

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shanstrom, Jack D.	2. Court or Organization U.S. Courts	3. Date of Report 05/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Status	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address P.O. Box 985 Billings, MT 59103-0985	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Self	Public Employees Retirement System	\$53,840.28
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/1/09	Teacher's Retirement
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. US Bancorp Del shares-shelf	A	Dividend	J	T					
2. Microsoft Corp shares - self	A	Dividend	J	T					
3. Municipal bond - MT ST V Health Fac Auth	C	Dividend	K	T					
4. Municipal Bond - MT Fac Fin Authority	B	Dividend	K	T					
5. Microsoft shares - self - IRA	A	Dividend	J	T					
6. Costco shares - self - IRA	A	Dividend	J	T					
7. AT&T stock - self - IRA	A	Dividend	J	T					
8. PSEC - self - IRA	A	Dividend	J	T	Buy	12/02	J		
9. Target - [REDACTED]	A	Dividend	J	T					
10. NWL shares - [REDACTED]	A	Dividend	J	T					
11. SIRI stock - [REDACTED]	A	Dividend	J	T					
12. Touch America stock - [REDACTED]	A	Dividend	J	T					
13. Wal Mart stock - [REDACTED]	A	Dividend	J	T					
14. 3M stock - [REDACTED]	A	Dividend	J	T					
15. Gannett stock - [REDACTED]	A	Dividend	J	T					
16. Home Depot stock - [REDACTED]	A	Dividend	J	T					
17. Lowes stock - [REDACTED]	A	Dividend	J	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. Avon stock - [redacted]	A	Dividend	J	T					
19. Kimberly Clark stock - [redacted]	A	Dividend	J	T					
20. Sara Lee stock - [redacted]	A	Dividend	J	T					
21. Amgen stock - [redacted]	A	Dividend	J	T					
22. AstraZeneca stock - [redacted]	A	Dividend	J	T					
23. GlaxoSmithKline stock - [redacted]	A	Dividend	J	T					
24. Johnson & Johnson stock - [redacted]	A	Dividend	J	T					
25. Eli Lilly stock - [redacted]	A	Dividend	J	T					
26. Pfizer stock - [redacted]	A	Dividend	J	T					
27. Wyeth stock - [redacted]	A	Dividend	J	T					
28. Municipal bond - MT St V Health Fac Auth	C	Dividend	K	T					
29. Bank of America shares - [redacted]	A	Dividend	J	T					
30. Citigroup shares - [redacted]	A	Dividend	J	T					
31. MBIA shares - [redacted]	A	Dividend	J	T					
32. US Bancorp shares - [redacted]	A	Dividend	J	T					
33. Wachovia - [redacted]	A	Dividend	J	T					
34. Wells Fargo - [redacted]	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Municipal bond - Fed Home Ln BK - [REDACTED]	B	Dividend	J	T					
36. Municipal bond - Fed Home Ln BK - [REDACTED]	B	Dividend	L	T					
37. Municipal bond - Fed Home Ln BK - [REDACTED]	B	Dividend	L	T					
38. Ambase stock - [REDACTED] IRA	A	Dividend	J	T					
39. Boeing stock - [REDACTED] - IRA	A	Dividend	J	T					
40. Costco stock - [REDACTED] - IRA	A	Dividend	J	T					
41. Motorola stock - [REDACTED] - IRA	A	Dividend	J	T					
42. GE stock - [REDACTED] - IRA	A	Dividend	J	T					
43. Hewlett Packard stock - [REDACTED] - IRA	A	Dividend	J	T					
44. Five Star Quality stock - [REDACTED] - IRA	A	Dividend	J	T					
45. STSA stock - [REDACTED] - IRA	A	Dividend	J	T					
46. HRP stock - [REDACTED] - IRA	B	Dividend	J	T					
47. Visteon Corp stock - [REDACTED] - IRA	A	Dividend	J	T					
48. intel stock - [REDACTED] - IRA	A	Dividend	J	T	Buy	04/15	J		
49. PSEC stock - [REDACTED] - IRA	A	Dividend	J	T	Buy	12/21	J		
50.									
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

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52.									
53.									
54.									
55.									
56.									
57.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

None

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I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

Signature_____

L

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544