

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Covello, Alfred V.	2. Court or Organization U.S. District Court, CT	3. Date of Report 05/09/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 450 Main Street Hartford, CT 06103	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	William & Alice Mortensen Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/10	State of Connecticut Pension	\$111,597.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income – *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Covello, Alfred V.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RMA Tax Free Money Market	A	Dividend	K	T					
2. Bk of New England CH. 7 Bankruptcy (8/23/2004)		None	J	U					
3. HP	A	Dividend	J	T					
4. A	A	Dividend	J	T					
5. SWK	A	Dividend	J	T					
6. MCD	A	Dividend	J	T					
7. PEP	A	Dividend	J	T					
8. SCI		None	J	T					
9. UBS IRA MMF	B	Dividend	J	T					
10. UBS Bank Deposit IRA	A	Int./Div.	J	T					
11. Real Estate, Biddeford, ME (1/2 Interest)	C	Rent	M	W					
12. Real Estate, Naple FL (1/2 Interest)	D	Rent	O	W					
13. Real Estate, Farmington CT	C	Rent	P1	W					
14. Schwab Brokerage #1									
15. T	A	Dividend	J	T					
16. AAPL		None	K	T	Sold (part)	04/29/10	J	D	
17. Schwab Money Market (MMF)	D	Dividend	M	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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18. CATO	A	Dividend	K	T	Sold (part)	04/29/10	J	A	
19. DTE	A	Dividend	K	T	Sold (part)	04/29/10	J	C	
20. ETN	A	Dividend	K	T	Buy	09/28/10	K		
21. GOOG		None	J	T					
22. GS	A	Dividend	K	T					
23. HPQ	A	Dividend	K	T	Sold (part)	09/28/10	J	B	
24. IBM	A	Dividend	K	T	Sold (part)	04/29/10	J	B	
25. KFT	A	Dividend	J	T					
26. MRK	A	Dividend	K	T					
27. MS	A	Dividend			Sold	09/28/10	J	A	
28. NE	A	Dividend			Sold	09/28/10	K	A	
29. NVT	A	Dividend	J	T					
30. PG	A	Dividend	J	T					
31. PM	A	Dividend	J	T					
32. PTV	A	Dividend	J	T					
33. RTN	A	Dividend			Sold	04/29/10	K	A	
34. SCHW	A	Dividend	J	T					

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

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35. TNC	A	Dividend	K	T					
36. VZ	A	Dividend	J	T					
37. YUM	A	Dividend	J	T					
38. MA 5.625% ST Port Rev	A	Interest			Matured	07/01/10	J	A	
39. MA 5.5% Pt Auth Rev	B	Interest	K	T					
40. XLP	A	Dividend			Sold	09/28/10	J	A	
41. VFH	A	Dividend	K	T					
42. YUM	A	Dividend	K	T					
43. TRV	A	Dividend	K	T					
44. NKE	A	Dividend	J	T					
45. CT State Gen Rev 4%	B	Interest	L	T					
46. Stamford CT GO 4%	B	Interest	L	T					
47. VTI	A	Dividend	L	T					
48. VHT	A	Dividend	K	T	Sold (part)	09/28/10	K	C	
49. CVY	A	Dividend	L	T	Buy	09/28/10	L		
50. XLE	A	Dividend	K	T					
51. EFG	A	Dividend	K	T					

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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3. Value Method Codes
(See Column C2)

Q = Appraisal
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B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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52. IJK	A	Dividend	K	T	Sold (part)	09/28/10	K	D	
53. IJJ	A	Dividend	K	T					
54. IJT	A	Dividend	K	T	Sold (part)	09/28/10	K	C	
55. IJS	A	Dividend	J	T					
56. IWB	A	Dividend	K	T					
57. HYG	C	Int./Div.	L	T	Sold (part)	09/28/10	J	B	
58. EMB	C	Int./Div.	L	T	Sold (part)	09/28/10	J	B	
59. FXI	A	Dividend	K	T					
60. DBC	A	Dividend	K	T					
61. PID	B	Dividend	K	T					
62. SCHV	B	Dividend	K	T					
63. XLY	A	Dividend	K	T					
64. XLB	A	Dividend	K	T					
65. XLK	A	Dividend	K	T					
66. SDY	B	Dividend	K	T					
67. VWO	A	Dividend	K	T	Sold (part)	04/29/10	J	B	
68. VNQ	A	Dividend	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

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P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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	Code 1 (A-H)		Code 2 (J-P)	Code 3 (Q-W)			Code 2 (J-P)	Code 1 (A-H)	
69. CT GO 3.5%	A	Interest	K	T					
70. Pinal County AZ 5%	A	Interest	M	T					
71. NY City 5.25% GO	D	Interest	L	T					
72. Louisiana ST Correc 5%	B	Interest	K	T					
73. Midland TX 5% GO	B	Interest	K	T					
74. Vernon CT 4% GO	C	Interest	M	T					
75. Citizens PPTY Fl Rev 5%	C	Interest	M	T					
76. Reagan Cty TX 4% GO	C	Interest	M	T					
77. Utah Wtr Auth Rev 5%	C	Interest	M	T					
78. Magnolia TX 5% GO	C	Interest	M	T					
79. NY State Dorm 5%	C	Interest	M	T					
80. Northside TX 3.5%GO	A	Interest	M	T					
81. Mass. State Health 5%	A	Interest	M	T					
82. CA State Econ 5%	B	Interest	L	T					
83. San Fran CA Rev 4%	B	Interest	K	T					
84. Tarrant County TX 5.75%	D	Interest	M	T					
85. UCONN GO 5%	B	Interest	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

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86. Glastonbury 4.5%	C	Interest	L	T					
87. Schwab Brokerage #2									
88. Schwab MMF	A	Dividend	K	T					
89. ACN	A	Dividend	J	T					
90. AKZOY	A	Dividend	J	T	Buy	03/08/10	J		
91. AHKSY	A	Dividend	J	T	Buy	11/24/10	J		
92. BUD	A	Dividend	J	T	Sold (part)	06/23/10	J	A	
93. ALU	A	Dividend	J	T					
94. MT	A	Dividend	J	T					
95. BSBR	A	Dividend	J	T					
96. ABX		None			Sold	03/11/10	J	A	
97. BACHY	A	Dividend	J	T	Buy	02/01/10	J		
98. BRGY	A	Dividend	J	T	Buy	01/26/10	J		
99. BJINY	A	Dividend	J	T	Buy	01/27/10	J		
100. BNPQY	A	Dividend	J	T	Buy	04/29/10	J		
101. BCS	A	Dividend	J	T	Sold (part)	03/10/10	J	A	
102. BAESY		None			Sold	03/10/10	J	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

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3. Value Method Codes

(See Column C2)

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103. BHP		None			Sold	11/10/10	J	B	
104. BZLFY	A	Dividend	J	T					
105. CCJ	A	Dividend			Sold	05/03/10	J	A	
106. CAJ	A	Dividend	J	T					
107. CYOU	A	Dividend			Sold	12/07/10	J	A	
108. CVE	A	Dividend	J	T	Buy	04/27/10	J		
109. CNIT		None	J	T	Buy	04/06/10	J		
110. CJREF	A	Dividend	J	T	Buy	01/07/10	J		
111. CHL		None	J	T					
112. CEO		None	J	T					
113. CS	A	Dividend	J	T					
114. DBOEY	A	Dividend	J	T					
115. DEO	A	Dividend	J	T					
116. EGO		None	J	T	Buy	03/15/10	J		
117. EXPGY	A	Dividend	J	T					
118. FMS	A	Dividend	J	T					
119. FJTSY	A	Dividend	J	T	Buy	03/16/10	J		

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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(See Column C2)

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05/09/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. GVDNY	A	Dividend	J	T					
121. HBC	A	Dividend			Sold	02/09/10	J	A	
122. JUPIY		None	J	T					
123. KDDIY		None	J	T					
124. KCRPY		None	J	T					
125. AHONY	A	Dividend	J	T					
126. LNEG Y	A	Dividend	J	T	Buy	01/13/10	J		
127. LVMUY	A	Dividend	J	T	Buy	05/10/10	J		
128. MTNOY		None	J	T					
129. MKTAY		None	J	T	Buy	05/06/10	J		
130. NSRGY	A	Dividend	J	T					
131. NTDOY		None			Sold	03/15/10	J	A	
132. NBHGY		None			Sold	08/05/10	J	A	
133. NVS	A	Dividend	J	T					
134. NVO		None	J	T					
135. PBR	A	Dividend			Sold	11/10/10	J	A	
136. PUBGY	A	Dividend			Sold	07/22/10	J	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Covello, Alfred V.

Date of Report

05/09/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. RUK	A	Dividend	J	T					
138. RHBY	A	Dividend	J	T					
139. RDSA	A	Dividend	J	T	Buy	11/10/10	J		
140. SAP		None	J	T					
141. SGPPY	A	Dividend	J	T					
142. SVNDY	A	Dividend	J	T					
143. SBGSY	A	Dividend	J	T					
144. SGSOY	A	Dividend	J	T					
145. SI	A	Dividend	J	T					
146. SNN	A	Dividend	J	T					
147. SNE	A	Dividend	J	T	Buy	10/29/10	J		
148. SQM	A	Dividend	J	T					
149. SCGLY	A	Dividend			Sold	03/17/10	J	A	
150. SDXAY	A	Dividend	J	T					
151. SLW		None	J	T	Buy	01/12/10	J		
152. SLT	A	Dividend			Sold	12/10/10	J	B	
153. STD	A	Dividend			Sold	04/27/10	J	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Covello, Alfred V.

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05/09/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. SUHJY	A	Dividend	J	T					
155. SWBDY	A	Dividend	J	T					
156. TCK		None	J	T	Buy	12/02/10	J		
157. TLM	A	Dividend			Sold	04/26/10	J	A	
158. TEVA		None	J	T	Buy	06/21/10	J		
159. TSCDY	A	Dividend	J	T					
160. TNTTY	A	Dividend	J	T					
161. TKGBY	A	Dividend	J	T	Buy	08/02/10	J		
162. TM	A	Dividend			Sold	01/28/10	J	A	
163. UGP		None	J	T	Sold (part)	12/10/10	J	B	
164. UN		None	J	T					
165. OUYEY	A	Dividend			Sold	03/05/10	J	A	
166. VLEEY	A	Dividend	J	T	Buy	10/22/10	J		
167. VOD	A	Dividend	J	T					
168. WSH		None	J	T					
169.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Covello, Alfred V.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Covello, Alfred V.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alfred V. Covello

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Covello, Alfred V.	2. Court or Organization U.S. District Court, CT	3. Date of Report 05/09/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 450 Main Street Hartford, CT 06103	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	William & Alice Mortensen Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Covello, Alfred V.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/10	State of Connecticut Pension	\$111,597.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Covello, Alfred V.

Date of Report

05/09/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Covello, Alfred V.

Date of Report

05/09/2011

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RMA Tax Free Money Market	A	Dividend	K	T					
2. Bk of New England CH. 7 Bankruptcy (8/23/2004)		None	J	U					
3. HP	A	Dividend	J	T					
4. A	A	Dividend	J	T					
5. SWK	A	Dividend	J	T					
6. MCD	A	Dividend	J	T					
7. PEP	A	Dividend	J	T					
8. SCI		None	J	T					
9. UBS IRA MMF	B	Dividend	J	T					
10. UBS Bank Deposit IRA	A	Int./Div.	J	T					
11. Real Estate, Biddeford, ME (1/2 Interest)	C	Rent	M	W					
12. Real Estate, Naple FL (1/2 Interest)	D	Rent	O	W					
13. Real Estate, Farmington CT	C	Rent	P1	W					
14. Schwab Brokerage #1									
15. T	A	Dividend	J	T					
16. AAPL		None	K	T	Sold (part)	04/29/10	J	D	
17. Schwab Money Market (MMF)	D	Dividend	M	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P1 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Covello, Alfred V.

Date of Report

05/09/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-69 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
18. CATO	A	Dividend	K	T	Sold (part)	04/29/10	J	A	
19. DTE	A	Dividend	K	T	Sold (part)	04/29/10	J	C	
20. ETN	A	Dividend	K	T	Buy	09/28/10	K		
21. GOOG		None	J	T					
22. GS	A	Dividend	K	T					
23. HPQ	A	Dividend	K	T	Sold (part)	09/28/10	J	B	
24. IBM	A	Dividend	K	T	Sold (part)	04/29/10	J	B	
25. KFT	A	Dividend	J	T					
26. MRK	A	Dividend	K	T					
27. MS	A	Dividend			Sold	09/28/10	J	A	
28. NE	A	Dividend			Sold	09/28/10	K	A	
29. NVT	A	Dividend	J	T					
30. PG	A	Dividend	J	T					
31. PM	A	Dividend	J	T					
32. PTV	A	Dividend	J	T					
33. RTN	A	Dividend			Sold	04/29/10	K	A	
34. SCHW	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Covello, Alfred V.

Date of Report

05/09/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. TNC	A	Dividend	K	T					
36. VZ	A	Dividend	J	T					
37. YUM	A	Dividend	J	T					
38. MA 5.625% ST Port Rev	A	Interest			Matured	07/01/10	J	A	
39. MA 5.5% Pt Auth Rev	B	Interest	K	T					
40. XLP	A	Dividend			Sold	09/28/10	J	A	
41. VFH	A	Dividend	K	T					
42. YUM	A	Dividend	K	T					
43. TRV	A	Dividend	K	T					
44. NKE	A	Dividend	J	T					
45. CT State Gen Rev 4%	B	Interest	L	T					
46. Stamford CT GO 4%	B	Interest	L	T					
47. VTI	A	Dividend	L	T					
48. VHT	A	Dividend	K	T	Sold (part)	09/28/10	K	C	
49. CVY	A	Dividend	L	T	Buy	09/28/10	L		
50. XLE	A	Dividend	K	T					
51. EFG	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)
3. Value Method Codes
(See Column C2)
- A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value
- B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other
- C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated
- D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market
- E = \$15,001 - \$50,000

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Name of Person Reporting	Date of Report
Covello, Alfred V.	05/09/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. IJK	A	Dividend	K	T	Sold (part)	09/28/10	K	D	
53. IJJ	A	Dividend	K	T					
54. IJT	A	Dividend	K	T	Sold (part)	09/28/10	K	C	
55. IIS	A	Dividend	J	T					
56. IWB	A	Dividend	K	T					
57. HYG	C	Int./Div.	L	T	Sold (part)	09/28/10	J	B	
58. EMB	C	Int./Div.	L	T	Sold (part)	09/28/10	J	B	
59. FXI	A	Dividend	K	T					
60. DBC	A	Dividend	K	T					
61. PID	B	Dividend	K	T					
62. SCHV	B	Dividend	K	T					
63. XLY	A	Dividend	K	T					
64. XLB	A	Dividend	K	T					
65. XLK	A	Dividend	K	T					
66. SDY	B	Dividend	K	T					
67. VWO	A	Dividend	K	T	Sold (part)	04/29/10	J	B	
68. VNQ	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Covello, Alfred V.

Date of Report

05/09/2011

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. CT GO 3.5%	A	Interest	K	T					
70. Pinal County AZ 5%	A	Interest	M	T					
71. NY City 5.25% GO	D	Interest	L	T					
72. Louisiana ST Correc 5%	B	Interest	K	T					
73. Midland TX 5% GO	B	Interest	K	T					
74. Vernon CT 4% GO	C	Interest	M	T					
75. Citizens PPty FL Rev 5%	C	Interest	M	T					
76. Reagan Cty TX 4% GO	C	Interest	M	T					
77. Utah Wtr Auth Rev 5%	C	Interest	M	T					
78. Magnolia TX 5% GO	C	Interest	M	T					
79. NY State Dorm 5%	C	Interest	M	T					
80. Northside TX 3.5%GO	A	Interest	M	T					
81. Mass. State Health 5%	A	Interest	M	T					
82. CA State Econ 5%	B	Interest	L	T					
83. San Fran CA Rev 4%	B	Interest	K	T					
84. Tarrant County TX 5.75%	D	Interest	M	T					
85. UCONN GO 5%	B	Interest	K	T					

1. Income Gain Codes:
(See Columns B) and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Covello, Alfred V.

Date of Report

05/09/2011

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Glastonbury 4.5%	C	Interest	L	T					
87. Schwab Brokerage #2									
88. Schwab MMF	A	Dividend	K	T					
89. ACN	A	Dividend	J	T					
90. AKZOY	A	Dividend	J	T	Buy	03/08/10	J		
91. AHKSY	A	Dividend	J	T	Buy	11/24/10	J		
92. BUD	A	Dividend	J	T	Sold (part)	06/23/10	J	A	
93. ALU	A	Dividend	J	T					
94. MT	A	Dividend	J	T					
95. BSBR	A	Dividend	J	T					
96. ABX		None			Sold	03/11/10	J	A	
97. BACHY	A	Dividend	J	T	Buy	02/01/10	J		
98. BRGY	A	Dividend	J	T	Buy	01/26/10	J		
99. BJINY	A	Dividend	J	T	Buy	01/27/10	J		
100. BNPQY	A	Dividend	J	T	Buy	04/29/10	J		
101. BCS	A	Dividend	J	T	Sold (part)	03/10/10	J	A	
102. BAESY		None			Sold	03/10/10	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$300,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Real Estate Value

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Covello, Alfred V.	05/09/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. BHP		None			Sold	11/10/10	J	B	
104. BZLFY	A	Dividend	J	T					
105. CCJ	A	Dividend			Sold	05/03/10	J	A	
106. CAJ	A	Dividend	J	T					
107. CYOU	A	Dividend			Sold	12/07/10	J	A	
108. CVE	A	Dividend	J	T	Buy	04/27/10	J		
109. CNIT		None	J	T	Buy	04/06/10	J		
110. CJREF	A	Dividend	J	T	Buy	01/07/10	J		
111. CHL		None	J	T					
112. CEO		None	J	T					
113. CS	A	Dividend	J	T					
114. DBOEY	A	Dividend	J	T					
115. DEO	A	Dividend	J	T					
116. EGO		None	J	T	Buy	03/15/10	J		
117. EXPGY	A	Dividend	J	T					
118. FMS	A	Dividend	J	T					
119. FJTSY	A	Dividend	J	T	Buy	03/16/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$30,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Covello, Alfred V.

Date of Report

05/09/2011

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. GVDNY	A	Dividend	J	T					
121. HBC	A	Dividend			Sold	02/09/10	J	A	
122. JUPIY		None	J	T					
123. KDDIY		None	J	T					
124. KCRPY		None	J	T					
125. AHONY	A	Dividend	J	T					
126. LNEGY	A	Dividend	J	T	Buy	01/13/10	J		
127. LVMUY	A	Dividend	J	T	Buy	05/10/10	J		
128. MTNOY		None	J	T					
129. MKTAY		None	J	T	Buy	05/06/10	J		
130. NSRGY	A	Dividend	J	T					
131. NTDOY		None			Sold	03/15/10	J	A	
132. NBHGY		None			Sold	08/05/10	J	A	
133. NVS	A	Dividend	J	T					
134. NVO		None	J	T					
135. PBR	A	Dividend			Sold	11/10/10	J	A	
136. PUBGY	A	Dividend			Sold	07/22/10	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P1 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Covello, Alfred V.

Date of Report

05/09/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. RUK	A	Dividend	J	T					
138. RHHBY	A	Dividend	J	T					
139. RDSA	A	Dividend	J	T	Buy	11/10/10	J		
140. SAP		None	J	T					
141. SGPPY	A	Dividend	J	T					
142. SVNDY	A	Dividend	J	T					
143. SBGSY	A	Dividend	J	T					
144. SGSOY	A	Dividend	J	T					
145. SI	A	Dividend	J	T					
146. SNN	A	Dividend	J	T					
147. SNE	A	Dividend	J	T	Buy	10/29/10	J		
148. SQM	A	Dividend	J	T					
149. SCGLY	A	Dividend			Sold	03/17/10	J	A	
150. SDXAY	A	Dividend	J	T					
151. SLW		None	J	T	Buy	01/12/10	J		
152. SLT	A	Dividend			Sold	12/10/10	J	B	
153. STD	A	Dividend			Sold	04/27/10	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P1 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/09/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. STD	A	Dividend			Buy	01/25/10	J		
155. SUHJY	A	Dividend	J	T					
156. SWBDY	A	Dividend	J	T					
157. TCK		None	J	T	Buy	12/02/10	J		
158. TLM	A	Dividend			Sold	04/26/10	J	A	
159. TEVA		None	J	T	Buy	06/21/10	J		
160. TSCDY	A	Dividend	J	T					
161. TTTY	A	Dividend	J	T					
162. TKGBY	A	Dividend	J	T	Buy	08/02/10	J		
163. TM	A	Dividend			Sold	01/28/10	J	A	
164. UGP		None	J	T	Sold (part)	12/10/10	J	B	
165. UN		None	J	T					
166. OUVEY	A	Dividend			Sold	03/05/10	J	A	
167. VLECY	A	Dividend	J	T	Buy	10/22/10	J		
168. VOD	A	Dividend	J	T					
169. WSH		None	J	T					
170. CT st. 5% GO	C	Dividend			Matured	07/15/10	L	A	

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Covello, Alfred V.

Date of Report

05/09/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Covello, Alfred V.

Date of Report

05/09/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544