

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fawsett, Patricia C.	2. Court or Organization U.S. District Court FL-M	3. Date of Report 05/04/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 401 W. Central Blvd Orlando, FL 32801	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Honorary Founding Trustee	The Negro Spiritual Scholarship Foundation
2. Member- Board of Directors & Treasurer	Bok Tower Gardens Foundation, Inc.
3. Director	Historical Society of the United States District Court for the Middle District of Florida, Inc.
4. Member Board of Trustees	Florida Supreme Court Historical Society
5. Member Board of Directors	Central Florida YMCA

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Edward D Jones Financial Companies LLLP , Wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. U.S. Bank National Association	Bank Loan	K
2. Chase Bank	Bank Loan	J
3.		
4.		
5.		

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Name of Person Reporting

Fawsett, Patricia C.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMERICAN FUNDS -Fundamental Investors Fund A		None			Sold	02/13/09	K		
2. AMERICAN FUNDS -Growth Fund of America A		None			Sold	02/13/09	K		
3. LORD ABBETT FUNDS- Affiliated Fund A	A	Dividend			Sold	02/13/09	K	A	
4. LORD ABBETT FUNDS- MidCap Fund A	A	Dividend	K	T	Sold (part)	06/22/09	J	C	
5. AMERICAN FUNDS -American Balanced Fund A	A	Int./Div.	K	T	Buy (add'l)	05/14/09	K		
6. AMERICAN FUNDS - Bond Fund of America A	B	Int./Div.	K	T					
7. AMERICAN FUNDS - Income Fund of America A	B	Int./Div.	K	T	Buy (add'l)	05/14/09	K		
8. Wetherbee Rd Tenants in Common / Orange Cty FL Real Estate		None	J	R	Buy				
9. ANCH NATL LIFE INS ANNTY /Polaris II / Davis Venture	A	Dividend	K	T					
10. ANCH NATL LIFE INS ANNTY /PolarisII/Amr Global Grth	A	Dividend	K	T					
11. ANCH NATL LIFE INS ANNTY/Polaris II/ Amr Intl Div Equity	A	Dividend	K	T					
12. ANCH NATL LIFE INS ANNTY /Polaris II/ MFS MidCapGrth	A	Dividend	K	T					
13. ANCH NATL LIFE INS ANNTY /Polaris II / MFS TI Return	A	Dividend	K	T					
14. Edward Jones Money Market	A	Dividend	K	T					
15. American Funds-Capital Income Builder A	B	Int./Div.			Sold	03/06/09	K	C	
16. American Funds- Capital World Bond Fund A	A	Int./Div.	J	T	Buy	05/14/09	K		
17. American Funds- Capital World Growth and Income Fund A	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H11 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Column C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 J-P)	(4) Gain Code I (A-I)	(5) Identity of buyer/seller (if private transaction)
18. American Funds-EuroPacificFund A	B	Dividend			Sold	03/06/09	K	C	
19. Edward Jones Profit Sharing Plan	C	Dividend	L	T					
20. Edward Jones 401k	C	Int./Div.	L	T					
21. American New World Fund A	A	Dividend	J	T					
22. American Small Cap World Fund A	A	Dividend	J	T					
23. FranklinTempleton Natural Resource Fund A	A	Dividend	J	T					
24. Franklin Templeton Utility Fund A	A	Dividend	J	T					
25. Frankilin Templeton Real Estate Fund A		None			Sold	01/16/09	J		
26. Templeton China Fund A	A	Dividend	J	T					
27. Franklin Templeton Gold & Precious Metal Fund A		None			Sold	01/16/09	J		
28. Franklin Templeton Natural Resource Fund A		None			Sold	01/16/09	J		
29. Templeton China Fund A	A	Dividend			Sold	01/16/09	J		
30. Franklin Income Fund A	A	Int./Div.	J	T	Sold (part)	11/17/09	J		
31. Franklin Templeton B.R.I.C Fund A	A	Dividend	J	T	Sold (part)	10/19/09	J		
32. Franklin Templeton Utility Fund A	A	Int./Div.	J	T	Buy (add'l)	06/01/09	J		
33.					Sold (part)	10/19/09	J		
34.					Sold (part)	11/17/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Franklin Templeton B.R.I.C Fund A	A	Dividend	J	T					
36. Van Kampen American Value Fund A	A	Int./Div.			Sold	02/13/09	K		
37. Limited Partnership in The Jones Financial Cos., LLLP	D	Distribution	L	U					
38. Abbott Labs Common Stock ABT	A	Dividend	J	T	Sold (part)	10/19/09	J		
39. Alabama Power Note	A	Interest			Sold	01/12/09	J		
40. Alliant Energy Common Stock LNT		None			Sold	01/12/09	J		
41. Ameron Common Stock AEE		None			Sold	01/12/09	J		
42. Amerigas Partners LP APU		None			Sold	01/12/09	J		
43. Apple Common Stock AAPL		None	J	T					
44. ATT Common Stock T	A	Dividend	J	T	Sold (part)	10/19/09	J		
45. Bank of America Notes		None			Sold	01/12/09	J		
46. Franklin Rising Dividend Fund	A	Dividend	J	T	Sold (part)	11/17/09	J	A	
47. Franklin MM Fund		None			Sold	06/01/09	J	A	
48. McDonalds Common Stock MCD	A	Dividend	J	T	Sold (part)	10/19/09	J		
49. Suncor Common Stock SU	A	Dividend	J	T	Sold (part)	11/11/09	J	C	
50. Van Kampen Muni Unit Trust I	A	Interest	J	T	Sold (part)	11/11/09	J		
51.					Sold (part)	11/18/09	J		

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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52. Van Kampen S&P Unit Trust	A	Int./Div.	J	T	Sold (part)	09/14/09	J	B	
53. WalMart Common Stock WMT	A	Dividend	J	T	Sold (part)	10/19/09	J		
54. Wells Fargo Common Stock WFC	A	Dividend	J	T	Sold (part)	11/17/09	J	A	
55. 3M Common Stock MMM	A	Dividend	J	T	Sold (part)	10/19/09	J	A	
56. Canadian Pac. R.R. Debenture	A	Interest			Sold	05/15/09	J		
57. Citigroup Global Medium Term Notes		None			Sold	01/12/09	J		
58. Duke Energy Note	A	Interest			Sold	01/12/09	J	A	
59. Federal Home Loan Mortgage Notes		None			Redeemed	01/05/09	J		
60. GE Capital Note	A	Interest			Sold	01/12/09	J		
61. Georgia Power Note		None			Sold	01/12/09	J		
62. Master Assets Mortgage Pass Through	A	Dividend			Sold	01/12/09	J		
63. Merrill Lynch Notes	A	Interest			Sold	01/12/09	J	A	
64. Missouri Pacific RR Debenture	A	Interest			Sold	06/02/09	J	A	
65. National Retail Properties Common Stock NNN		None			Sold	01/12/09	J		
66. Select Notes Trust	A	Interest			Sold	01/12/09	J		
67. Southern Companies Common Stock SO	A	Dividend	J	T					
68. Van Kampen Unit Trust	A	Dividend	J	T	Buy	01/14/09	K		

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69.					Sold (part)	11/11/09	J	B	
70.					Sold (part)	11/18/09	J	A	
71. Alliance Bernstein Balanced Wealth Strategy Fund A	A	Dividend	K	T	Buy	05/14/09	K		
72. Alliance Bernstein High Income Fund A	A	Dividend	K	T	Buy	05/14/09	K		
73. American US Govt Fund A	A	Dividend	L	T	Buy	03/06/09	L		
74. Cash management Trust of America A	A	Dividend			Buy	02/13/09	L		
75.					Sold	05/14/09	L	A	
76. FPL Common Stock	A	Dividend	J	T	Buy	06/22/09	J		
77. St Petersburg FL Hospital Bond	A	Interest			Buy	04/08/09	J		
78.					Sold	11/17/09	J	A	
79. Alliance Bernstein Tax Managed Balanced Wealth Fund	A	Dividend	J	T	Buy	01/14/09	K		
80.					Sold (part)	09/14/09	J	B	
81.					Sold (part)	10/19/09	J	B	
82.					Sold (part)	11/17/09	J	A	
83. American US Govt Securities Fund A	A	Dividend	J	T	Buy	03/06/09	K		
84.					Sold (part)	09/14/09	J	A	
85.					Sold (part)	11/11/09	K	A	

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86.					Sold (part)	11/17/09	J	A	
87. Growth Fund of America A	A	Dividend			Buy	01/14/09	K		
88.					Sold	03/06/09	K		
89. Investment Company of America	A	Dividend			Buy	01/14/09	K		
90.					Sold	03/06/09	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Section VII - Line 8- Purchased 1/21/1986 for a purchase price of \$5000.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544