

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SEAY, FRANK H	2. Court or Organization US DISTRICT COURT-EASTERN	3. Date of Report 04/20/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE-SENIOR STA	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address EASTERN DISTRICT OF OKLAHOMA UNITED STATES COURTHOUSE MUSKOGEE, OK 74402-0828	5b. ☐ Amended Report	
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

RECEIVED
2007 MAY -1 P 12:12
FINANCIAL
DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

SEAY, FRANK H

Date of Report

04/20/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	STATE OF OKLAHOMA - TEACHERS RETIREMENT SYSTEM
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

SEAY, FRANK H

Date of Report

04/20/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

SEAY, FRANK H

Date of Report

04/20/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1/64 WI IN OLIP, MCGIRT & DIXON, HUGHES COUNTY, OK	D	Royalty	J	W					
2. 1/64 WI IN MCCASLIN #1 & #2, SEMINOLE COUNTY, OK	C	Royalty	J	W					
3. 1/27 WI IN BOYD #2, HUGHES COUNTY, OK	B	Royalty	J	W					
4. 1/20 WI IN BE #1 & #2, HUGHES COUNTY, OK	A	Royalty	J	W					
5. 1/32 ORRI IN OLIPHANT #2, HUGHES COUNTY, OK	B	Royalty	J	W					
6. 1/20 WI IN KAMPERMAN, HUGHES COUNTY, OK	B	Royalty	J	W					
7. 1/40 WI IN ENNIS #1-25, SEMINOLE COUNTY, OK		None	J	W					
8. 1/40 WI IN HM #1 & #2, HUGHES COUNTY, OK	B	Royalty	J	W					
9. 1/64 WI IN BULL PASTURE, SEMINOLE COUNTY, OK		None	J	W					
10. PRODUCING MIN INT-WILLACY CT, TX APPRAISAL 3-29-89	D	Royalty	J	Q					
11. 1/64 WI IN BULL #1, SEMINOLE COUNTY, OK	B	Royalty	J	W					
12. 1/64 WI IN COWBOY #1 -HUGHES, COUNTY, OK	B	Royalty	J	W					
13. 1/64 WI IN COWBOY #2 - HUGHES, COUNTY, OK		None	J	W					
14. NON-PROD MIN INT-GARVIN, POTT & SEM CT,OK APPRAISAL 3-29-89		None	J	Q					
15. PRODUCING MINERAL INT - SEMINOLE CO., OKLA	A	Royalty	J	W					
16. 1/64 WI IN JOHN W #1, SEMINOLE COUNTY, OK	C	Royalty	J	W					
17. CD'S, SAVINGS & DEMAND DEPOSIT,	B	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

SEAY, FRANK H

Date of Report

04/20/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
BANCFIRST, SEMINOLE									
18. SAVINGS ACCOUNT, BANK OF THE WEST	A	Interest	J	T					
19. EDWARD D. JONES ACCOUNT									
20. - EDJ-INTEREST	A	Interest	J	T					
21. - EDJ-VAN KAMPEN EMERGING GRTH CL C		None	J	T					
22. - EDJ-SONOFI SYNTHELABO SPON	A	Dividend	J	T					
23. - EDJ- HARTFORD EQUITY FUNDS	A	Dividend	J	T					
24. - EDJ-BP PLC	A	Dividend	J	T					
25. - EDJ-CHESAPEAKE	A	Dividend	J	T					
26. MERRILL LYNCH ACCOUNT									
27. - ML-CD TIERONE BANK	A	Interest			SELL	02/15	K		
28. - ML-CD OHIO VY BANK CO	B	Interest			SELL	08/17	K		
29. - ML-CD WESTERNBANK PR (SOLD 8-23 SEE LN 30)	A	Interest			BUY	02/13	K		
30. - ML-CD WESTERNBANK PR		None			SELL	08/23	K		
31. - ML CD WESTERNBANK PR		None	K	T	BUY	08/14	K		
32. - ML-CD FST FED BK CALIF FSB		None	K	T	BUY	08/21	K		
33. - ML-NEUROCRINE INC		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

SEAY, FRANK H

Date of Report

04/20/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. - ML-AMERICAN INCOME FUND	B	Dividend	J	T					
35. - ML-DAVIS NY VENTURE	A	Dividend	J	T					
36. - ML-DREYFUS PREMIER INTL	A	Dividend	J	T					
37. - ML-FEDERATED KAUFMANN FUND	B	Dividend	J	T					
38. - ML-FIDELITY ADV DIVERSIFIED	A	Dividend	J	T					
39. - ML-MUTUAL SHARES	A	Dividend	J	T					
40. - ML-OPPENHEIMER CAPITAL		None	J	T					
41. - ML NEUROCRINE INC		None	J	T	BUY	06/07	J		
42. METLIFE WHOLE LIFE POLICY	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

SEAY, FRANK H

Date of Report

04/20/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

SEAY, FRANK H

Date of Report

04/20/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

✓

Date

4-26-2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544