

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2004**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Lucero, Carlos F	2. Court or Organization US Court of Appeals - 10th Cir	3. Date of Report 5/5/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Active	5. Report Type (check appropriate type) ☒ Nomination Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address 1823 Stout Street Denver, CO 80257	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part
where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President & Director

[REDACTED] Provisional Corporation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Lucero, Carlos F

Date of Report

5/5/2005

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(Yours, not spouse's)

1. _____

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. _____

IV. REIMBURSEMENTS - (Transportation, lodging, food, entertainment)

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. _____

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1. Estate of [REDACTED]

Installment Note to purchase 1/2 interest in [REDACTED] Rio Arriba County,
NM (Part VII, Line 5)

K

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-K)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain 1 (A- K)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Commercial Building; Alamosa County, Colorado	E	Rent	M	W					
2. Apartments; Alamosa County, Colorado	E	Rent	M	W					
3. 1/4 section Farm Land; Conejos County, Colorado	A	Rent	K	W					
4. Single Unit Rental; Alamosa County, Colorado	D	Rent	K	W	See *4	7/25	L	F	State Farm Insurance
5. 1/2 Interest [REDACTED] Rio Arriba County, New Mexico		None	L	R	See *5				
6. [REDACTED] Vacant Lot; Jefferson County, Colorado		None	L	W					
7. [REDACTED] Luceros, Ltd. Partnership [REDACTED]	A	Dividend	M	U	See *1				
8. [REDACTED]	A	Dividend	K	U	See *2				
9. LCM, Inc. [REDACTED]	F	Dividend	O	T	See *3				
10. TD Waterhouse IRA Account	D	Dividend	N	T					
11. — AIM Asia Pacific Growth Fund Class A					Sell	5/3	K	D	
12. — Emerald Mut Funds Select Bkg & Fin Fd Cl A					Buy	8/25	K		
13.					Buy	8/26	J		
14.					Buy	12/30	J		
15. — Hussman Inv't Tr. Strategic Growth Fund					Buy	3/17	L		
16.					Sell	9-15	M	D	
17. — Icon Fds Long/Short Fund Class I					Buy	2/27	L		
18.					Buy	12/22	J		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B) and (B4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C) and (D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Acquisition	T = Other	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-F)	(2) Type (e.g. div. ret. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-E)	(5) Identity of buyer/seller (if private transaction)
19. -- Ishares Tr S&P Latin Amer 40 Index FD					Buy	10/12	K		
20. -- Ishares Trust MSCI Emerging Markets Index Fund					Buy	9/27	L		
21. -- Needham Small Cap Growth Fund					Sell	3/16	L	E	
22. -- Scudder Micro Cap Fund CI A					Buy	10/12	K		
23. -- Sunamerica Focused Large Cap Growth Fund Class A					Sell	2/4	L	E	
24. -- Sunamerica Focused Multi Cap Value A					Sell	11/18	L	E	
25. -- Sunamerica Focused Technology Port A					Buy	11/8	L		
26. TD Waterhouse Brokerage Account:									
27. -- AIM Asia Pacific Growth Fund Class A	A	Dividend			Sell	4/28	K	D	
28. -- Brazos Mut Fds Micro Cap Portfolio CI Y	A	Dividend	K	T	Buy	9/14	K		
29. -- Dreyfus Premier Enterprise Fund Class A	A	Dividend			Sell	3/11	K	D	
30. -- Emerald Mut Funds Select Bkg & Fin Fd CI A	A	Dividend	K	T	Buy	12/22	K		
31. -- Harris Assoc. Invt. Trust - Oakmark Select	A	Dividend	K	T	Buy	12/10	J		
32. -- Hussman Invt. Trust - Strategic Growth Fund	B	Dividend	K	T	Buy	11/22	J		
33. -- Icon Fds Long/Short Fund Class I	B	Dividend	L	T	Buy	4/23	K		
34.					Buy	12/22	K		
35.					Buy	12/22	J		
36. -- Icon Fds Healthcare Fd	A	Dividend	K	T	Buy	12/29	K		

1. Income/Gain Codes	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
2. Value Codes:	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H = \$1,000,001-\$5,000,000	I = \$5,000,001-\$10,000,000	J = More than \$5,000,000
	K = \$15,000 or less	L = \$15,001-\$50,000	M = \$50,001-\$100,000	N = \$100,001-\$250,000	O = \$250,001-\$500,000
	P = \$500,001-\$1,000,000	Q = \$1,000,001-\$5,000,000	R = \$5,000,001-\$10,000,000	S = \$10,000,001-\$25,000,000	T = \$25,000,001-\$50,000,000
	U = \$50,000,001-\$100,000,000	V = \$100,000,001-\$500,000,000	W = \$500,000,001-\$1,000,000,000	X = \$1,000,000,001-\$5,000,000,000	Y = \$5,000,000,001-\$10,000,000,000
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessed	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "UU" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-F)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- F)	(5) Identity of Buyer/seller (if private transaction)
37. Charles Schwab Brokerage Account:									
38. -- First Data Corporation	A	Dividend	K	T					
39. -- Schwab 1000 Fund	A	Dividend	K	T	Buy	12/10	J		
40. -- Schwab Muni Money Fund	A	Dividend	K	T	Buy	12/31	J		
41. Equitable Life Assurance Society (Annuity - XXXXXX)	D	Dividend	M	W	See *2				
42. Safeco Life Insurance Company (Annuity - XXXXXX)	D	Dividend	M	W	See *2				
43. XXXXXX Colorado Bondshares Mutual Fund	D	Interest	N	T					

1. Excess/Gain Codes

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001-\$100,000

J = \$15,000 or less

N = \$250,000-\$500,000

P3 = \$25,000,001-\$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001-\$2,900

G = \$100,001-\$1,000,000

K = \$15,001-\$50,000

M = \$500,001-\$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501-\$5,000

H1 = \$1,000,001-\$5,000,000

L = \$50,001-\$100,000

P1 = \$1,000,001-\$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001-\$15,000

H2 = More than \$5,000,000

M = \$100,001-\$250,000

P2 = \$5,000,001-\$25,000,000

T = Cash/Market

E = \$15,001-\$50,000

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VIII ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

- *1 - Assets over \$10,000 value consist of [REDACTED] land, water rights, equipment, livestock and related property.
- *2 - Assets over \$10,000 value consist of office furniture, law partnership income earned prior to June 30, 1995. For tax purposes, security income from lines 41 and 42 is reported [REDACTED]
- *3 - Assets over \$10,000 value consists of mutual funds.
- *4 - House was destroyed by fire on July 25, 2004. Insurance company paid \$95,206 for damages in 2004.
- *5 - Purchased on January 1, 2003 for \$50,174 from the Estate of [REDACTED] Property subject to mortgage (Part VI, Line 1).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 10, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544