

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MARSH, MALCOLM F.	2. Court or Organization U.S. DISTRICT COURT, OREGON	3. Date of Report 05/12/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE, SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 1000 S. W. THIRD AVENUE SUITE 1507 PORTLAND, OR 97204	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Grantor/Trustee of Intervivos Trust	Pioneer Trust Bank
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Marsh, Malcolm F.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. SMITHBARNEY FINANCIAL MANAGEMENT ACCOUNT									
2. Legg Mason West. Asset Muni. Money Mkt Fund, listed on 2009	A	Interest	J	T	Buy (add'l)	10/04/10	N		28,063.61
3. report as West. Asset Muni. Money Mkt Fund									
4. MUNICIPAL BONDS									
5. Forest Grove Ore Rev Rfdg & Campus, Due 5/1/2036	A	Interest	J	T					
6. Oregon St. Bd Bk Rev Ore Eco Comm Dev Dept, 01/01/2025,	A	Interest	J	T					
7. Umatilla Co. Ore. Hosp., 12-01-2030	A	Interest			Sold	03/24/10	K		20,000
8. Ore St. Health HSF Edl & Cultural, 11-15-2032	A	Interest			Sold	03/24/10	K		40,000
9. Clack Cnty Ore Sch Dist (Lk Osw), 06/01/2026	A	Interest			Sold	03/24/10	K		2,000
10. OHSU Rev Ser A MSF MBIA, 07/01/2032	A	Interest			Sold	03/24/10	K		35,000
11. Ore. St. Dept. Admin Svcs, due 05/01/2028	A	Interest			Sold	03/24/10	J		10,000
12. OR St Vets Welfare, Ser. B, Due 10/01/2042	A	Interest	K	T	Sold (part)	10/01/10	J		5,000
13. OR St. Bd Higher Ed G/O, Due 08/01/2028	C	Interest			Sold	03/24/10	M		100,000
14. Portland City OR Ltd/Tax Conv. Ctr, Ser. A, Due 06/01/2030	C	Interest	K	T					
15. OR St Dept Transn Hwy User Tax Rev, Bk Entry Due 11/15/2028	A	Interest	K	T					
16. Ore St Dpt of Transportation, Lien A, Due 11/15/2028	A	Interest	J	T					
17. Salem Ore Hosp Fac Auth Rev, Due 8/15/2030	B	Interest			Sold	03/24/10	K		50,000

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Portland, OR Swr Sys Rev 1st Lien Ser A, 6-15-2027	A	Interest			Sold	03/24/10	K		25,000
19. Ore. St. Dept of Transp Hwy User, Lien A, Due 11/15/2030	A	Interest			Sold	03/24/10	K		45,000
20. Ptd OR Swr Sys Rev Rfdg, 1st Lien-Ser A, Due 6-15-2033	A	Interest	J	T					
21. Clackamas Co OR Sch Dist #12, North Clack, Due 6-15-2030	A	Interest			Sold	03/24/10	K		20,000
22. Clackamas Cnty Ore Hosp Facs, Due 5/1/2021	B	Interest	J	T					10,000
23. State of OR, Board of Education, Due 8/1/2023	A	Interest	K	T					20,000
24. State of Oregon, Board of Education, Due 8/1/2027	A	Interest			Sold	01/19/10	J		15,000
25. Jackson County Ore Schl District, Due 6/15/2023	A	Interest			Sold	03/24/10	K		55,000
26. Puerto Rico Electric Power, Due 7/1/2019	B	Interest			Sold	03/24/10	L		55,000
27. Oregon St. Dept Admin Services, Due 11/1/2026	A	Interest	J	T			J		10,000
28. Washing County Ore Rfdg, Due 7/1/2039	A	Interest			Sold	03/24/10	K		35,000
29. Florence Ore Rfdg, Due 12/1/2030	A	Interest	K	T	Buy	11/09/10	K		25,000
30. Port Portland Int'l Airport, Due 7/1/2026	A	Interest	K	T	Buy	10/25/10	K		25,000
31. Puerto Rico Cmwlth Hwy & Transn, Due 7/1/2026	A	Interest	K	T	Buy	10/25/10	K		25,000
32. Portland Ore Urban Renewal, Due 6/15/2023	A	Interest	K	T	Buy	10/12/10	K		25,000
33. Tillamook Bay Ore Cmnty College, Due 6/15/2026	A	Interest	K	T	Buy	10/05/10	K		25,000
34. Ore St Dept Admin Svcs Lottery, Due 4/1/2025	A	Interest	K	T	Buy	10/04/10	K		25,000

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Lake Oswego Ore Ser A, L/T, B/E, Due 6/1/2029	A	Interest	K	T	Buy	10/04/10	K		25,000
36. SMITH BARNEY FINANCIAL MANAGEMENT ACCOUNT									
37. Citibank NA Bank Deposit Program	A	Interest	J	T					
38. MUNICIPAL BONDS									
39. Ore. St. Hsg & Cmnty Svcs Dept, Due 1/1/2023	A	Interest			Sold	03/24/10	J		10,000
40. Forest Grove Ore Ref Rfdg & Campus, 05/01/2036	A	Interest			Sold	03/24/10	K		40,000
41. ORE St. Hlth HSF Edl & Cultural 11/15/2032	A	Interest	K	T					
42. OHSU Rev Ser. A. MSF, MBIA, 07/01/2032	A	Interest			Sold	03/24/10	K		35,000
43. Ore. St. Veterans Welfare Service, Due 10/01/2042	A	Interest	K	T					
44. Bend OR full faith & credit obligs, due 12/1/2022	A	Interest			Sold	03/24/10	K		45,000
45. OR St Bd Higher Ed G/O Ser. B Due 8/1/2028	B	Interest	K	T					
46. Portland City OR Ltd. Tax Conv. Ctr Ser A, Due 6-1-2030	B	Interest	K	T					
47. OR St Dept Trans Hwy User, Due 11-15-2028	A	Interest			Sold	03/24/10	L		50,000
48. Ore St Dpt of Transp., Lien A Due 11/15/2028	B	Interest			Sold	03/24/10	K		45,000
49. Ptld OR Swr Sys Rev First Lien, Due 6/15/2029	A	Interest			Sold	03/24/10	K		35,000
50. Ptld OR Swr Sys Rev 1st Lien, Ser A, 6/15/2027	A	Interest	J	T					
51. Salem OR Hosp Fac Auth Rev, Due 8/15/2030	B	Interest			Sold	03/24/10	K		50,000

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Oregon State Ser 87A B/E, Due 12/1/2026	A	Interest			Sold	01/19/10	K		40,000
53. Ore St Dept of Transp Hwy User, Due 11/15/2030	A	Interest	K	T					
54. Ptlld OR Swr Sys Rev A Rfdg, due 6/15/2033	A	Interest			Sold	03/24/10	K		25,000
55. Clackamas Co OR Sch Dist #12, North Clack, due 6-15-2030	A	Interest	J	T					
56. Clackamas Cnty Ore Hosp Facs, Due 5/1/2021	B	Interest	J	T					10,000
57. Puerto Rico Hwys & Tmsptn Au, Due 7/1/2036	C	Interest	L	T					60,000
58. State of Ore, St. Bd of Education, Due 8/1/2031	A	Interest			Sold	03/24/10	K		50,000
59.									
60. Key Bank Private Bank	A	Interest	L	T					
61. U.S. Bank Checking Acct	A	Interest	J	T					
62. U.S. Bank Money Market Acct, transferred to Ckg act ABOVE	A	Interest			Closed	03/01/10	L		
63.									
64. I.R.A., MORGAN STANLEY SMITH	E	Interest	N	T					
65. BARNEY CGM IRA CUSTODIAN, SELF DIRECTED									
66. DISTRIBUTION TAKEN IN 2010									
67. ==Citibank NA South Dakota Bank Dep	A	Interest	J	T					
68. ==CORPORATE BONDS									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. ==HSBC Fin Corp HSBC Fin Internotes; MAT: 2/15/2015	A	Interest	J	T					15,000
70. ==SBC Communications Inc. Mat: 6/15/2016	B	Interest			Sold	06/02/10	K	B	25,000
71. ==Goldman Sachs Group Inc Mat: 1/15/2017	A	Interest	K	T					25,000
72. ==General Elec Cap Corp; Mat: 2/15/2017	A	Interest	K	T					25,000
73. ==Hartford Finl Svcs Group SR NTS; Mat: 3/15/2017	A	Interest	K	T					50,000
74. ==Coca Cola Co Notes Reg; Mat: 11/15/2017	B	Interest			Sold	06/02/10	K	B	25,000
75. ==Walmart Stores Inc; Mat: 2/1/2019	C	Interest			Sold	08/07/10	K	C	25,000
76. ==Textron Inc; Mat: 10/01/2019	B	Interest			Sold	01/19/10	K	B	35,000
77. ==Bank of America Corp Sub Internotes; Mat: 3/15/2020	A	Interest	K	T					50,000
78. ==Dow chem Co. Medium term; Mat:09/15/2020	B	Interest			Sold	08/12/10	K	B	35,000
79. Fortune Brands, Inc., Due 11-15-2021	A	Interest	K	T	Buy	01/19/10	K		35,000
80.	A	Interest			Sold (part)	10/04/10	K	A	15,000
81. RR Donnelley & Sons Co., Due 6/15/2020	B	Interest	K	T	Buy	08/12/10	K		60,000
82. Hartford Financial Services Group, Due 3/30/2020	B	Interest	K	T	Buy	06/02/10	K		35,000
83. Genworth Financial, Due 5/22/2018	B	Interest	K	T	Buy	06/02/10	K		35,000
84. == GOVT & GSE BONDS									
85. ==Fed Home Loan Bank Cons; Mat: 5/18/2016	A	Interest	K	T					20,000

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

86. ==Fed Home Loan Bank Cons.; Mat: 12/16/2016	A	Interest	K	T					20,000
87. ==Fed. Nat'l Mtg Assn Debs; Mat: 5/11/2017	A	Interest	K	T					25,000
88. ==Fed. Nat'l Mtg Assn Debs; Mat: 6/12/2017	A	Interest	K	T					25,000
89.									
90. Pioneer Trust Bank (See P. 1, Positions)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B 1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C 1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ MALCOLM F. MARSH**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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