

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pollak, Cheryl L.	2. Court or Organization U.S. District Court, E.D.N.Y.	3. Date of Report 09/24/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse, E.D.N.Y. 225 Cadman Plaza East Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Morgan Lewis & Bockius - partnership

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan, Lewis & Bockius Defined Contribution Plan	A	Interest	P1	T					
2. - William Blair International Growth Fund - Class I									
3. - Dodge & Cox International Stock Fund									
4. - DFA US Small Cap Portfolio; Class I									
5. - Goldman Sachs Global Income Fund Institutional Shares									
6. Morgan Lewis & Bockius Tax Saver Retirement Plan	A	Interest	O	T					
7. - Conestoga Small Cap Fund - Institutional Class									
8. - Royce Opp. Fund - Institutional Shares									
9. - Vanguard Institutional Index Fund									
10. - Vanguard Admiral Growth Fund									
11. - Vanguard Winter 2 Admiral Fund									
12. - Vanguard Prime Money Market Fund - Institutional Shares									
13. - Vanguard Total Bond Market - Joint Shares									
14. - William Blair International Growth Fund - Class I									
15. - Goldman Sachs Global Income Fund Institutional Shares									
16. Vanguard 500 Index Fund (no control)	A	Interest	O	T					
17. Rental Property Kiawah Island, SC (2010 \$1.8 million)	E	Rent	P1	R					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Rental Property Syosset, NY 11791 (2014 \$2 million) (X)	A	Rent	P1	R					
19. AB Discovery Growth Fund	A	Dividend	K	T	Buy (add'l)	10/03/14	J		
20.					Buy (add'l)	12/22/14	J		
21. AB Discovery Value Fund	A	Dividend	K	T	Buy (add'l)	12/23/14	J		
22. Actavis	A	Dividend	J	T	Buy	05/12/14	J		
23.					Buy (add'l)	06/09/14	J		
24.					Buy (add'l)	06/17/14	J		
25.					Buy (add'l)	06/24/14	J		
26.					Buy (add'l)	07/15/14	J		
27.					Buy (add'l)	07/16/14	J		
28. AETNA	A	Dividend	K	T	Buy	05/27/14	J		
29.					Buy (add'l)	06/11/14	J		
30.					Buy (add'l)	08/08/14	J		
31.					Buy (add'l)	10/17/14	J		
32.					Buy (add'l)	10/20/14	J		
33. Affiliated Managers Group	A	Dividend	J	T	Sold (part)	07/30/14	J	A	
34.					Buy (add'l)	10/03/14	J		

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35.					Buy (add'l)	10/15/14	J		
36. Allergan Inc.	A	Dividend	J	T	Buy (add'l)	01/08/14	J		
37.					Sold (part)	02/14/14	J	A	
38.					Sold (part)	05/02/14	J	A	
39.					Sold (part)	05/27/14	J	A	
40.					Sold (part)	07/09/14	J	A	
41.					Sold (part)	10/08/14	J	B	
42. AllianceBernstein Multi-manager Alternative Fund	A	Dividend	N	T					
43. Allstate	A	Dividend	J	T	Buy	12/11/14	J		
44. Amazon.com Inc.	A	Dividend			Sold (part)	01/03/14	J	B	
45.					Sold (part)	04/07/14	J	A	
46.					Sold (part)	04/10/14	J	A	
47.					Sold (part)	04/25/14	J	A	
48.					Sold (part)	05/21/14	J	A	
49.					Sold	06/04/14	J	A	
50. AMDOCS Ltd.	A	Dividend	J	T	Buy (add'l)	01/08/14	J		
51.					Sold (part)	02/19/14	J	A	

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52.					Sold (part)	06/09/14	J	A	
53.					Buy (add'l)	10/08/14	J		
54.					Sold (part)	12/11/14	J	A	
55. American Electric Power	A	Dividend	J	T	Buy	04/25/14	J		
56.					Buy (add'l)	05/02/14	J		
57.					Buy (add'l)	05/21/14	J		
58.					Buy (add'l)	10/13/14	J		
59.					Buy (add'l)	10/14/14	J		
60.					Buy (add'l)	10/20/14	J		
61.					Buy (add'l)	10/24/14	J		
62. American Express Co.	A	Dividend	K	T	Buy (add'l)	05/09/14	J		
63.					Sold (part)	06/09/14	J	A	
64.					Buy (add'l)	06/19/14	J		
65.					Buy (add'l)	06/20/14	J		
66.					Buy (add'l)	06/24/14	J		
67.					Sold (part)	12/03/14	J	A	
68. American International Group	A	Dividend	K	T	Buy (add'l)	01/06/14	J		

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69.					Buy (add'l)	01/10/14	J		
70. American Tower Corp.	A	Dividend	J	T	Buy	01/03/14	J		
71.					Buy (add'l)	01/06/14	J		
72. AMG GW&K Small Cap (formerly GW&K Small Cap)	A	Dividend	O	T	Buy (add'l)	01/22/14	J		
73.					Buy (add'l)	04/02/14	J		
74. Amphenol Corp.	A	Dividend	J	T	Buy (add'l)	03/19/14	J		
75. Ansys Inc.	A	Dividend	J	T	Buy (add'l)	01/08/14	J		
76.					Sold (part)	02/10/14	J	A	
77.					Sold (part)	04/29/14	J	A	
78.					Sold (part)	05/27/14	J	A	
79.					Sold (part)	06/09/14	J	A	
80.					Buy (add'l)	09/04/14	J		
81.					Buy (add'l)	09/26/14	J		
82.					Buy (add'l)	10/21/14	J		
83.					Sold (part)	12/16/14	J	A	
84. Anthem Inc. (formerly Wellpoint Inc.)	A	Dividend	K	T	Sold (part)	01/07/14	J	C	
85.					Buy (add'l)	07/17/14	J		

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86.					Buy (add'l)	07/31/14	J		
87.					Buy (add'l)	08/01/14	J		
88.					Buy (add'l)	08/08/14	J		
89.					Buy (add'l)	09/05/14	J		
90.					Buy (add'l)	10/01/14	J		
91. Aon	A	Dividend	J	T	Buy (add'l)	04/28/14	J		
92.					Buy (add'l)	10/02/14	J		
93.					Buy (add'l)	10/03/14	J		
94.					Buy (add'l)	12/11/14	J		
95. Apple Inc.	A	Dividend	K	T	Buy (add'l)	01/03/14	J		
96.					Buy (add'l)	01/06/14	J		
97.					Buy (add'l)	04/25/14	J		
98.					Sold (part)	06/27/14	J	A	
99.					Sold (part)	09/26/14	J	C	
100. Assurant Inc.	A	Dividend			Buy (add'l)	01/03/14	J		
101.					Buy (add'l)	02/05/14	J		
102.					Buy (add'l)	02/28/14	J		

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103.					Sold	10/02/14	J	A	
104. Artisan Int'l Fund	A	Dividend	M	T					
105. AT&T Inc.	A	Dividend			Buy (add'l)	01/17/14	J		
106.					Sold (part)	01/21/14	J	A	
107.					Sold	02/18/14	J	A	
108. Autozone Inc.	A	Dividend	J	T	Buy	04/24/14	J		
109.					Buy (add'l)	05/06/14	J		
110.					Buy (add'l)	05/16/14	J		
111.					Buy (add'l)	08/20/14	J		
112.					Buy (add'l)	10/01/14	J		
113. Ball Corp.	A	Dividend	J	T	Buy	02/04/14	J		
114.					Buy (add'l)	02/07/14	J		
115.					Buy (add'l)	02/20/14	J		
116.					Buy (add'l)	03/24/14	J		
117.					Buy (add'l)	05/22/14	J		
118.					Buy (add'l)	12/16/14	J		
119. Bank of America	A	Dividend	K	T	Sold (part)	01/17/14	J	A	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Bank of America - CD (formerly Citibank NA - CD)	A	Interest			Matured	03/10/14	J	A	
121. Becton Dickinson & Co.	A	Dividend	J	T	Sold (part)	06/09/14	J	A	
122.					Sold (part)	10/06/14	J	A	
123. Berkshire Hathaway Inc.	A	Dividend	K	T	Sold (part)	09/05/14	J	B	
124. Bernstein Emerging Markets Portfolio	A	Dividend	K	T	Buy (add'l)	12/09/14	J		
125. Bernstein International Portfolio	B	Dividend	L	T	Sold (part)	02/05/14	J	B	
126.					Buy (add'l)	12/09/14	J		
127. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	01/02/14	J		
128.					Buy (add'l)	01/07/14	J		
129.					Buy (add'l)	01/17/14	J		
130.					Buy (add'l)	02/20/14	J		
131.					Buy (add'l)	03/20/14	J		
132.					Buy (add'l)	04/17/14	J		
133.					Buy (add'l)	05/20/14	J		
134.					Buy (add'l)	06/09/14	K		
135.					Buy (add'l)	06/10/14	J		
136.					Buy (add'l)	06/20/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	07/18/14	J		
138.					Buy (add'l)	08/20/14	J		
139.					Buy (add'l)	09/19/14	J		
140.					Buy (add'l)	10/20/14	J		
141.					Buy (add'l)	11/20/14	J		
142.					Buy (add'l)	12/08/14	K		
143.					Buy (add'l)	12/19/14	J		
144. Bernstein Tax Managed International Portfolio	D	Dividend	N	T	Sold (part)	01/02/14	L	A	
145.					Buy (add'l)	02/05/14	J		
146.					Sold (part)	06/09/14	J	A	
147.					Sold (part)	07/08/14	J	A	
148.					Buy (add'l)	12/09/14	J		
149. Bernstein Tax-Aware Overlay A Portfolio Class A - (no control)	B	Dividend	N	T	Buy (add'l)	01/07/14	K		
150.					Buy (add'l)	12/16/14	K		
151.					Buy (add'l)	12/30/14	L		
152. Bernstein Tax-Aware Overlay N Portfolio Class 1 ((no control)	D	Dividend	P1	T					
153. Biogen Idec Inc.	A	Dividend	J	T	Sold (part)	01/03/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	02/06/14	J	A	
155.					Sold (part)	02/25/14	J	A	
156.					Sold (part)	03/06/14	J	A	
157.					Buy (add'l)	06/09/14	J		
158.					Sold (part)	11/17/14	J	A	
159. Blackrock Global Allocations Fd Inc.	A	Dividend	J	T	Sold (part)	01/22/14	J	A	
160. Boeing Co.	A	Dividend	J	T	Sold (part)	05/12/14	J	A	
161.					Sold (part)	06/09/14	J	A	
162.					Sold (part)	07/29/14	J	A	
163.					Sold (part)	08/15/14	J	A	
164. Booz Allen Hamilton Holding	A	Dividend	J	T	Buy	05/13/14	J		
165. Brocade Communications	A	Dividend	J	T	Buy	03/06/14	J		
166.					Buy (add'l)	06/09/14	J		
167.					Buy (add'l)	06/26/14	J		
168. California Resources Corp.	A	Dividend			Sold	12/05/14	J	A	
169. Capital One Financial Corp.	A	Dividend	K	T	Buy (add'l)	06/23/14	J		
170.					Buy (add'l)	12/11/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. CBS Corp.	A	Dividend			Buy (add'l)	03/24/14	J		
172.					Sold (part)	05/01/14	J	A	
173.					Sold (part)	06/23/14	J	B	
174.					Sold (part)	07/17/14	J	A	
175.					Buy (add'l)	09/05/14	J		
176.					Buy (add'l)	09/08/14	J		
177.					Sold	10/03/14	J	A	
178. Celgene Corp.	A	Dividend			Sold (part)	02/03/14	J	A	
179.					Sold (part)	03/24/14	J	A	
180.					Sold (part)	03/27/14	J	A	
181.					Sold	07/02/14	J	A	
182. Chevron Corp.	A	Dividend			Sold	02/20/14	J	A	
183. Chipotle Mexican Grill	A	Dividend			Sold (part)	01/03/14	J	A	
184.					Sold	01/22/14	J	B	
185. Chubb Corp.	A	Dividend	J	T	Sold (part)	04/28/14	J	A	
186.					Sold (part)	06/09/14	J	A	
187.					Buy (add'l)	10/08/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Cintas Corp.	A	Dividend	K	T					
189. Cisco Systems Inc.	A	Dividend			Sold	01/02/14	J	A	
190. Cit Group Inc.	A	Dividend			Sold (part)	01/06/14	J	B	
191.					Sold	04/16/14	J	B	
192. Citibank-Checking	B	Interest	K	T					
193. Citibank Insured Money Mkt Acct.	A	Interest	J	T					
194. Citibank Insured Money Mkt. Acct.	A	Interest	K	T					
195. Citibank Insured Money Mkt Acct.	A	Interest	J	T					
196. Citibank-Insured Money Market	C	Interest	K	T					
197. Citibank-Insured Money Market	C	Interest	K	T					
198. Citigroup Inc.	A	Dividend			Sold (part)	01/31/14	J	A	
199.					Sold (part)	02/06/14	J	A	
200.					Sold (part)	04/15/14	J	A	
201.					Sold (part)	04/24/14	J	A	
202.					Sold (part)	06/09/14	J	A	
203.					Sold	09/30/14	J	C	
204. Clearbridge Aggr Gwth	A	Dividend	M	T	Buy	01/13/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	03/20/14	J	A	
206.					Buy (add'l)	03/20/14	J		
207.					Buy (add'l)	12/10/14	J		
208. Clearbridge Appreciation Fund	E	Dividend	M	T					
209. Cognizant Tech Solutions	A	Dividend			Sold (part)	01/31/14	J	A	
210.					Sold (part)	02/07/14	J	A	
211.					Sold (part)	03/14/14	J	A	
212.					Sold (part)	03/27/14	J	A	
213.					Sold (part)	04/29/14	J	A	
214.					Sold (part)	06/09/14	J	A	
215.					Sold (part)	06/10/14	J	A	
216.					Sold (part)	06/11/14	J	A	
217.					Sold	08/18/14	J	B	
218. Comcast Corp.	A	Dividend	K	T	Buy (add'l)	01/10/14	J		
219.					Buy (add'l)	02/21/14	J		
220.					Buy (add'l)	11/17/14	J		
221. Conagra Foods Inc.	A	Dividend			Buy (add'l)	02/12/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	02/24/14	J	A	
223.					Sold	03/17/14	J	A	
224. Copa Holdings	A	Dividend			Buy	06/16/14	J		
225.					Buy (add'l)	06/18/14	J		
226.					Buy (add'l)	06/24/14	J		
227.					Sold	10/02/14	J	A	
228. Costco Wholesale Corp.	A	Dividend	K	T	Buy (add'l)	01/17/14	J		
229.					Sold (part)	04/22/14	J	A	
230.					Sold (part)	04/23/14	J	A	
231.					Sold (part)	04/24/14	J	A	
232.					Sold (part)	05/30/14	J	A	
233.					Sold (part)	06/09/14	J	A	
234.					Sold (part)	06/13/14	J	A	
235.					Buy (add'l)	10/17/14	J		
236. Crane Co.	A	Dividend	K	T					
237. CVS / Caremark Corp.	A	Dividend	K	T	Buy (add'l)	01/06/14	J		
238.					Buy (add'l)	01/16/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	01/17/14	J		
240.					Buy (add'l)	01/21/14	J		
241.					Buy (add'l)	01/24/14	J		
242.					Buy (add'l)	02/04/14	J		
243.					Buy (add'l)	02/28/14	J		
244. Danaher Corp.	A	Dividend	K	T	Sold (part)	02/04/14	J	A	
245.					Sold (part)	02/07/14	J	A	
246.					Sold (part)	02/11/14	J	A	
247.					Sold (part)	07/29/14	J	A	
248. Davis NY Venture Fund - Mutual Fund	A	Dividend	M	T					
249. Delta Air Lines, Inc.	A	Dividend	J	T	Buy	10/20/14	J		
250.					Buy (add'l)	12/29/14	J		
251. Discover Financial Services	A	Dividend			Sold	01/06/14	J	B	
252. Discovery Communication	A	Dividend			Buy	08/11/14	J		
253.					Buy (add'l)	08/20/14	J		
254.					Sold	10/13/14	J	A	
255. Dollar General Corp.	A	Dividend	J	T	Buy	08/05/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	08/07/14	J		
257.					Buy (add'l)	08/19/14	J		
258.					Buy (add'l)	09/08/14	J		
259.					Buy (add'l)	11/14/14	J		
260. Dover Corp.	A	Dividend			Buy	04/22/14	J		
261.					Buy (add'l)	06/12/14	J		
262.					Buy (add'l)	06/19/14	J		
263.					Buy (add'l)	08/08/14	J		
264.					Buy (add'l)	10/01/14	J		
265.					Buy (add'l)	10/03/14	J		
266.					Buy (add'l)	10/15/14	J		
267.					Buy (add'l)	11/24/14	J		
268.					Buy (add'l)	11/25/14	J		
269.					Sold	12/10/14	K	B	
270. Dow Chemical	A	Dividend	J	T	Buy	02/20/14	J		
271.					Buy (add'l)	04/10/14	J		
272.					Buy (add'l)	05/05/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Dr. Pepper Snapple Group Inc.	A	Dividend	K	T	Buy	07/01/14	J		
274.					Buy (add'l)	07/03/14	J		
275.					Buy (add'l)	07/25/14	J		
276.					Buy (add'l)	08/08/14	J		
277.					Buy (add'l)	08/18/14	J		
278.					Buy (add'l)	10/01/14	J		
279.					Buy (add'l)	10/03/14	J		
280.					Buy (add'l)	10/16/14	J		
281. Dreyfus Liquid Assets	A	Interest			Sold	01/08/14	J	A	
282. DTE Energy Corp.	A	Dividend	J	T	Buy	02/04/14	J		
283.					Buy (add'l)	02/06/14	J		
284.					Buy (add'l)	02/18/14	J		
285.					Buy (add'l)	10/14/14	J		
286. Duke Energy	A	Dividend			Sold	12/22/14	J	A	
287. Eaton Corp.	A	Dividend			Sold	04/10/14	J	C	
288. Ebay Inc.	A	Dividend	J	T	Sold (part)	01/27/14	J	A	
289.					Buy (add'l)	03/05/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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09/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	04/10/14	J		
291.					Buy (add'l)	05/16/14	J		
292.					Buy (add'l)	05/20/14	J		
293.					Sold (part)	06/19/14	J	A	
294.					Sold (part)	07/24/14	J	A	
295.					Buy (add'l)	09/29/14	J		
296.					Buy (add'l)	10/30/14	J		
297.					Buy (add'l)	11/14/14	J		
298.					Sold (part)	12/10/14	J	A	
299. Electronic Arts Inc.	A	Dividend	K	T	Buy (add'l)	04/25/14	J		
300. EMC Corp/Mass	A	Dividend	J	T	Buy	07/28/14	J		
301.					Buy (add'l)	07/31/14	J		
302.					Buy (add'l)	09/05/14	J		
303.					Buy (add'l)	09/08/14	J		
304.					Buy (add'l)	09/09/14	J		
305. Empire State Municipal Exempt Fund	A	Interest	J	T					
306. EOG RES Inc.	A	Dividend	J	T	Sold (part)	02/14/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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09/24/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	06/09/14	J		
308.					Buy (add'l)	06/11/14	J		
309.					Buy (add'l)	06/19/14	J		
310.					Buy (add'l)	06/20/14	J		
311.					Buy (add'l)	07/15/14	J		
312.					Sold (part)	10/23/14	J	B	
313.					Sold (part)	11/24/14	J	A	
314. Estee Lauder Companies	A	Dividend	J	T	Buy	05/28/14	J		
315.					Buy (add'l)	06/09/14	J		
316.					Buy (add'l)	06/20/14	J		
317.					Buy (add'l)	06/24/14	J		
318.					Buy (add'l)	06/25/14	J		
319.					Buy (add'l)	07/15/14	J		
320.					Buy (add'l)	10/14/14	J		
321.					Sold (part)	12/15/14	J	A	
322. Everest RE Group Ltd.	A	Dividend	J	T	Sold (part)	02/07/14	J	A	
323.					Sold (part)	06/09/14	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	09/03/14	J	A	
325. Exelon	A	Dividend	J	T					
326. Express Scripts Holdings Co.	A	Dividend			Sold	12/22/14	J	A	
327. F5 Networks Inc.	A	Dividend	J	T	Buy	02/07/14	J		
328.					Buy (add'l)	03/19/14	J		
329.					Buy (add'l)	04/08/14	J		
330.					Sold (part)	06/26/14	J	A	
331.					Sold (part)	07/14/14	J	A	
332. Facebook Inc.	A	Dividend	J	T	Buy	04/07/14	J		
333.					Buy (add'l)	04/30/14	J		
334.					Buy (add'l)	10/15/14	J		
335.					Buy (add'l)	11/14/14	J		
336. Fedex Corp.	A	Dividend			Buy (add'l)	01/08/14	J		
337.					Buy (add'l)	01/10/14	J		
338.					Sold	06/06/14	J	A	
339. Fidelity National Information	A	Dividend	J	T	Buy (add'l)	01/08/14	J		
340.					Sold (part)	02/18/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Sold (part)	04/23/14	J	A	
342.					Buy (add'l)	10/17/14	J		
343. Fiserv Inc.	A	Dividend	J	T	Sold (part)	01/03/14	J	A	
344.					Buy (add'l)	01/23/14	J		
345.					Buy (add'l)	01/24/14	J		
346.					Sold (part)	04/23/14	J	A	
347.					Buy (add'l)	09/04/14	J		
348.					Buy (add'l)	09/08/14	J		
349.					Buy (add'l)	10/01/14	J		
350.					Buy (add'l)	10/17/14	J		
351. Ford Motor Co.	A	Dividend	K	T	Buy (add'l)	03/19/14	J		
352.					Buy (add'l)	10/17/14	J		
353. Gamestop Corp.	A	Dividend	J	T	Buy (add'l)	01/16/14	J		
354.					Buy (add'l)	02/03/14	J		
355. Gannett Co.	A	Dividend			Buy (add'l)	02/20/14	J		
356.					Sold (part)	05/01/14	J	A	
357.					Sold	10/15/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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09/24/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. General Motors Corp.	A	Dividend			Sold	02/27/14	J	A	
359. Genworth Financial Inc.	A	Dividend			Buy (add'l)	01/10/14	J		
360.					Buy (add'l)	03/24/14	J		
361.					Sold (part)	05/27/14	J	A	
362.					Sold (part)	06/09/14	J	A	
363.					Sold (part)	06/26/14	J	A	
364.					Sold	08/06/14	J	B	
365. Gilead Sciences Inc.	A	Dividend	K	T	Buy (add'l)	03/18/14	J		
366.					Buy (add'l)	04/11/14	J		
367.					Buy (add'l)	06/12/14	J		
368.					Buy (add'l)	06/16/14	J		
369.					Sold (part)	09/26/14	J	A	
370.					Buy (add'l)	10/20/14	J		
371.					Sold (part)	12/26/14	J	A	
372. GP Strategies Corp.		None	J	T					
373. Goldman Sachs Group Inc.	A	Dividend	J	T	Sold (part)	01/10/14	J	B	
374.					Buy (add'l)	04/10/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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09/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	09/08/14	J		
376.					Buy (add'l)	10/03/14	J		
377. Google Inc.	A	Dividend	K	T	Buy (add'l)	06/09/14	J		
378.					Buy (add'l)	06/24/14	J		
379.					Buy (add'l)	10/15/14	J		
380.					Buy (add'l)	11/13/14	J		
381. Halliburton Co.	A	Dividend			Buy (add'l)	06/13/14	J		
382.					Sold	10/17/14	J	A	
383. Harding Loevner	A	Dividend	M	T	Buy (add'l)	01/03/14	J		
384. Hasbro Inc.	A	Dividend			Sold (part)	06/09/14	J	A	
385.					Sold	07/14/14	J	A	
386. Health Net Inc.	A	Dividend	J	T	Buy (add'l)	04/07/14	J		
387.					Buy (add'l)	04/24/14	J		
388.					Sold (part)	06/09/14	J	A	
389.					Sold (part)	06/12/14	J	A	
390. Hess Corp.	A	Dividend	K	T	Buy (add'l)	01/16/14	J		
391.					Buy (add'l)	02/14/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	02/20/14	J		
393.					Buy (add'l)	03/05/14	J		
394.					Sold (part)	11/14/14	J	A	
395.					Sold (part)	12/03/14	J	A	
396. Hershey Co.	A	Dividend			Buy	02/04/14	J		
397.					Buy (add'l)	02/19/14	J		
398.					Sold (part)	07/14/14	J	A	
399.					Sold	09/03/14	J	A	
400. Hewlett Packard Co.	A	Dividend	K	T	Buy (add'l)	01/13/14	J		
401. Illinois Tool Works	A	Dividend			Sold (part)	03/19/14	J	B	
402.					Sold (part)	05/08/14	J	A	
403.					Sold	05/09/14	J	A	
404. Intercontinentalexchange Inc.	A	Dividend	J	T	Sold (part)	03/18/14	J	A	
405.					Sold (part)	03/24/14	J	A	
406.					Sold (part)	04/07/14	J	A	
407.					Sold (part)	05/02/14	J	A	
408.					Sold (part)	05/20/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

09/24/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold (part)	06/09/14	J	A	
410.					Sold (part)	08/19/14	J	A	
411. Interferon Sciences, Inc.		None	J	T					
412. Int'l. Business Machines Corp.	A	Dividend			Sold	02/03/14	J	A	
413. Intuitive Surgical Inc.	A	Dividend	J	T	Buy	03/27/14	J		
414.					Buy (add'l)	04/22/14	J		
415.					Sold (part)	06/18/14	J	A	
416.					Sold (part)	06/20/14	J	A	
417.					Sold (part)	06/27/14	J	A	
418. Investment Company of America - Mutual Fund (American Fund)	A	Dividend	M	T	Buy (add'l)	03/27/14	J		
419.					Sold (part)	03/27/14	J	A	
420. IRA-Citibank-CD	A	Interest	J	T					
421. IRA-Citibank-Money Market	A	Interest	J	T					
422. IRA-Soloman - Treasury Bond	A	Interest	J	T					
423. Ishares Trust Ibox High Yield	A	Dividend	J	T	Buy	12/30/14	J		
424. ITT	A	Dividend	J	T	Buy	07/18/14	J		
425.					Buy (add'l)	09/09/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

09/24/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	10/20/14	J		
427.					Sold (part)	12/03/14	J	A	
428. JM Smucker Co.	A	Dividend			Sold (part)	01/02/14	J	B	
429.					Sold	02/12/14	J	A	
430. Johnson & Johnson	A	Dividend	L	T					
431. JP Morgan Chase & Co.	A	Dividend	J	T	Buy (add'l)	01/10/14	J		
432.					Buy (add'l)	01/17/14	J		
433.					Sold (part)	06/09/14	J	A	
434.					Buy (add'l)	07/17/14	J		
435.					Buy (add'l)	10/03/14	J		
436.					Buy (add'l)	12/11/14	J		
437. Kinder Morgan Inc.	A	Dividend			Sold	02/20/14	J	A	
438. Kraft Food Group Inc.	A	Dividend			Sold	01/08/14	J	A	
439. Kroger Co.	A	Dividend	K	T	Sold (part)	06/09/14	J	A	
440.					Sold (part)	06/18/14	J	B	
441. L-3 Communications Holdings	A	Dividend	J	T	Buy	11/14/14	J		
442.					Buy (add'l)	12/04/14	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. Legg Mason Clearbridge Appreciation Fund	B	Dividend	L	T					
444. Legg Mason Inc.	A	Dividend	L	T					
445. Liberty Media Corp.	A	Dividend			Sold (part)	02/20/14	J	A	
446.					Sold	03/24/14	J	B	
447. Linear Technology Corp.	A	Dividend			Buy	03/24/14	J		
448.					Buy (add'l)	04/17/14	J		
449.					Buy (add'l)	05/01/14	J		
450.					Buy (add'l)	05/07/14	J		
451.					Buy (add'l)	05/09/14	J		
452.					Buy (add'l)	05/20/14	J		
453.					Sold (part)	07/23/14	J	A	
454.					Sold	10/17/14	J	B	
455. Lincoln National Corp.	A	Dividend	J	T	Buy (add'l)	01/06/14	J		
456.					Buy (add'l)	01/16/14	J		
457.					Buy (add'l)	01/31/14	J		
458.					Sold (part)	06/09/14	J	A	
459.					Sold (part)	06/12/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Sold (part)	09/03/14	J	B	
461.					Sold (part)	11/24/14	J	A	
462. LinkedIn Corp.	A	Dividend			Sold	02/12/14	J	B	
463. Lord Abbott Equity Fund	A	Dividend	J	T					
464. LMP Real Estate Income	A	Dividend	J	T					
465. LMP Stk Appr. Fund	A	Dividend	J	T					
466. Lockheed Martin Corp.	A	Dividend	J	T	Buy	01/23/14	J		
467.					Buy (add'l)	03/19/14	J		
468.					Buy (add'l)	05/16/14	J		
469.					Buy (add'l)	05/22/14	J		
470.					Buy (add'l)	08/08/14	J		
471. Lyondellbasell	A	Dividend	J	T	Sold (part)	01/06/14	J	A	
472.					Sold (part)	02/12/14	J	A	
473. Macy's Inc.	A	Dividend			Sold (part)	04/10/14	J	A	
474.					Sold	05/08/14	J	C	
475. Marathon Petroleum Corp,	A	Dividend			Buy (add'l)	01/06/14	J		
476.					Sold (part)	01/22/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Sold (part)	01/27/14	J	A	
478.					Sold	02/06/14	J	A	
479. McDonald's Corp.	A	Dividend			Sold (part)	05/20/14	J	A	
480.					Sold (part)	06/09/14	J	A	
481.					Buy (add'l)	08/15/14	J		
482.					Sold	09/30/14	J	A	
483. Mead Johnson Nutrition Co.	A	Dividend	J	T	Buy (add'l)	01/03/14	J		
484.					Buy (add'l)	01/06/14	J		
485.					Buy (add'l)	01/30/14	J		
486.					Sold (part)	04/24/14	J	A	
487.					Sold (part)	05/13/14	J	A	
488.					Sold (part)	06/12/14	J	A	
489.					Sold (part)	12/10/14	J	A	
490. Medtronic Inc.	A	Dividend	J	T	Sold (part)	02/04/14	J	A	
491.					Sold (part)	05/05/14	J	A	
492.					Sold (part)	09/03/14	J	A	
493.					Sold (part)	10/02/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Sold (part)	10/03/14	J	A	
495. Merck & Co. Inc.	A	Dividend	J	T	Sold (part)	04/25/14	J	A	
496.					Sold (part)	04/29/14	J	A	
497. Merrill Lynch 5 MITSR 168 mps	A	Interest	J	T					
498. Microsoft Corp.	A	Dividend	K	T	Buy (add'l)	04/03/14	J		
499.					Buy (add'l)	04/10/14	J		
500.					Buy (add'l)	09/30/14	J		
501.					Buy (add'l)	11/06/14	J		
502. Mondelez International	A	Dividend			Buy	04/24/14	J		
503.					Buy (add'l)	04/25/14	J		
504.					Sold	08/04/14	J	A	
505. Monsanto Co.	A	Dividend	J	T	Sold (part)	02/24/14	J	A	
506.					Buy (add'l)	04/16/14	J		
507.					Buy (add'l)	04/24/14	J		
508. Monster Beverage Corp.	A	Dividend	K	T	Buy	02/12/14	J		
509.					Buy (add'l)	02/21/14	J		
510.					Buy (add'l)	03/07/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511.					Buy (add'l)	03/12/14	J		
512.					Buy (add'l)	05/09/14	J		
513. Morgan Lewis & Bockius LLP, Firm Capital	E	Interest	O	T					
514. Morgan Stanley NY Municipal	A	Dividend	J	T					
515. Murphy Oil Corp.	A	Dividend			Buy	07/22/14	J		
516.					Buy (add'l)	07/25/14	J		
517.					Sold (part)	10/29/14	J	A	
518.					Sold	12/05/14	J	B	
519. Navient Corp.	A	Dividend			Sold	05/20/14	J	A	
520. Netsuite Inc.	A	Dividend	J	T	Buy	05/07/14	J		
521.					Buy (add'l)	05/08/14	J		
522. New York St Hsg	A	Dividend			Buy	01/13/14	J		
523.					Sold	01/22/14	J	A	
524. Nike Inc.	A	Dividend	K	T	Buy (add'l)	01/03/14	J		
525.					Buy (add'l)	01/08/14	J		
526.					Buy (add'l)	02/11/14	J		
527.					Buy (add'l)	04/16/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528.					Sold (part)	06/09/14	J	A	
529.					Sold (part)	06/13/14	J	A	
530.					Buy (add'l)	09/05/14	J		
531.					Buy (add'l)	10/14/14	J		
532.					Buy (add'l)	10/17/14	J		
533. Noble Energy Inc.	A	Dividend			Sold (part)	01/10/14	J	A	
534.					Sold (part)	02/12/14	J	A	
535.					Sold	02/26/14	J	A	
536. Nustar Energy LP	A	Dividend			Sold	01/22/14	J	A	
537. Nuveen Municipal Advantage	A	Interest	J	T	Sold (part)	01/22/14	J	A	
538. Nuveen New York Quality	A	Interest	J	T					
539. Nuveen NY Amt Free Municipal	B	Interest	L	T					
540. N.Y. State Urban Development Bonds (Y)	A	Interest	J	T					
541. NXP Semiconductors NV	A	Dividend	J	T	Buy	07/30/14	J		
542.					Buy (add'l)	10/15/14	J		
543. Occidental Petroleum Corp.	A	Dividend	K	T	Sold (part)	02/03/14	J	A	
544.					Buy (add'l)	06/30/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545.					Buy (add'l)	07/01/14	J		
546.					Buy (add'l)	10/14/14	J		
547.					Buy (add'l)	10/20/14	J		
548.					Buy (add'l)	10/30/14	J		
549.					Sold (part)	12/03/14	J	A	
550.					Sold (part)	12/16/14	J	B	
551. Office Depot Inc.	A	Dividend	J	T	Buy	02/05/14	J		
552. Oppenheimer Global Opportunity Fund	B	Dividend	M	T					
553. Oracle Corp.	A	Dividend	J	T	Buy	06/27/14	J		
554.					Buy (add'l)	10/13/14	J		
555.					Buy (add'l)	11/14/14	J		
556. Parker Hannifin Corp.	A	Dividend			Sold	08/07/14	J	A	
557. Partnerre Ltd.	A	Dividend	K	T	Buy (add'l)	01/06/14	J		
558.					Buy (add'l)	01/10/14	J		
559.					Buy (add'l)	02/06/14	J		
560.					Buy (add'l)	05/01/14	J		
561.					Buy (add'l)	06/26/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P4 = More than \$50,000,000		
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562.					Buy (add'l)	06/30/14	J		
563.					Buy (add'l)	10/03/14	J		
564. Patterson Cos Inc.	A	Dividend			Sold (part)	01/24/14	J	A	
565.					Sold (part)	02/04/14	J	A	
566.					Sold	02/14/14	J	A	
567. Pepsico Inc.	A	Dividend	J	T	Buy (add'l)	01/08/14	J		
568.					Buy (add'l)	01/21/14	J		
569.					Buy (add'l)	02/14/14	J		
570.					Buy (add'l)	02/18/14	J		
571.					Sold (part)	08/18/14	J	A	
572. Petsmart	A	Dividend			Sold (part)	01/03/14	J	A	
573.					Sold	01/17/14	J	A	
574. Pfizer Inc.	A	Dividend	K	T	Sold (part)	06/09/14	J	A	
575.					Sold (part)	07/22/14	J	A	
576.					Sold (part)	10/01/14	J	A	
577.					Sold (part)	10/27/14	J	A	
578. Pimco High Yield Fund	A	Dividend	N	T	Buy (add'l)	01/03/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.					Buy (add'l)	04/02/14	J		
580.					Sold (part)	12/22/14	J	A	
581.					Buy (add'l)	12/31/14	J		
582. Polaris Industries Inc.	A	Dividend	J	T	Buy (add'l)	01/27/14	J		
583.					Buy (add'l)	11/14/14	J		
584. Precision Castparts Corp.	A	Dividend	J	T	Sold (part)	02/03/14	J	A	
585.				T	Sold (part)	02/07/14	J	A	
586.					Sold (part)	04/07/14	J	A	
587.					Sold (part)	08/15/14	J	A	
588. Priceline Group Inc.	A	Dividend	K	T	Sold (part)	04/16/14	J	B	
589.					Buy (add'l)	12/11/14	J		
590.					Buy (add'l)	12/17/14	J		
591. Proctor & Gamble	A	Dividend	K	T					
592. Pulte Group Inc.	A	Dividend			Sold (part)	03/03/14	J	A	
593.					Sold	03/19/14	J	A	
594. Putnam New Opportunities	A	Dividend	K	T					
595. Quintiles Transnational Holding	A	Dividend	J	T	Buy	03/13/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596.					Buy (add'l)	03/18/14	J		
597.					Buy (add'l)	03/27/14	J		
598. Raytheon Company	A	Dividend	J	T	Buy (add'l)	01/03/14	J		
599.					Buy (add'l)	04/21/14	J		
600.					Buy (add'l)	05/09/14	J		
601.					Buy (add'l)	05/12/14	J		
602.					Buy (add'l)	06/25/14	J		
603.					Buy (add'l)	07/30/14	J		
604.					Buy (add'l)	08/08/14	J		
605. Regal Entertainment	A	Dividend			Buy (add'l)	01/17/14	J		
606.					Buy (add'l)	01/28/14	J		
607.					Sold	04/24/14	J	A	
608. Schlumberger Ltd.	A	Dividend	J	T	Sold (part)	01/10/14	J	A	
609.					Sold (part)	02/11/14	J	A	
610.					Sold (part)	03/24/14	J	A	
611.					Sold (part)	03/27/14	J	A	
612.					Sold (part)	04/24/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613.					Buy (add'l)	05/16/14	J		
614.					Sold (part)	07/31/14	J	A	
615.					Buy (add'l)	10/15/14	J		
616.					Buy (add'l)	12/10/14	J		
617.					Buy (add'l)	12/11/14	J		
618.					Buy (add'l)	12/18/14	J		
619. Schwab Gov't Money Fund	A	Dividend	K	T	Buy	01/03/14	J		
620.					Sold (part)	01/06/14	J	A	
621.					Sold (part)	01/08/14	J	A	
622.					Buy (add'l)	01/10/14	J		
623.					Buy (add'l)	01/14/14	J		
624.					Buy (add'l)	01/15/14	J		
625.					Buy (add'l)	01/16/14	J		
626.					Sold (part)	01/22/14	J	A	
627.					Sold (part)	01/23/14	J	A	
628.					Sold (part)	01/24/14	J	A	
629.					Buy (add'l)	01/28/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Buy (add'l)	01/30/14	J		
631.					Buy (add'l)	02/04/14	J		
632.					Buy (add'l)	02/10/14	J		
633.					Buy (add'l)	02/19/14	J		
634.					Buy (add'l)	03/04/14	J		
635.					Buy (add'l)	03/11/14	J		
636.					Buy (add'l)	03/12/14	J		
637.					Buy (add'l)	03/18/14	J		
638.					Buy (add'l)	04/02/14	J		
639.					Sold (part)	04/03/14	J	A	
640.					Buy (add'l)	05/02/14	J		
641.					Buy (add'l)	05/16/14	J		
642.					Buy (add'l)	06/03/14	J		
643.					Buy (add'l)	06/11/14	J		
644.					Buy (add'l)	06/17/14	J		
645.					Buy (add'l)	07/02/14	J		
646.					Buy (add'l)	08/04/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Buy (add'l)	08/18/14	J		
648.					Buy (add'l)	09/03/14	J		
649.					Buy (add'l)	09/10/14	J		
650.					Buy (add'l)	09/17/14	J		
651.					Buy (add'l)	10/02/14	J		
652.					Buy (add'l)	11/04/14	J		
653.					Buy (add'l)	11/18/14	J		
654.					Buy (add'l)	12/02/14	J		
655.					Buy (add'l)	12/08/14	J		
656.					Buy (add'l)	12/10/14	J		
657.					Buy (add'l)	12/17/14	J		
658.					Buy (add'l)	12/24/14	J		
659.					Buy (add'l)	12/29/14	J		
660. Seligman Communication - Mutual Fund	B	Dividend	J	T					
661. Servicenow Inc.	A	Dividend	J	T	Buy	04/28/14	J		
662.					Buy (add'l)	05/07/14	J		
663. Sherwin-Williams Co.	A	Dividend	K	T	Buy (add'l)	04/24/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664.					Buy (add'l)	05/09/14	J		
665.					Buy (add'l)	07/31/14	J		
666.					Buy (add'l)	11/25/14	J		
667.					Buy (add'l)	12/16/14	J		
668. SLM Corp.	A	Dividend	J	T	Buy	04/16/14	J		
669.					Buy (add'l)	05/23/14	J		
670.					Sold (part)	07/23/14	J	A	
671. Spectra Energy	A	Dividend			Sold	12/22/14	J	A	
672. Starbucks Corp.	A	Dividend	K	T	Sold (part)	04/24/14	J	A	
673.					Sold (part)	05/20/14	J	A	
674.					Buy (add'l)	09/26/14	J		
675.					Buy (add'l)	09/29/14	J		
676.					Buy (add'l)	10/03/14	J		
677.					Buy (add'l)	12/05/14	J		
678. Stericycle Inc.	A	Dividend			Sold	03/05/14	J	A	
679. Templeton Global Bond Fund	A	Dividend	M	T	Buy (add'l)	01/22/14	J		
680.					Buy (add'l)	01/23/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681.					Sold (part)	12/22/14	J	A	
682. Thornburg Ltd.	A	Dividend	N	T					
683. TJX Companies Inc.	A	Dividend			Sold (part)	06/09/14	J	A	
684.					Sold (part)	06/24/14	J	A	
685.					Sold (part)	07/16/14	J	A	
686.					Sold (part)	07/17/14	J	A	
687.					Sold	07/30/14	J	A	
688. Twenty-First Centry Fox	A	Dividend			Buy	03/06/14	J		
689.					Buy (add'l)	03/07/14	J		
690.					Sold (part)	07/23/14	J	A	
691.					Buy (add'l)	08/25/14	J		
692.					Buy (add'l)	10/15/14	J		
693.					Sold	11/05/14	J	A	
694. U.S. Savings Bonds	A	Interest	J	T					
695. U.S. Treasury Bills	A	Interest			Matured	03/10/14	J	A	
696. U.S. Treasury Notes	B	Interest			Matured	03/10/14	J	A	
697. U.S. Treasury Notes	B	Interest			Matured	03/10/14	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698. U.S. Treasury Securities	D	Interest			Matured	03/10/14	J	A	
699. U.S. Treasury Securities	D	Interest			Matured	03/10/14	J	A	
700. US Bancorp.	A	Dividend	K	T	Buy	02/21/14	J		
701.					Buy (add'l)	05/02/14	J		
702.					Buy (add'l)	12/08/14	J		
703.					Buy (add'l)	12/11/14	J		
704. UBS Financial - Blackrock NY Municipal Trust	B	Interest			Sold	01/22/14	J	A	
705. UBS Financial - Blackrock Muni Term Trust	A	Interest			Sold	01/22/14	J	A	
706. UBS Financial - Powershares	A	Dividend			Sold	01/22/14	J	A	
707. Union Pacific Corp.	A	Dividend	K	T	Buy (add'l)	01/08/14	J		
708.					Buy (add'l)	01/17/14	J		
709.					Sold (part)	06/10/14	J	B	
710.					Sold (part)	09/08/14	J	B	
711.					Sold (part)	11/13/14	J	A	
712.					Sold (part)	11/14/14	J	A	
713. Unit EIF Equity S&P 500	A	Dividend	K	T					
714. United Technologies Corp.	A	Dividend			Buy	04/22/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715.					Buy (add'l)	06/20/14	J		
716.					Sold (part)	07/15/14	J	A	
717.					Sold	09/05/14	J	A	
718. Unitedhealth Group Inc.	A	Dividend	J	T	Buy	09/03/14	J		
719.					Buy (add'l)	10/02/14	J		
720. Valero Energy Corp.	A	Dividend	K	T	Sold (part)	01/03/14	J	A	
721.					Sold (part)	02/12/14	J	A	
722.					Sold (part)	04/24/14	J	A	
723.					Sold (part)	06/09/14	J	B	
724.					Sold (part)	06/30/14	J	A	
725.					Sold (part)	07/17/14	J	A	
726.					Buy (add'l)	10/21/14	J		
727.					Buy (add'l)	11/24/14	J		
728.					Buy (add'l)	12/11/14	J		
729.					Buy (add'l)	12/12/14	J		
730.					Buy (add'l)	12/18/14	J		
731. Vanguard Charlotte	A	Dividend	J	T	Buy	12/30/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732. Vanguard Short Term Tax Exempt Admiral Share	A	Int./Div.	N	T					
733. Verisk Analytics Inc.	A	Dividend			Buy (add'l)	03/10/14	J		
734.					Buy (add'l)	03/17/14	J		
735.					Sold	04/11/14	J	A	
736. Verizon Communications Inc.	A	Dividend	J	T	Buy (add'l)	01/14/14	J		
737.					Buy (add'l)	02/21/14	J		
738.					Buy (add'l)	02/25/14	J		
739.					Buy (add'l)	03/24/14	J		
740.					Sold (part)	05/28/14	J	A	
741.					Sold (part)	06/09/14	J	A	
742.					Sold (part)	06/19/14	J	A	
743.					Buy (add'l)	09/08/14	J		
744.					Sold (part)	12/03/14	J		
745. Vertex Pharmaceuticals Inc.	A	Dividend	J	T	Buy (add'l)	05/01/14	J		
746.					Sold (part)	06/27/14	J	A	
747. Viacom Inc.	A	Dividend			Sold (part)	01/06/14	J	A	
748.					Sold (part)	05/01/14	J	A	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749.					Sold (part)	05/14/14	J	B	
750.					Sold (part)	06/09/14	J	A	
751.					Sold	09/26/14	J	C	
752. Visa Inc.	A	Dividend	K	T	Buy (add'l)	03/10/14	J		
753.					Buy (add'l)	05/27/14	J		
754.					Buy (add'l)	06/09/14	J		
755. Wal-Mart Stores, Inc.	A	Dividend			Sold (part)	02/20/14	J	A	
756.					Sold (part)	05/01/14	J	A	
757.					Sold (part)	06/09/14	J	A	
758.					Sold	06/19/14	J	B	
759. Walt Disney Co.	A	Dividend	K	T	Sold (part)	06/09/14	J	A	
760.					Sold (part)	06/11/14	J	A	
761.					Buy (add'l)	10/15/14	J		
762.					Buy (add'l)	10/20/14	J		
763.					Buy (add'l)	11/12/14	J		
764.					Buy (add'l)	12/02/14	J		
765.					Buy (add'l)	12/04/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766. Wells Fargo & Company	A	Dividend			Buy (add'l)	06/19/14	J		
767.					Buy (add'l)	06/20/14	J		
768.					Sold	12/05/14	K	B	
769. Western Asset N.Y Muni Mrkt.	A	Interest			Redeemed	04/21/14	J	A	
770. Westwood Income Oppty	A	Dividend	O	T	Buy (add'l)	01/03/14	J		
771.					Buy (add'l)	01/23/14	J		
772. WW Grainger Inc.	A	Dividend			Sold (part)	03/19/14	J	A	
773.					Sold	04/09/14	J	A	
774. Xerox Corp.	A	Dividend	J	T	Buy (add'l)	02/03/14	J		
775.					Buy (add'l)	02/28/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Page 8 of 51, Line 72; "AMG GW&K Small Cap" - this is the complete name of the holding that previously was shown as GW&K Small Cap

Page 8 of 51, Line 84; "Anthem Inc." - as of 12/3/14 was formerly known as Wellpoint Inc.

Page 13 of 51, Line 168; "California Resources Corp. - spun off of Occidental Petroleum Corp. on 12/1/14, and then this holding was completely sold on 12/5/14.

Page 34 of 51, Line 519; "Navient Corp." - spun off of SLM Corp. on 5/6/14 and then this holding was completely sold on 5/20/14.

Page 38 of 51, Line 588; "Priceline Group Inc." - as of 4/1/14 was formerly known as Priceline.com Inc.

Page 42 of 51, Line 660; "Seligman Communication Mutual Fund" - this is a holding [REDACTED] acquired a very long time ago and we have no way of further describing it. In efforts of full disclosure, this holding has been disclosed as a precaution rather than omitting it from the report even though [REDACTED] is not sure [REDACTED] still owns it.

Page 45 of 51, Line 713; "Unit EIF Equity S&P 500" this holding was formerly known as UTS Equity. In the 2013 Financial Disclosure report, it was reported that we could not find it because it had been renamed.

Page 49 of 514, Line 769; "Western Asset N.Y Muni Mrkt." - was completely redeemed on 4/21/11 and should have been reported on the 2011 Financial Disclosure Report.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cheryl L. Pollak

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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