AO 10
Rev. 1/2008

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Smith, Jr., Charles L	Northern District of Alabama	05/14/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2007 to 12/31/2007
7. Chambers or Office Address United States Courthouse 101 Holmes Avenue Huntsville, Ala. 35801	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer_____ Date_____	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 1981	Judicial Retirement Fund of Alabama (Retirement fund of former employer, State of Alabama), no control
2. 1981	Madison County, Alabama Retirement Fund (Retirement fund of former employer, Madison County), no control
3.	

Name of Person Reporting	Date of Report
Smith, Jr., Charles L	05/14/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2007	Madison County, Alabama Commission - Salary
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Smith, Jr., Charles L	05/14/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	MBNA/Bank of America	Credit Card	J
2.	Citibank	Credit Card	J
3.	Bank of America	Credit Card (Spouse)	J
4.	Citibank	Credit Card (Spouse)	J
5.			

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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. U.S. Savings Bonds-Series EE	A	Interest	J	T					
2. Regions Financial Corp.	B	Dividend	K	T	Part Sale	11/09	J	C	
3. Citi Bank Money Fund	A	Interest	J	T					
4. Meadowbrook, Ltd. 4.75% Int. in limited partnership		None	J	U					
5. Farm: Madison County, Ala.	D	Rent (Crop)	P1	W					
6. Farm Rental House: Madison County, Ala.	D	Rent (House)	L	W					
7. Crown Life Ins. - Cash Value	A	Dividend	J	T					
8. Apple Computer, Inc.		None	K	T					
9. Clorox Co.	A	Dividend	K	T					
10. Defered Comp Plan - PEBSCO Nationwide Fixed Account	C	Interest	L	T					
11. Madison Co. AL Retirement Fund	B	Interest	K	T					
12. Deferred Comp Plan - PEBSCO Fidelity Magellan	A	Dividend			Sold	2/25	K		
13. Deferred Comp Plan - PEBSCO American Century Ultra Fund	B	Dividend			Sold	9/14	K	B	
14. Deferred Comp Plan - PEBSCO Putnam Voyager Fund Class A	B	Dividend			Sold	9/14	K		
15. Deferred Comp Plan - PEBSCO Fidelity Contrafund	C	Dividend	L	T					
16. Am Family Broadcasting Corp (Retirement)		None	J	T					
17. Fix Corp Intl., Inc.		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Sanmina-SCI Corp		None	J	T					
19. Deferred Comp Plan - PEBSCO S&P 500 Index Fund	A	Dividend	J	T					
20. PACT (Ala. Prepaid Affordable College Tuition plan)	A	Interest	K	T					
21. Scholars Choice (Section 529 Plan)	B	Dividend	K	T					
22. Easy Street Investors Corp.:		None	K	T					
23. -- Davis Selected - Large Cap - Money Manager Acct									
24. -- Johnson & Johnson									
25. -- United Healthcare Corp (formerly Unitedhealth Group Inc)									
26. -- Pepsico, Inc.									
27. -- Maxim Intergrated Prod.					Sold	11/19	K	E	
28. -- First Horizon Nat. Corp (formerly FirstTenn.Nat.Corp)									
29. -- QWest Communications Intl.					Sold	11/19	J		
30. -- Cisco Systems, Inc.									
31. -- Exxon Mobil Corp									
32. -- ICOS Corp					Sold	2/15	J		
33. -- Pfizer, Inc.					Sold	11/19	J		
34. -- Alliant TechSystems, Inc.									

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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
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L =$50,001 - $100,000
M =$100,001 - $250,000
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O =$500,001 - $1,000,000
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35. -- CyberSource Corp					Sold	11/19	J	C	
36. -- St. Joe Company									
37. -- United Technologies Corp.									
38. -- Sanmina SCI Corp					Sold	11/19	J		
39. -- Lowe's Companies, Inc.									
40. -- Goldman Sachs Group, Inc.									
41. -- Lincoln National Corp.									
42. -- Principal Financial Group									
43. -- Adtran, Inc.									
44. -- Calamos Strategic TR Fund									
45. -- Calamos Money Manager Account									
46. -- CoStar Group					Sold	11/19	K	D	
47. -- UT Star Com, Inc.					Sold	11/19	J		
48. -- Emageon, Inc.					Sold	11/19	J		
49. -- Sprint NexTel Corp.									
50. -- Schwab Charles Copr New									
51. -- Syntroleum Corp.									

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52. -- Cardiac Science Corp.									
53. -- Embarg Corp.					Sold	11/19	J	B	
54. -- Alpine Total Dynamic					Buy	2/15	K		
55. -- Calamos Global Dynamic					Buy	7/13	K		
56. -- Calamos International					Buy	12/28	M		
57. Deferred Comp Plan - PEBSCO American Century Value Fund	A	Dividend	K	T					See Part VIII
58. Education IRA No. 1:									
59. -- CitiBank NA Bank Deposit (formerly SB Money Funds)	A	Interest	J	T					
60. -- Coca Cola Bottling Co.	A	Dividend	J	T					
61. -- Adtran, Inc.	A	Dividend	J	T					
62. -- OverstockCom, Inc.		None	J	T					
63. Education IRA No. 2:									
64. -- Legg Mason Partners (formerly SB Aggressive Growth Funds)	A	Dividend	J	T					
65. -- CitiBank NA Bank Deposit (formerly SB Money Funds)	A	Interest	J	T					
66. -- Adtran, Inc.	A	Dividend	J	T					
67. -- OverstockCom, Inc.		None	J	T					
68. Education IRA No. 3:									

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69. -- Legg Mason Partners (formerly SB Aggressive Growth Funds)	A	Dividend	J	T					
70. -- CitiBank NA Bank Deposit (formerly SB Money Funds)	A	Interest	J	T					
71. -- Adtran, Inc.	A	Dividend	J	T					
72. -- OverstockCom, Inc.		None	J	T					
73. Education IRA No. 4:									
74. -- Legg Mason Partners (formerly SB Aggressive Growth Funds)	A	Dividend	J	T					
75. -- CitiBank NA Bank Deposit (formerly SB Money Funds)	A	Interest	J	T					
76. -- Adtran, Inc.	A	Dividend	J	T					
77. -- OverstockCom, Inc.		None	J	T					
78. Coca Cola Bottling Co.	A	Dividend	J	T					
79. eBay, Inc.		None	J	T					
80. Johnson & Johnson	A	Dividend			Sold	10/23	J	A	
81. Rental Property No. 3, Baldwin County, Ala. "(Y)"									See Part VIII
82. Trust No. 1:	A	Dividend	J	T					
83. -- Citibank NA Bank Deposit Program	A	Interest	J	T					
84. -- ITC DeltaCom, Inc. - New Issue		None	J	T					
85. Vanguard Age-Based Aggressive Growth (Section 529 Plan)	A	Dividend	J	T	Buy Monthly	2007	J		

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86. Adtran, Inc.	A	Dividend	J	T					
87. Walt Disney (acquisition and merger of Pixar into Disney)	A	Dividend	J	T					
88. Deferred Comp Plan - PEBSCO Nationwide LargeCap Growth Fund	A	Dividend	L	T	Buy	9/14	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII, page 7, line 57:
This asset, described as "American Century Value Fund" in previous reporting periods, is a mutual fund held in an IRS § 457(b) deferred compensation plan account sponsored by [redacted] employer, the Madison County, Alabama Commission, and administered by an entity formerly known as the "Public Employees Benefit Services Corporation (PEBSCO)," but now known as "Nationwide Retirement Solutions," in partnership with the National Association of Counties (NACo).

Part VII, page 8, line 81:
This asset, a rental property that was returned to personal use as a vacation home during the reporting period, no longer is reportable. (See, e.g., 01/17/08 FDR "Alphabetical Reference," at pages 31 ("Mortgage") and 48 ("(Y)".)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature 

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544