

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Eddy, Cynthia R.	2. Court or Organization U.S. District Court for the Western District of Pennsylvania	3. Date of Report 07/01/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (Full Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. District Court for the Western District of Pennsylvania 700 Grant Street Suite 1060 Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Lawyer Self-Employed Net income from form 1040 Schedule C
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. ACBA	6/20/13 thru 6/21/13	Champion, PA	CLE (teach)	Transportation, meals, lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Dollar Bank	A	Interest	J	T					
2. PNC Bank	A	Interest	J	T					
3. 2013 Cynthia UBS Financial IRA Account									
4. -American Funds Euro Pacific	A	Int./Div.	K	T	Sold (part)	07/23/13	J	A	
5. -Artisan Mid Cap Value Fund	C	Int./Div.	K	T	Sold (part)	07/23/13	J	C	
6. -Baron Growth Fund Stocks	A	Int./Div.	K	T	Sold (part)	07/23/13	J	A	
7. -Blackrock Capital Appreciation		None	L	T					
8. -Center Coast MLP Focus Fund (X)		None	K	T					
9. -Eagle Mid Cap Growth Fund Stocks	A	Int./Div.	K	T	Sold (part)	07/23/13	J	A	
10. -Fairholme Fund Stocks	C	Int./Div.	L	T	Sold (part)	07/23/13	J	A	
11. -Fidelity Advisor Floating rate high income		None	L	T					
12. -Lululemon athletica inc		None	J	T					
13. -Oppenheimer Senior Floating Rate Fund	A	Int./Div.	L	T	Sold (part)	10/24/13	J	A	
14. -Pimco Low Duration Fund	A	Int./Div.	K	T	Sold (part)	07/23/13	J	A	
15. -Pimco Real Return Fund	A	Int./Div.	K	T	Sold (part)	07/23/13	J	A	
16. -Pimco Total Return Fund	A	Int./Div.	L	T	Sold (part)	07/23/13	J	A	
17. -Royce Special Equity Fund	C	Int./Div.	K	T	Sold (part)	07/23/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. John UBS Financial IRA Account									
19. -American Funds Europacific	A	Int./Div.	K	T	Sold (part)	04/22/13	J	A	
20. -American Funds Washington Mutual Investors	A	Int./Div.	J	T	Sold (part)	04/22/13	J	A	
21. -Artisan Mid CapValue	A	Int./Div.	J	T	Sold (part)	04/22/13	J	A	
22. -Baron Growth Fund	A	Int./Div.	J	T	Sold (part)	04/22/13	J	A	
23. -Blackrock Capital Appreciation	A	Int./Div.	K	T	Sold (part)	04/22/13	J	A	
24. -Eagle Mid Cap Growth Fund	A	Int./Div.	J	T	Sold (part)	04/22/13	J	A	
25. -Oppenheimer Developing Markets	A	Int./Div.	K	T	Sold (part)	04/22/13	J	A	
26. -Royce Special Equity		None	K	T					
27. -Fidelity Advisor Floating Rate	A	Int./Div.	K	T	Sold (part)	04/22/13	J	A	
28. -Oppenheimer Senior Floating rate	A	Int./Div.	K	T	Sold (part)	04/22/13	J	A	
29. -Pimco Low Duration	A	Int./Div.	K	T	Sold (part)	04/22/13	J	A	
30. -Pimco Total Return	A	Int./Div.	L	T	Sold (part)	04/22/13	J	A	
31. -JP Morgan Chase Bank MLCD Fixed Income structure	A	Int./Div.	K	T					
32. -UBS Bank Dep Acct Cash and Money balances		None	L	T					
33. -Ford Motor Co stock	A	Int./Div.	J	T					
34. John & Cynthia Eddy USB Financial Resource Mgmt Acct									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -UBS Bank Dep Acct Cash and Money balances		None	K	T					
36. -American Funds Europacific		None	J	T					
37. -Blackrock Equity		None	J	T					
38. -Blackrock Capital Appreciation		None	J	T					
39. -Eagle Mid Cap Growth		None	J	T					
40. -Franklin Utilities		None	J	T					
41. -Oppenheimer Developing Markets		None	J	T					
42. -Royce Special Equity		None	J	T					
43. -Alpine Ultra Short tax mutual fund		None	J	T					
44. -Blackrock ST Municipal Fund		None	J	T					
45. -Invesco tax free intermediate fund		None	J	T					
46.									
47.									
48.									
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50.									
51.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cynthia R. Eddy

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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