

UNITED STATES DISTRICT COURT
Middle District of Tennessee

Aleta A. Trauger
U.S. District Judge
615 736-7143
Fax 615 736-7156

825 U.S. Courthouse
801 Broadway
Nashville, Tennessee 37203

August 2, 2004

Honorable Mary M. Lisi, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, DC 20544

Re: Amendment to 2003 Financial Disclosure Report

Dear Judge Lisi:

Thank you for your letter of July 27, 2004. Please consider this letter as an amendment to my Financial Disclosure Report for calendar year 2003.

With regard to Part III B., line 1, my husband is "self-employed" under the instructions to this part in that he is a partner in a law firm partnership, the name of which is Trauger, Ney & Tuke. I hope this answers your inquiry. Please feel free to contact me should you need further information.

Sincerely,

Aleta A. Trauger

AAT/db

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AO-10

Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Trauger, Aletia A	2. Court or Organization U.S. District Court (MD Tenn)	3. Date of Report 05/13/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Ct. Judge-Active	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2003 to 12/31/2003
7. Chambers or Office Address 825 U.S. Courthouse 801 Broadway Nashville, Tennessee 37203	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)☐ **NONE** - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Member, Board of Trustees	Cornell College, Mt. Vernon, Iowa
2. Member, Board of Trustees	St. Bernard Academy

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)☒ **NONE** - (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Trauger, Aleta A

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. _____	_____	_____
2. _____	_____	_____

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2003	Income from law firm partnership
2. 2003	Distribution from [REDACTED] estate

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. _____	_____

FINANCIAL DISCLOSURE REPORT

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Trauger, Aleta A

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05/13/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1. SunTrust Bank

Mortgage on law firm building (joint liability with 4 other partners)

O

2. SunTrust Bank

Lines of credit for law firm (joint liability with 4 other partners)

K

FINANCIAL DISCLOSURE REPORT

Page 1 of 3

Name of Person Reporting

Trauger, Aleta A

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Acc'ts. at SunTrust Bank, Nashville, TN	B	Interest	M	T					
2. The Equitable A.B.A. Group IRA	E	Interest	L	T					
3. Robertson Stephens Emerging Growth Fund		None	M	T					
4. Coventry Health Care Inc. common stock		None	O	T					
5. Guilford Co., NC farmland	B	Rent	N	W					
6. Cash surr. value NW Life policy		None	K	T					
7. Cash surr. value of 2 NW Life policies		None	L	T					
8. Parnassus Fund	B	Dividend	K	T					
9. Union Planters common stock	B	Dividend	K	T					
10. Interest in law firm bldg.		None	N	W					
11. Profit sharing plan-Benefit Consultants Grp & Divers. Trust		None	O	T					
12. Fidelity Advisor Equity Growth Class T Fund		None	K	T					
13. Aintree Capital Acc't. - IRA a) Pershing Gov't. Acc't.	A	Interest	K	T					
14. Knox County, TN munic. bonds		None	K	T					
15. Rutherford Co., TN gen. oblig. bonds		None	K	T					
16. Ingram Micro, Inc. common stock		None	J	T					
17. ITECH Partners, L.P.		None	L	W					
18. Promissory note from Paul C. Ney, Jr.		None	L	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market

FINANCIAL DISCLOSURE REPORT

Page 2 of 3

Name of Person Reporting
Trauger, Aleta A

Date of Report
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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

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19. Moore County, NC timber land		None	M	W					
20. SkillSoft PLC f/k/a SmartForce PLC		None	J	T					
21. Qualifacts Systems, Inc.		None	M	W					
22. Metro Nashville Electric Revenue bonds	B	Interest	K	T					
23. CP Pool One, LLC		None	K	W					
24. Bank of America Corp.	A	Dividend	K	T					
25. Duke Energy Corp.	A	Dividend	J	T					
26. Exxon Mobil Corp.	A	Dividend	K	T					
27. Jefferson-Pilot Corp.	C	Dividend	M	T					
28. Occidental Pete Corp.	A	Dividend	J	T					
29. Piedmont Natural Gas Co.	A	Dividend	J	T					
30. Progress Energy Inc.	A	Dividend	J	T					
31. Verizon Communications	A	Dividend	J	T					
32. Metro Nashville Electric Service Zero Coupon Bonds		None	K	T					
33. TN Housing Development Agcy. Homeownership Bonds	B	Interest	K	T					
34. The Providence Service Corp. Common Stock		None			sell	8/18	M	G	
35. The Providence Service Corp. (2 notes)	D	Interest			ex for stock	8/18	M	G	
36. The Camelot Schools, LLC (2 notes)		None	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 3 of 3

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Trauger, Aleta A

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VII. INVESTMENTS and TRUSTS

-- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. The Providence Service Corp. common stock		None			exchg/buy	8/18	M		
38. Centennial Money Market (A.G. Edwards) (X)	A	Interest	J	T					
39. Elizabethton, TN water & sewer revenue bonds		None	K	T	buy	1/16	K		

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(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
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	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Columns C1 and D3)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE

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Trauger, Aleta A

Date of Report

05/13/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

No. 10. Not taking into account the outstanding mortgage.

Nos. 34, 35, 37. On Aug. 18, 2003, Providence Service Corp. made an initial public offering, in which we (1) sold Providence Common Stock that we owned and (2) converted our 2 promissory notes into additional Common Stock in Providence.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

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Date of Report

05/13/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/13/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544