136, UTS First TR SPL Situations TR 1559 Target 10 JAN, formed by the rollover of UTS Strategic Ten Dow 07-D Portfolio and UTS Strategic Ten Dow 07-A Portfolio as listed on lines 133 & 134 on the 2007 Report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that I am not aware of any material omissions or violations of applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and other income is reported in accordance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY PROVIDES FALSE INFORMATION MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Trust #1

[REDACTED] of Royal Dutch Shell

[REDACTED] of Lain Farm

[REDACTED] of Allegheny Energy

[REDACTED] of Fairpoint Communication

[REDACTED] of Idearc Inc

[REDACTED] of Eli Lilly & Co

[REDACTED] of Pepco Holdings Inc

[REDACTED] of Royal Dutch Shell