

UNITED STATES DISTRICT COURT
DISTRICT OF MAINE

JOHN A. WOODCOCK, JR.
CHIEF JUDGE

202 HARLOW STREET
BANGOR, MAINE 04401
(207) 945-0549

May 6, 2010

Committee on Financial Disclosure
Administrative Office of the Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

RECEIVED
200 MAY 11 A 11:29
DISCLOSURE OFFICE

Re: Financial Disclosure Report for Calendar Year 2009

Dear Sir/Madam:

In accordance with your filing instructions, please find enclosed one (1) original and three (3) copies of my Financial Disclosure Report for Calendar Year 2009. The computer audit picked up three lines on Part VII, the explanations for which I will highlight in this cover letter.

The first is Line 12: American Movil Common Stock. The audit picked up the fact that the date of purchase is listed as January 8, 2008. As the comment in Part VIII indicates, when performing my yearly review, I realized that I had inadvertently omitted the American Movil stock from last year's report. Accordingly, I have listed it in this year's report, confirming that the date of purchase was actually January 8, 2008, not during the 2009 calendar year.

The second involves Line 39. Last year on this line, I reported a holding in Investment Company of America. The stock is owned by a dependent [REDACTED], who is no longer dependent. I have explained this in the comment.

Committee on Financial Disclosure
May 6, 2010
Page 2

The third involves Line 46. Last year, I reported holdings in American Capital Income Builder Mutual Fund on Lines 17 and 46. The Fund was sold in its entirety on December 18, 2009. The sale for both Lines 17 and 46 is reported on Line 17. Because the 2008 report will reflect a holding in American Capital Income Builder Mutual Fund and there would be no explanation for what happened to the holding in Line 46, I have added a note to clarify that the sale of the holding on Line 46 is fully reported in Line 17.

Thank you for your attention to this matter.

Very truly yours,

A handwritten signature in dark ink, appearing to read "John A. Woodcock, Jr.", is written over a circular embossed seal. The seal features a portrait of a man, likely a historical figure, surrounded by text that is partially obscured by the signature and the word "Very" from the preceding line.

John A. Woodcock, Jr.
Chief United States District Judge

JAW/mfs

Encs.

AO 10
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Woodcock, John A.	2. Court or Organization Maine	3. Date of Report 05/06/2010
4. Title (Article III judges indicate active or senior status, magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 202 Harlow Street Bangor, ME 04402-0756	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions)

	POSITION	NAME OF ORGANIZATION/ENTITY
1	Emeritus Member of Board of Trustees	Bowdoin College
2	Member Board of Visitors	University of Maine School of Law
3		
4		
5		

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements)

	DATE	PARTIES AND TERMS
1		
2		
3		

FINANCIAL DISCLOSURE REPORT
Page 2 of 12

Name of Person Reporting
Woodcock, John A.

Date of Report
05/06/2010

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1 12/31/09	United States Judges Admin Office of the US Courts	\$168,171.37
2		
3		
4		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section

(Dollar amount not required except for housing.)

☒ NONE (No reportable non-investment income)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1	
2	
3	
4	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children - see pp. 23-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1				
2				
3				
4				
5				

FINANCIAL DISCLOSURE REPORT
Page 3 of 12

Name of Person Reporting

Woodcock, John A.

Date of Report

05/06/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1		
2		
3		
4		
5		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1		
2		
3		
4		
5		

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Woodcock, John A.

Date of Report

05/06/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd-yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
1 Merck Common Stock	B	Dividend	K	T					
2 Chindex International Common Stock		None	J	T					
3 Boeing Common Stock	A	Dividend	I	T					
4 Cisco Common Stock		None	K	T					
5 MetLife Common Stock	A	Dividend	J	T					
6 Wells Fargo Common Stock	B	Dividend	L	T					
7 Powershares Wilderhill Clean Energy Portfolio		None	J	T					
8 Frontier Communications Common Stock	B	Dividend	K	T					
9 Mitsui Common Stock - ADRs	A	Dividend	K	F					
10 Apple, Inc. Common Stock		None	K	F					
11 Morgan Stanley Bank Deposits (Comment)	B	Interest	N	T	Transfer		M		
12 American Movie & TV Common Stock (Comment)	A	Dividend	J	F	Buy	01/08/08	K		
13									
14 ExxonMobil Common Stock	B	Dividend	L	T					
15 General Electric Common Stock	A	Dividend	K	T					
16 The Growth Fund of America	A	Dividend	K	T					
17 American Capital Income Builder	B	Dividend			Sold	12/18/09	K	A	

1 Income/Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$250,000.001 - \$500,000.000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$2,000,000 I = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$2,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraised U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash/Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

Woodcock, John A.

Date of Report

05/06/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions)

A Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. dividend or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18									
19 Microsoft Common Stock	A	Dividend	J	T					
20 Norfolk Southern Common Stock	A	Dividend	K	T					
21 PepsiCo Common Stock	A	Dividend	K	T					
22 Ameritas Life Insurance Corp		None	L	T					
23 Procter & Gamble Common Stock	A	Dividend	K	T					
24									
25 Bangor Savings Bank Checking Account	A	Interest	J	T					
26 ASA (Bermuda) LTD Common Stock	A	Dividend	J	T					
27 Health Care Service Group	A	Dividend	K	T					
28 Fidelity Trend Fund	A	Dividend	K	T					
29 Nuveen Tax Adv. Total Return Strategy Fund	A	Dividend	J	T					
30									
31									
32 CVS Common Stock	A	Dividend	J	T					
33 Sunstone Hotel Investors (RFIT)	A	Distribution	J	T					
34									

1 Income Gain Codes (See Columns B1 and D4)
 2 Value Codes (See Columns C1 and D2)
 3 Value Method Codes (See Column C2)

A = \$1,000 or less
 B = \$1,001 - \$25,000
 C = \$25,001 - \$50,000
 D = \$50,001 - \$100,000
 E = \$100,001 - \$250,000
 F = \$250,001 - \$500,000
 G = \$500,001 - \$1,000,000
 H = \$1,000,001 - \$5,000,000
 I = \$5,000,001 - \$25,000,000
 J = \$25,000,001 - \$50,000,000
 K = \$50,000,001 - \$100,000,000
 L = \$100,000,001 - \$250,000,000
 M = \$250,000,001 - \$500,000,000
 N = \$500,000,001 - \$1,000,000,000
 O = \$1,000,000,001 - \$5,000,000,000
 P = \$5,000,000,001 - \$25,000,000,000
 Q = Appraised
 R = Cost (Real Estate, Only)
 S = Assessment
 T = Cash Market
 U = Book Value
 V = Other
 W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

Woodcock, John A.

Date of Report

05/06/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35 United Technologies Common Stock	A	Dividend	K	T					
36 AT&T Common Stock	A	Dividend	J	T					
37									
38									
39 Investment Company of America (Comment)(s)									
40									
41									
42									
43 EuroPac Growth Mutual Fund	A	Dividend	J	T					
44									
45									
46 American Capital Income Builder Mutual Fund (Comment)(s)									
47 Legg Mason Clearbridge Appreciation Mutual Fund IRA	A	Dividend	K	T					
48 Legg Mason Clearbridge Capital and Income Fund IRA (Comment)	A	Dividend	K	T					
49									
50 Eagle Bulk Shipping, Inc. Common Stock		None	J	T					
51 American Capital Ltd Common Stock (Comment)		None	J	T					

1 Income Gain Codes

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Asset Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P5 = \$250,000.01 - \$500,000.000

Q = Appraisal

T = Book Value

B = \$1,001 - \$2,000

G = \$150,001 - \$1,000,000

K = \$15,001 - \$50,000

Q = \$500,001 - \$1,000,000

R = Cost (Revised Estimate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

F = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

Woodcock, John A.

Date of Report

05/06/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of spouse and dependent children, see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., dividend or interest)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identify of buyer/seller (if private transaction)
52									
53 Automatic Data Processing Common Stock	A	Dividend			Sold	1/27/09	J	D	
54									
55 Target Corp.		None			Sold	1/30/09	J	D	
56 American Funds Income Fund of America CL A	B	Dividend			Sold	12/18/09	K	A	
57									
58									
59 Northrup Grumman Common Stock	A	Dividend	J	I					
60									
61									
62									
63									
64									
65 McDonalds Corp. Common Stock	B	Dividend	K	I					
66 IBM Common Stock	A	Dividend	K	I					
67 State Street Corp. Common Stock	A	Dividend			Sold	11/14/09	J	A	
68 Johnson & Johnson Common Stock	A	Dividend	K	I					

1. Income Gain Codes
(See Columns B1 and D4)

A - \$1,000 or less
F - \$50,001 - \$100,000

B - \$1,001 - \$2,500
G - \$100,001 - \$1,000,000

C - \$2,501 - \$5,000
H1 - \$1,000,001 - \$5,000,000

D - \$5,001 - \$15,000
H2 - More than \$5,000,000

E - \$15,001 - \$30,000

2. Value Codes
(See Columns C1 and D3)

F - \$15,000 or less
N - \$250,001 - \$500,000
P3 - \$250,000,001 - \$500,000,000

K - \$15,001 - \$50,000
O - \$500,001 - \$1,000,000

L - \$50,001 - \$100,000
P1 - \$1,000,001 - \$5,000,000
P4 - More than \$5,000,000

V1 - \$100,001 - \$250,000
P2 - \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q - Appraised
T - Book Value

R - Cost (Real Estate only)
V - Other

S - Assess ment
W - Estimated

T - Cash Market

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting
Woodcock, John A.

Date of Report
05/06/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period			D Transactions during reporting period			
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
69									
70 Stericycle Common Stock		None	K	T					
71									
72 US Bancorp Common Stock	A	Dividend	J	F					
73 J P Morgan Chase Common Stock	A	Dividend	K	I					
74 Zimmer Holdings Common Stock		None	J	T					
75									
76									
77 MetLife Life Insurance Policies	A	Dividend	K	T					
78 Intel Com Common Stock	A	Dividend	K	T					
79									
80									
81									
82									
83									
84 Chevron Corp Common Stock	A	Dividend	K	T					
85									

1 Income Codes
(See Columns B1 and D4)
2 Value Codes
(See Columns C1 and D3)
3 Val. Inv. Method Codes
(See Column C3)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
I = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
T4 = More than \$50,000,000
S = Asset sold
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
F = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

Woodcock, John A.

Date of Report

05/06/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period	C Gross value at end of reporting period	D Transactions during reporting period						
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm dd'yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86 ConocoPhillips Common Stock	A	Dividend	K	T					
87 Du Pont E I De Nemours & Co Common Stock	A	Dividend			Sold	7/13/09	J	A	
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
101									
102									

1 Income Gain Codes

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D4)

3 Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q Appraisal

T Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R Cost (Real Estate Only)

X Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S Assessed

W Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$500,001 - \$2,500,000

T Cash Market

F = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting
Woodcock, John A.

Date of Report
05/06/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103									
104									

1. Income/Grant Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$5,000,001 - \$5,000,000

D = \$5,001 - \$10,000
H2 = More than \$5,000,000

E = \$15,001 - \$30,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
K = \$250,001 - \$500,000
P2 = \$25,000,001 - \$50,000,000

L = \$15,001 - \$50,000
M = \$50,001 - \$1,000,000

I = \$5,000,001 - \$10,000,000
N1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

O = \$25,001 - \$50,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost Real Estate Only
V = Other

S = Asset Smart
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
Page 11 of 12

Name of Person Reporting

Woodcock, John A.

Date of Report

05/06/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Item 11 Morgan Stanley Bank Deposits became the recipient of each of the stock sales during the year. Accordingly, the transactions are described as a transfer and, as a number of transfers were made as stocks were sold, the date of each transfer is not listed. The total amount of the transfers is reflected in the value code.

Item 12 American Movil was purchased on January 8, 2008 and inadvertently omitted from the Financial Disclosure Report for last year.

Item 39. Investment Company of America, which appeared in past reports, is held solely by a dependent  who is no longer dependent. The information on this fund, which appeared in prior reports, has not been completed and will not appear in future reports.

Item 46 All shares in American Capital Income Builder Mutual Fund were sold on December 18, 2009. This transaction is reported in full on line 17.

Item 48 Legg Mason Appreciation Fund is now known as Legg Mason Clearbridge Appreciation Fund.

Item 49 Legg Mason Capital and Income Fund is now known as Legg Mason Clearbridge Capital and Income Fund.

FINANCIAL DISCLOSURE REPORT
Page 12 of 12

Name of Person Reporting
Woodcock, John A.

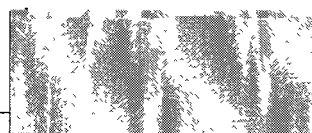
Date of Report
05/06/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544