

# FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>King, George H.                                                                                                                      | <b>2. Court or Organization</b><br><br>United States District Court, Central District of California                                                                                                                                                                                      | <b>3. Date of Report</b><br><br>01/06/2017                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Active-United States District Judge                                           | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input type="checkbox"/> Annual <input checked="" type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2016<br>to<br>01/06/2017 |
| <b>7. Chambers or Office Address</b><br><br>Edward R. Roybal Federal Building and Courthouse<br>255 East Temple Street<br>Suite 660<br>Los Angeles, CA 90012                                              |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u>  | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|------------------|------------------------------------|
| 1. | Trustee          | Trust #1                           |
| 2. | Executor of will | Trust #2                           |
| 3. | Executor of will | Trust #3                           |
| 4. |                  |                                    |
| 5. |                  |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE | INCOME<br>(yours, not spouse's) |
|------|-----------------|---------------------------------|
| 1.   |                 |                                 |
| 2.   |                 |                                 |
| 3.   |                 |                                 |
| 4.   |                 |                                 |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE |
|------|-----------------|
| 1.   |                 |
| 2.   |                 |
| 3.   |                 |
| 4.   |                 |

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

| SOURCE  | DATES         | LOCATION          | PURPOSE             | ITEMS PAID OR PROVIDED                                                                                             |
|---------|---------------|-------------------|---------------------|--------------------------------------------------------------------------------------------------------------------|
| 1. ABTL | 04/01-03/2016 | Carmel Valley, CA | Joint board retreat | Hotel accommodations and applicable taxes and mileage                                                              |
| 2. ABTL | 10/04-09/2016 | Maui, Hawaii      | Annual Seminar      | Hotel accommodations and applicable taxes, coach airfare, credit toward rental car, and judicial registration fee. |
| 3.      |               |                   |                     |                                                                                                                    |
| 4.      |               |                   |                     |                                                                                                                    |
| 5.      |               |                   |                     |                                                                                                                    |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. In Trust # 1 LA Financial Credit Union                                                                                   | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. In Trust #1 Real Estate Prescott, AZ ,<br>assessed value \$196,738                                                       | E                                       | Rent                                          | M                                               | S                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Wells Fargo Bank, N.A. Savings/Checking                                                                                  | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Universal Life Insurance (State Farm)                                                                                    | B                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Alamos Gold Inc.(AGL.WT)                                                                                                 |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Applied Energetics (y)                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 7. Barrick Gold Corp (ABX)                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Belo Sun Mining Corp. (BSX)                                                                                              |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Centamin Plc (CEE)                                                                                                       | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. Centerra Gold Inc. (CG)                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. Chaoda Modern Agriculture Holdings<br>(0682)                                                                            |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. Dalradian Resources Inc. (DNA)                                                                                          |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Detour Gold Corp. (DGC)                                                                                                 |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. Broker #3 California Muni Money Market/<br>gov't cash reserves                                                          | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. First Majestic Silver Corp. (AG)                                                                                        |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. First Mining Finance Corp (FF) aquired<br>through IRV acquisition                                                       |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. Fortuna Silver Mines Inc. (FSM)                                                                                         |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                         |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                         | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,000,001 - \$500,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                         | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                            |   |          |   |   |  |  |  |  |  |
|----------------------------------------------------------------------------|---|----------|---|---|--|--|--|--|--|
| 18. Gold Standard Ventures Corp. (GSV)                                     |   | None     | J | T |  |  |  |  |  |
| 19. Hong Kong Dollars (HKD)                                                |   | None     | J | T |  |  |  |  |  |
| 20. Humana                                                                 | A | Dividend | J | T |  |  |  |  |  |
| 21. Iamgold Corporation (IAG)                                              |   | None     | J | T |  |  |  |  |  |
| 22. Broker # 1 (IRA)                                                       |   | None     | K | T |  |  |  |  |  |
| 23. International Tower Hill Mines Ltd. (THM)                              |   | None     | J | T |  |  |  |  |  |
| 24. Irving Resources Inc. (IRV)                                            |   | None     | J | T |  |  |  |  |  |
| 25. Ishares 10-20 year treasury bond ETF                                   | A | Dividend | K | T |  |  |  |  |  |
| 26. Kinross Gold Corp. (KGC)                                               |   | None     | J | T |  |  |  |  |  |
| 27. Lydian International Ltd. (LYD)                                        |   | None     | J | T |  |  |  |  |  |
| 28. Mast Therapeutics Inc. Com (Previously<br>Advantrx Pharmaecuticals (y) |   |          |   |   |  |  |  |  |  |
| 29. New Gold (NGD)                                                         |   | None     | K | T |  |  |  |  |  |
| 30. Newmont Mining Corp. (NEM)                                             | A | Dividend | J | T |  |  |  |  |  |
| 31. Progen Pharmaceuticals Ltd. Ordinary<br>Shares IAIN (y)                |   |          |   |   |  |  |  |  |  |
| 32. Rye Patch Gold Corp. (RPM)                                             |   | None     | J | T |  |  |  |  |  |
| 33. Shui On Land Ltd. (0272)                                               | A | Dividend | J | T |  |  |  |  |  |
| 34. Silver Wheaton Corp. (SLW)                                             |   | None     | J | T |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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|                                                                            |   |          |   |   |  |  |  |  |  |
|----------------------------------------------------------------------------|---|----------|---|---|--|--|--|--|--|
| 35. Sino Land Co. (83)                                                     | A | Dividend | J | T |  |  |  |  |  |
| 36. Specie Assets (Spc)                                                    |   | None     | M | T |  |  |  |  |  |
| 37. Suntec Real Estate Investment Trust NPV                                | A | Dividend | J | T |  |  |  |  |  |
| 38. Telik Inc. (y)                                                         |   |          |   |   |  |  |  |  |  |
| 39. Brkr # 2 Treasury MMkt Capital Reserves                                | A | Dividend | M | T |  |  |  |  |  |
| 40. Trilogy Metals Inc. (TMQ) formerly<br>Novacopper and Sunward Resources |   | None     | J | T |  |  |  |  |  |
| 41. Turquoise Hill Resources Ltd. (TRQ)                                    |   | None     | J | T |  |  |  |  |  |
| 42. Zijin Mining Group Co. Ltd. (2899)                                     | A | Dividend | J | T |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

This explanation/information regards Part III (B): This item was inadvertently omitted from the 2012 Financial Disclosure Report.

These explanations/information regard Part VII:

1. Lines 2 and 3 of Part I are positions held for Estate Planning purposes for third parties and are not owned by me.
2. Lines 6, 28, 31, 38 are all stocks that were held in a Roth IRA for the benefit of our son. That account is not longer included within our portfolio.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ George H. King**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544