

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Smith, Ortrie D.	2. Court or Organization U.S.D.C. MO, Western District	3. Date of Report 2/28/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge (Active)	5. ReportType (check appropriate type) ☐ Nomination, Date 6/30/1995 ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address Room 8552 U.S. Courthouse 400 East 9th Street Kansas City, MO 64106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Chairman of the Board	Missouri Institute for Justice, Inc.
2. Fellow	Missouri Bar Foundation
3. Fellow	American Bar Foundation
4. Master	Ross T. Roberts Inn of Court
5. Director	The Foundation For Lawyers Encouraging Academic Performance
6. Director	Historical Society of the United States Courts for the Eighth Circuit
7. Secretary	Historical Society of the United States Courts for the Eighth Circuit - Western District of Missouri Branch
8. Director	University of Missouri-Kansas City Law School Alumni Association
9. Director	American Judicature Society

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

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MAR 7 11 02 AM '05
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Smith, Ortrie D

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Abbott Laboratories - Com Stk	A	Dividend			Sold	8/5	J	C	
2. Intel Corp - Com Stk	A	Dividend	K	T					
3. Merck & Co Inc. - Com Stk	A	Dividend	J	T					
4. Microsoft Corp - Com Stk	A	Dividend	L	T	Partial Sale	12/16	K	E	
5. Wal-Mart Stores, Inc. - Com Stk	A	Dividend	L	T					
6. New Perspective Fund, Inc.	A	Dividend	K	T					
7. Putnam Voyager Fund - CL A	A	Dividend	K	T	Partial Sale	8/10	K	D	
8.					Partial Sale	8/12	J	C	
9.					Partial Sale	8/12	J	B	
10. FHLMTG PARTNCTFS, GTD	A	Interest	J	T					
11. FNMA GTD	A	Interest	J	T					
12. Financing Corp CPN FICO STRIPS		None	K	T					
13. AMGEN, INC. - Com Stk		None	K	T					
14. Oracle Corp		None	K	T					
15. Household Finance Corp. - Senior Notes	A	Interest			Redeem	5/17	J	A	
16. Garmin Ltd.	A	Dividend	K	T	Buy	8/5	J		
17. XM Satellite Radio		None			Sold	8/5	J	C	
18. Ishares MSCI	A	Dividend	K	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000 P5 = More than \$100,000,000

3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)		(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Ishares Trust DJ Selected	A	Dividend	K	T					
20. Vanguard 500 Index Fund	A	Dividend	K	T					
21. Medco Health Solutions*	A	None			Sold	8/5	J	B	
22. Hospira, Inc.**	A	None			Buy	5/7	J		
23.					Sold	8/5	J	A	
24. Putnam Equity Income CL A	A	Dividend	K	T	Buy	8/10	K		
25.					Buy	10/11	J		
26. Putnam New Value CL A	A	Dividend	K	T	Buy	8/12	J		
27.					Buy	10/1	J		
28. Putnam Health Sciences CL A	A	Dividend	K	T	Buy	8/12	J		
29.					Buy	10/1	J		
30. Ishares Trust S&P 500	A	Dividend	J	T	Buy	1/3	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

*21. Medco Health Solutions was a spin off of Merck. The filer received [REDACTED] on 8/25/03. The holding was inadvertently omitted from the filer's 2003 report. The [REDACTED] were sold on 8/5/04.

**22. Hospira, Inc. was a spin off of Abbot Laboratories. The filer received [REDACTED] on 5/7/04. The stock was sold on 8/5/04.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

2/28/05

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544