

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) VRATIL, KATHRYN H.	2. Court or Organization DISTRICT OF KANSAS	3. Date of Report 03/16/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address UNITED STATES DISTRICT COURT 500 STATE AVENUE, SUITE 529 KANSAS CITY, KANSAS 66101-2435	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting
VRATIL, KATHRYN H.

Date of Report
03/05/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	AT&T pension
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Citibank	Student Loans	K
2. National Education	Student Loans	K
3. ISAC/CORUS	Student Loans	J
4. _____	_____	_____
5. _____	_____	_____

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Name of Person Reporting

VRATIL, KATHRYN H.

Date of Report

03/05/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Life Ins. Policies	B	Dividend	J	T					
2. Massachusetts Mutual Life Ins. Policy	C	Dividend	L	T					
3. Columbia Acorn Fund (IRA)	A	Dividend	K	T	Buy	12-09	J		
4. American Century Income & Growth	A	Dividend			Sold	05-05	J		
5. Banc One Corp Notes	A	Interest			Matured	02-17	K	A	
6. GNMA PL	A	Interest	J	T	Repayment	01-15	J		
7.					Repayment	02-17	J		
8.					Repayment	03-16	J		
9.					Repayment	04-15	J		
10.					Repayment	05-15	J		
11.					Repayment	06-15	J		
12.					Repayment	07-15	J		
13.					Repayment	08-15	J		
14.					Repayment	09-15	J		
15.					Repayment	10-15	J		
16.					Repayment	11-16	J		
17.					Repayment	12-15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$55,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VRATIL, KATHRYN H.	03/05/2010

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18. Artio Intl Equity Fd	B	Dividend	K	T					
19. Schwab International Index Fund	A	Dividend	J	T					
20. Orthologic Corp. (IRA)		None	J	T					
21. California Amplifier (IRA)		None	J	T					
22. Schwab Muni Money Fund	A	Dividend	J	T					
23. FAM Value Fund		None			Sold	06-04	J		
24. Artio Intl Equity Fd II CL A		None			Sold	05-06	J		
25. Schwab Yield Plus Inv Shares	A	Dividend			Sold	02-02	J		
26. Vanguard Total Stock Mkt Stk Mkt Vipers Index Fd (Trust #1)	A	Dividend	K	T	Buy	04-02	J		
27.					Buy	07-01	K		
28.					Sold (part)	09-04	J		
29.					Buy	09-30	J		
30.					Buy	12-30	J		
31. Columbia Value & Restructuring	A	Dividend	L	T	Sold (part)	03-17	J		
32.					Buy	03-24	J		
33.					Buy	06-23	J		
34.					Buy	09-22	J		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy	12-08	J		
36. iShares MSCI Emrg Mkt Fd Emerging Ma rkets Indx (IRA)	A	Dividend	J	T	Buy	06-30	J		
37. Janus Growth & Income Fd (IRA)	A	Dividend	L	T	Sold (part)	03-17	J		
38.					Buy	03-31	J		
39.					Buy	06-24	J		
40.					Buy	09-30	J		
41.					Buy	12-18	J		
42. Laudus Intl Mktmstrs Fund Select Shares (I RA)	A	Dividend	L	T	Sold (part)	03-17	J		
43.					Buy	12-17	J		
44. William Blair Small Cap Growth Fund Class N (IRA)		None			Sold	03-17	J		
45. Schwab Cash Reserves	A	Dividend	J	T					
46. Blackrock Health Sciences Fund Inv CL A (IRA)		None	K	T					
47. Schwab Cash Reserves (IRA)	A	Dividend	J	T					
48. Transamerica Premier Equity Fund Inv CL (IRA)		None			Sold	03-17	J		
49. American Century Equity Income Fund Inv (IRA)	B	Dividend	L	T	Buy	03-17	J		
50.					Buy	06-16	J		
51.					Buy	09-15	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52.					Buy	12-29	J		
53. Janus Overseas Fund (IRA)	A	Dividend	K	T	Buy	06-24	J		
54.					Buy	12-22	J		
55. Artio Intl Equity Fund CL A	B	Dividend	K	T	Buy	12-29	J		
56. Schwab 1 Cash Acct	A	Interest	J	T					
57. Schwab Cash Reserves	A	Dividend	J	T					
58. Citigrp Cap XVI 6.45% 66 due 12/31/66	A	Interest			Sold	09-30	K	D	
59. Vanguard Total Stock Mkt Stk Mkt Vipers	A	Dividend	J	T	Sold (part)	01-08	J		
60.					Buy	04-02	J		
61.					Sold (part)	04-14	J		
62.					Buy	06-30	J		
63.					Buy	09-30	J		
64.					Buy	10-01	J		
65.					Buy	12-30	J		
66. Schwab Cash Reserves Sweep Shares (Trust #1)	A	Dividend	J	T					
67. Schwab Yield Plus Inv Shares	A	Dividend	J	T	Buy	01-30	J		
68.					Buy	02-27	J		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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69.					Buy	03-31	J		
70.					Buy	04-30	J		
71.					Buy	05-29	J		
72.					Buy	06-30	J		
73.					Buy	07-31	J		
74.					Buy	08-31	J		
75.					Buy	09-30	J		
76.					Buy	10-31	J		
77.					Buy	11-30	J		
78.					Buy	12-31	J		
79. Gabelli Asset Fund AAA (IRA)	A	Dividend	K	T	Buy	12-29	J		
80. Alger Smallcap and Midcap A (IRA)		None			Sold	03-17	K		
81. Schwab Value Advantage	A	Dividend			Sold	02-17	J		
82. PIMCO Total Return Fund	B	Dividend	K	T	Buy	01-30	J		
83.					Buy	02-27	J		
84.					Buy	03-31	J		
85.					Buy	04-30	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
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3. Value Method Codes
(See Column C2)

Q = Appraisal
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86.					Buy	05-29	J		
87.					Buy	06-30	J		
88.					Buy	07-31	J		
89.					Buy	08-31	J		
90.					Buy	09-30	J		
91.					Buy	10-31	J		
92.					Buy	11-30	J		
93.					Buy	12-09	J		
94.					Buy	12-31	J		
95. iShares TR Lehman Bd Fd	A	Dividend	K	T					
96. Technology Select Sector SPDS a/k/a Sector SPDR Tech Select	A	Dividend	K	T					
97. Technology Select Sector SPDA a/k/a Sector SPDA Fincl Select	A	Dividend	K	T	Buy	01-02	J		
98.					Buy	04-02	J		
99.					Buy	07-02	J		
100.					Buy	09-30	J		
101.					Buy	12-31	J		
102. Perkins Mid Cap f/n/a Janus Mid Cap Value Investor (IRA)	A	Dividend	K	T	Buy	06-24	J		

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

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B = \$1,001 - \$2,500

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R = Cost (Real Estate Only)

V = Other

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H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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103.					Buy	12-18	J		
104. Vanguard Total Stock Mkt	B	Dividend	L	T					
105. Schwab Investor Money Fd	A	Dividend			Buy	02-17	J		
106.					Sold	08-12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544