

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schroeder, HKennethJr	2. Court or Organization U.S. District Court, W.D.N.Y.	3. Date of Report 05/23/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 2 Niagara Square Buffalo, New York 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. May 2000	Hodgson, Russ, Andrews, Woods & Goodyear Retirement Plan - former law firm - no control
2. May 2000	Hodgson, Russ, Andrews, Woods & Goodyear Capital Accounts - former law firm - no control
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 12/01/16	Hodgson,Russ,Andrews,Woods&Goodyear-Retirement income from pension plan.	\$75,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA ACCOUNT - Common Stock & money funds:									
2. MLIKX Money Funds		None	J	T					
3. Hodgson, Russ, Andrews, Woods & Goodyear 401(k) - no control									
4. Common Stock:									
5. Harbor Capital Appreciation	A	Dividend	K	T					
6. Managers Special Equity		None	K	T					
7. Dodge & Cox Stock Fund		None	K	T					
8. Loomis Sayles Small Cap		None	K	T					
9. Fleet Bank-checking account		None	J	T					
10. Common Stock - M&T Bank	A	Dividend	L	T					
11. Mutual Funds:									
12. AIM Large Cap Growth Fund		None	J	T					
13. Alliance Premier Growth Fund		None	J	T					
14. Legg Mason Value Trust		None	J	T					
15. Lord Abbett Secs Tr.		None	J	T					
16. Putnam Int. Growth Fund		None	J	T					
17. Templeton Funds Inc		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. Van Kampen Comstock CL		None	J	T					
19. Van Kampen Equity Trust		None	J	T					
20. Money Market Funds:									
21. Legg Mason Cash Reserve Trust		None	K	T					
22. Section 529 - Internal Revenue Code Education Funds for									
23. New York's College Savings Program (age/ risk based invest.)									
24.		None	K	T					
25.		None	K	T					
26.		None	K	T					
27. M&T BANK (common stock)	B	Dividend	K	T					
28. M&T BANK (common stock)	A	Dividend	J	T					
29. M&T BANK (common stock)	A	Dividend	K	T					
30. M&T BANK (common stock)	A	Dividend	K	T					
31. M&T BANK (common stock)	B	Dividend	J	T					
32. M&T BANK (common stock)	B	Dividend	J	T					
33. M&T BANK (common stock)	B	Dividend	J	T					
34. M&T BANK (common stock)	B	Dividend	J	T					

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35. SHIRE PLC SPON ADR DE	A	Dividend	J	T	Buy (add'l)	02/12/16	J		
36. CELADON GROUP INC DE	A	Dividend			Sold	02/01/16	J	A	
37. BEIERSDORF AG ADR DE	A	Int./Div.			Sold	02/18/16	J	B	
38. VALERO ENERGY CORP NEW DE	A	Dividend			Sold	05/03/16	J	B	
39. HUNTINGTON BANC SHRES DE	A	Dividend			Sold	10/26/16	J	B	
40. CVS HEALTH CORP DE	A	Dividend			Sold	11/08/16	J	B	
41. ORANGE SPON ADR DE	A	Int./Div.			Sold	02/16/16	J	B	
42. GOLDMAN SACHS GROUP INC	A	Dividend			Sold	07/19/16	J		
43. TWITTER INC DE	A	Dividend			Sold	02/23/16	J	A	
44. TEGNA INC DE	A	Dividend			Sold	04/27/16	J	B	
45. FEDERATED INVESTORS INC PA CL B DE	A	Dividend			Sold	05/02/16	J	B	
46. LLOYDS BANKING GROUP PLC SPON ADR DE	A	Dividend			Sold	06/24/16	J	B	
47. REYNOLDS AMERN INC (HOLDING CO) DE	A	Dividend			Sold	07/26/16	J	B	
48. ENCANA CORP CAD DE	A	Dividend			Sold	02/11/16	J	A	
49. TJX COS INC NEW DE	A	Dividend			Sold	02/24/16	J	B	
50. BARCLAYS PLC ADR DE	A	Dividend			Sold	03/03/16	J	A	
51. WYNDHAM WORLDWIDE CORP DE	A	Dividend			Sold	04/26/16	J	B	

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52. CLEARWATER PAPER CORP DE	A	Dividend			Sold	07/22/16	J	B	
53. AKAMAI TECHNOLOGIES INC DE	A	Dividend	J	T	Buy	01/11/16	J		
54.					Sold	02/18/16	J	B	
55. MICROSEMI CORP DE	A	Dividend	J	T	Buy	01/11/16	J		
56.					Sold	10/12/16	J	B	
57. XL GROUP PLC SHS DE	A	Dividend	J	T	Buy	01/20/16	J		
58. APHABET INC CL A DE	A	Dividend	J	T	Buy	02/04/16	J		
59. TATA MOTORS LTD SPON ADR DE	A	Dividend	J	T	Buy	02/12/16	J		
60.					Sold	11/15/16	J	B	
61. ALIBABA GROUP HLDG LTD SPON ADR DE	A	Dividend	J	T	Buy	02/16/16	J		
62.					Sold	05/25/16	J	B	
63. ALLIANCE DATA SYSTEMS CORP DE	A	Dividend	J	T	Buy	02/16/16	J		
64.					Sold	10/18/16	J	B	
65. HANESBRANDS INC DE	A	Dividend	J	T	Buy	02/18/16	J		
66. MICROCHIP TECHNOLOGY INC DE	A	Dividend	J	T	Buy	02/18/16	J		
67.					Sold	10/12/16	J	B	
68. SINCLAIR BROADCAST GROUP INC CL A DE	A	Dividend	J	T	Buy	02/24/16	J		

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69.					Sold	11/02/16	J	B	
70. HOCHTIEF AG ORD DM 5 PR ORD EUR DE	A	Dividend	J	T	Buy	02/26/16	J		
71.					Sold	05/12/16	J	B	
72. USG CORP NEW DE	A	Dividend	J	T	Buy	02/26/16	J		
73. TRIUMPH GROUP INC DE	A	Dividend	J	T	Buy	03/02/16	J		
74.					Sold	07/29/16	J	A	
75. NISSAN MTR LTD SPONS ADR JAPAN ADR DE	A	Dividend	J	T	Buy	03/03/16	J		
76.					Sold	07/27/16	J	B	
77. SYMANTEC CORP DE	A	Dividend	J	T	Buy	03/07/16	J		
78.					Sold	05/13/16	J	B	
79. TYSON FOODS INC CL A DE	A	Dividend	J	T	Buy	08/09/16	J		
80.					Sold	11/21/16	J	A	
81. CK HUTCHISON HLDGS LTD UNSPONSORED ADR DE	A	Dividend	J	T	Buy	03/17/16	J		
82.					Sold	08/12/16	J	B	
83. PULTE GROUUP INC DE	A	Dividend	J	T	Buy	03/23/16	J		
84.					Sold	10/20/16	J	B	
85. BANK OF CHINA ADR DE	A	Dividend	J	T	Buy	03/31/16	J		

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86. MARTIN MARIETTA MATERIALS INC DE	A	Dividend	J	T	Buy	04/08/16	J		
87. MACK-CALI REALTY CORP DE	A	Dividend	J	T	Buy	04/29/16	J		
88. VENTAS INC DE	A	Dividend	J	T	Buy	04/29/16	J		
89. VULCAN MATERIALS CO NEW (HOLDING COMPANY) DE	A	Dividend	J	T	Buy	05/03/16	J		
90. MGM RESORTS INTL DE	A	Dividend	J	T	Buy	05/05/16	J		
91. ENEL SPA ADR DE	A	Dividend	J	T	Buy	05/10/16	J		
92. NOKIA CORP SPONS ADR FINLAND ADR DE	A	Dividend	J	T	Buy	05/10/16	J		
93.					Sold	11/29/16	J	A	
94. MONDELEZ INTL INC DE	A	Dividend	J	T	Buy	05/16/16	J		
95.					Sold	12/15/16	J	B	
96. SUNPOWER CORP DE	A	Dividend	J	T	Buy	05/16/16	J		
97.					Sold	06/16/16	J	B	
98. HUNTSMAN CORP DE	A	Dividend	J	T	Buy	05/18/16	J		
99.					Sold	08/11/16	J	B	
100. KOREA ELECTRIC POWER CRP SPONSORED ADR SHS DE	A	Dividend	J	T	Buy	05/18/16	J		
101.					Sold	11/29/16	J	A	
102. ICON PLC EUR DE	A	Dividend	J	T	Buy	05/27/16	J		

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103. XL GROUP PLC SHS DE	A	Dividend	J	T	Buy	05/27/16	J		
104. VAIL RESORTS INC DE	A	Dividend	J	T	Buy	06/09/16	J		
105. EXELON CORP DE	A	Dividend	J	T	Buy	06/16/16	J		
106. ANADARKO PETROLEUM CORP DE	A	Dividend	J	T	Buy	06/21/16	J		
107.					Sold	12/19/16	J	B	
108. COMMScope HLDG CO INC DE	A	Dividend	J	T	Buy	06/21/16	J		
109. E ON SE SPON ADR DE	A	Dividend	J	T	Buy	06/24/16	J		
110.					Sold	08/10/16	J	B	
111. WESTERN UNION CO DE	A	Dividend	J	T	Buy	06/24/16	J		
112.					Sold	08/24/16	J	B	
113.					Buy	11/12/16	J		
114. PROSIEBENSAT.1 MEDIA SE ADR DE	A	Dividend	J	T	Buy	07/27/16	J		
115. SINOPEC SHANGHAI PETROCHEMICAL CO LTD SPON ADR DE	A	Dividend	J	T	Buy	07/27/16	J		
116. SUNCOR ENERGY INC NEW CAD DE	A	Dividend	J	T	Buy	07/29/16	J		
117. ARROW ELECTRONICS INC DE	A	Dividend	J	T	Buy	08/04/16	J		
118.					Sold	11/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. MARKEL CORP (HOLDING CO) DE	A	Dividend	J	T	Buy	08/09/16	J		
120. ORBITAL ATK INC COM DE	A	Dividend	J	T	Buy	08/10/16	J		
121. DIGITAL REALTY TRUST INC REIT DE	A	Dividend	J	T	Buy	08/17/16	J		
122. WAL MART STORES INC DE	A	Dividend	J	T	Buy	08/18/16	J		
123. FLEXTRONICS UNTL LTD DE	A	Dividend	J	T	Buy	08/24/16	J		
124.					Sold	11/21/16	J	B	
125. AETNA INC DE	A	Dividend	J	T	Buy	08/25/16	J		
126. CITIGROUP INC DE	A	Dividend	J	T	Buy	09/21/16	J		
127.					Sold	12/08/16	J	B	
128. RITE AID CORP DE	A	Dividend	J	T	Buy	09/22/16	J		
129.					Sold	12/15/16	J	B	
130. LULULEMON ATHLETICA INC DE	A	Dividend	J	T	Buy	09/23/16	J		
131. SALESFORCE.COM INC DE	A	Dividend	J	T	Buy	10/05/16	J		
132.					Sold	11/18/16	J	B	
133. EXPERIAN PLC SPON ADR DE	A	Dividend	J	T	Buy	10/10/16	J		
134. PROCTER & GAMBLE CO DE	A	Dividend	J	T	Buy	10/14/16	J		
135. PPG INDUSTRIES INC DE	A	Dividend	J	T	Buy	10/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. UNTD RENTALS INC DE	A	Dividend	J	T	Buy	10/20/16	J		
137. ALTRIA GROUP INC DE	A	Dividend	J	T	Buy	10/26/16	J		
138. MELLANOX TECHNOLOGIES LTD ILS DE	A	Dividend	J	T	Buy	10/26/16	J		
139.					Sold	10/28/16	J	B	
140. OWENS ILLINOIS INC NEW DE	A	Dividend	J	T	Buy	10/26/16	J		
141. THERMO FISHER SCIENTIFIC INC DE	A	Dividend	J	T	Buy	10/27/16	J		
142. UNITED PARCEL SERVICE INC CL B DE	A	Dividend	J	T	Buy	10/27/16	J		
143. CHINA TELECOM CORP LTD REPSTG H SHS SPON ADR DE	A	Dividend	J	T	Buy	10/28/16	J		
144. MOSAIC CO DE	A	Dividend	J	T	Buy	11/01/16	J		
145. WPX ENERGY INC DE	A	Dividend	J	T	Buy	11/03/16	J		
146. LAS VEGAS SANDS CORP DE	A	Dividend	J	T	Buy	11/04/16	J		
147. ASSOCIATED BRITISH FOODS PLC NEW ADR DE	A	Dividend	J	T	Buy	11/08/16	J		
148. SEMTECH CORP DE	A	Dividend	J	T	Buy	11/09/16	J		
149. SINGAPORE TELECOM LTD NEW 2006 SPON ADR DE	A	Dividend	J	T	Buy	11/15/16	J		
150. ACTELION LTD UNSPONSORED ADR DE	A	Dividend	J	T	Buy	11/16/16	J		
151.					Sold	11/28/16	J	B	
152. CABOT OIL & GAS CORP DE	A	Dividend	J	T	Buy	11/23/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. VANTIV INC CL A DE	A	Dividend	J	T	Buy	11/23/16	J		
154. CARNIVAL CORP NEW (PAIRED STOCK) DE	A	Dividend	J	T	Buy	11/29/16	J		
155. CDW CORP DE	A	Dividend	J	T	Buy	11/29/16	J		
156. ROCHE HLDG LTD SPONS ADR SWITZ ADR DE	A	Dividend	J	T	Buy	11/29/16	J		
157. MANHATTAN ASSOC INC DE	A	Dividend	J	T	Buy	12/01/16	J		
158. INTEGRATED DEVICE TECH INC DE	A	Dividend	J	T	Buy	12/02/16	J		
159. CHINA RESOURCES LAND LTD (HK) HKD	A	Dividend	J	T	Buy	12/09/16	J		
160. DIAMONDBACK ENERGY INC DE	A	Dividend	J	T	Buy	12/14/16	J		
161. ORACLE CORP DE	A	Dividend	J	T	Buy	12/16/16	J		
162. AVANGRID INC DE	A	Dividend	J	T	Buy	12/19/16	J		
163. AK STEEL HOLDING CORP DE (Y)									
164. INTERCONTINENTALEXCHANGE GROUP DE	A	Dividend	J	T					
165. LATTICE SEMICONDUCTOR CORP DE (Y)									
166. APPLE INC DE	A	Dividend	J	T					
167. SCHWAB CHARLES CORP NEW DE	A	Dividend	J	T					
168. KITE REALTY GROUP TRUST REIT DE	A	Dividend	J	T					
169. CONS ENERGY INC DE	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Continuation of Part I. POSITIONS:

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Schroeder, HKennethJr

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ HKennethJr Schroeder

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544