

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Daughtrey, Martha C	2. Court or Organization 6th Circuit Court of Appeals	3. Date of Report 6/4/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5. Report Type (check appropriate type) ☐ Nomination, Date _____ ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 300 Customs House 701 Broadway Nashville, TN 37203	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1975-93

Tennessee State Retirement System (former state judge)

2. 1972-75

TIAA-CREF (former law professor)

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	7/03	Institute of Judicial Administration, 1-wk seminar	1500.00
2.	2003	The Tennessean	0.00
3.	2003	Tennessee State Retirement System	26,400
4.	2003	████████ Social Security	2.00

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	Institute of Judicial Administration/FJC	7/14/03 - New York City - Appellate Judges Seminar - t,l,f
2.	Univ. of Cincinnati	2/22/02 - White Plains, NY - moot court - t,l,f
3.	ASIL	10/31/03 - Washington DC - Committee mtg - t,l,f
4.	ABA	11/14/03 - Chicago - Section mtg - t,l,f

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1. Countrywide Home Mortgage, Phoenix

partnership in [REDACTED] home

M

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Alliance Money Reserves (Money Market)	A	Dividend	K	T					
2. AmSouth (stock)	A	Dividend	J	T					
3. AmSouth (checking accounts) (formerly First American Bank)	C	Interest	M	T					
4. Lincoln Trust, Denver (IRA)	A	Dividend	K	T					
5. Williams Co. (stock)	A	Dividend	J	T					
6. Gannett, Inc. (stock)	A	Dividend	K	T					
7. Alliance Cap. Res. (money market)	A	Interest	L	T					
8. Lincoln Trust, Denver, Colorado (IRA)	A	Dividend	K	T					
9. American Electric Power (stock)	A	Dividend	J	T					
10. Bristol Meyer Co. (stock)	A	Dividend	J	T					
11. J.P. Morgan Chase (stock) (merged with Chase Manhattan)	A	Dividend	K	T					
12. Kroger Co. (stock)	A	Dividend	J	T					
13. Williams Co. (stock)	A	Dividend	J	T					
14. Chevron Corp. (stock)	C	Dividend	L	T	partial sale	12/17	K		
15. Morgan Stanley Harbor I (annuity)	C	Interest	L	T					
16. TIAA-CREF (stock and annuity)	A	Interest	M	T					
17. Bank of America (checking acct)	A	Interest	J	T					
18. Gannett 401(k) (Pension account)	A	Dividend	L	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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19. Terra Industries (stock)	A	Dividend	J	T					
20. Longleaf Partners (mutual fund)	A	Dividend	M	T					
21. Mutual Series Beacon Fund (mutual fund)	A	Dividend	L	T					
22. T Rowe Price Stock Fund (mutual fund)	A	Dividend	K	T					
23. Kaufman Fund (mutual fund)	D	Dividend	K	T					
24. Ikon Office Solutions (stock)	A	Dividend	J	T					
25. J. Alexanders Corp. (corp. bond) (IRA)	A	Dividend	J	T	Redemption	2/25	J		
26. Pershing Government Account (Money Market)	A	Interest	J	T					
27. Household Int'l (stock)(now called HSBC Holdings)	A	Dividend	J	T					
28. Exxon Mobil (stock)	A	Dividend	K	T					
29. Dell Computer (stock)	A	Dividend	J	T					
30. EMC Corp (stock)	A	Dividend	J	T					
31. Intel Corp (stock)	A	Dividend	J	T					
32. Oracle Corp (stock)	A	Dividend	J	T					
33. Sun Micro Systems (stock)	A	Dividend	J	T					
34. Pioneer Natural Resources, Midland TX	A	Royalty	J	W					
35. Zimmer Hlths Inc. (stock)	A	Dividend	J	T					
36. Plains Mktg. LP, Houston, TX	A	Royalty	J	W					

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37. Tyco Int'l (stock)	A	Dividend	J	T	Sell	12/17	J		
38. Morgan Stanley Income Trust	A	Dividend	J	T					
39. Morgan Stanley Sched. Annuity Manager	C	Interest	L	T					
40. Morgan Stanley Multi-Mkt. (mutual fund)	B	Dividend	K	T					
41. Dodge & Cox Stock Fund	A	Dividend	J	T					
42. Vanguard 500 Fund	A	Dividend	J	T					
43. Vanguard Spec. Health Care Fund	A	Dividend	K	T					
44. Health Management Assoc. (stock)	A	Dividend	J	T	Buy	6/13	J		

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VII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

SECTION VII, line 31 of the 2002 report contained an error. The value code for the Pershing Govt money market account should have been J, not L. It is correctly reported on this report at line 25.

SECTION VIII, lines 8 and 9 of the 2002 report were redundant. We have only one Alliance Money Market account, correctly reported this year at line 7.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

Date _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

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Date

06.04.04

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