

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FALLON, ELDON E.	2. Court or Organization U.S. District Court, Louisiana	3. Date of Report 05/14/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U. S. DISTRICT COURT 500 POYDRAS STREET - ROOM C456 NEW ORLEANS, LA 70130	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Ex-Officio Board Member/Past President	Louisiana Bar Foundation
2. Trustee	Testamentary Trust
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	Thompson-West (book royalty)	\$587.07
2.		
3.		
4.		

B. Spouse's Non-Investment Income – *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	1/22-23/2010	Vail, CO	Speaker Seminar Program	Transportation, meals, lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PARCEL #1, NOLA	C	Rent			Donated				
2. PARCEL #2, NOLA	C	Rent	K	W					
3. PARCEL #5, NOLA	E	Rent	O	W					
4. *PARCEL#6, MS (Pearl River County)	C		P1	W					
5. DUKE COMMON STOCK	A	Dividend	K	T					
6. FIDELITY FUND COMMON STOCK	A	Dividend	K	T					
7. EMPIRE DISTRICT ELECTRIC COMMON STOCK	A	Dividend	J	T					
8. ANADORKO COMMON STOCK	A	Dividend	K	T					
9. VIAD COMMON STOCK	A	Dividend	J	T					
10. FINOVA COMMON STOCK	A	Dividend	J	T					
11. AM SOUTH (IRA)	D	Dividend	N	T					
12. SCHWAB MONEY MARKET ACCOUNT	D	Interest	N	T					
13. SAVING/FIDELITY HOMESTEAD ASSN	A	Interest	J	T					
14. *TESTAMENTARY TRUST		None	L	T					
15. VANGUARD INDEX TRUST SMALL CAP STOCK PORTFOLIO (NAESX)MUTUAL	A	Dividend	K	T					
16. LEGG MASON VALUE MUTUAL FUND	A	Dividend	K	T					
17. NATIONSBANK CORP (BONDS)	B	Interest	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. *GNMA'S POOL (BONDS)	B	Interest	J	T					
19. FNMA MTG POOL	C	Interest	J	T					
20. VANGUARD TOTAL STOCK MKT INDEX FUND	A	Dividend	M	T					
21. MONEYGRAM INTERNATIONAL, INC. [Spin off of VIAD]	A	Dividend	J	T					
22. *SCHWAB MONEY MARKET FUND (See VIII for explanation)	C	Interest	O	T					
23. GNMA PASS-THRU X SINGLE FLY	C	Interest	J	T					
24. SECTOR SPDR TR SBI INT-ENERGY	A	Dividend	K	T					
25. OIL SVC HOLDERS TR DEPOSTRY RCPT	A	Dividend	K	T					
26. PIMCO FDS PAC INVT MGM COMMD RL STR D	A	Dividend	L	T					
27. POWERSHARES ETF TRUST DYN EN EX PROD	A	Dividend	K	T					
28. BLACKROCK INCOME TR COM	A	Dividend	K	T					
29. FHLMC POOL	A	Dividend	K	T					
30. ISHARES TR DJ OIL & GAS EXP	A	Dividend	J	T					
31. POWERSHARES ETF TRUST DYN	A	Dividend	J	T					
32. SHEETTRACKS GOLD TR GOLD SHS	A	Dividend	L	T					
33. TELECOM HLDERS TR DEPOSITRY RCPT	A	Dividend	J	T					
34. VANGUARD WORLD FDS MATERIALS ETF	A	Dividend	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

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35. ISHARES TR DJ OIL & GAS EXP	A	Dividend	K	T					
36. PIMCO CORPORATE OPP FD COM	A	Dividend	K	T					
37. REGIONAL BANK HOLDERS TR DEPOSITRY RCPT	A	Dividend	L	T					
38. STREETTRACKS GOLD TR GOLD SHS	A	Dividend	L	T					
39. STREETTRACKS SER TR SPDR S&P MTL	A	Dividend	K	T					
40. PIMCO TOTAL RTN 2	A	Dividend	K	T					
41. U.S. GLOBAL	A	Dividend	K	T					
42. FIDELITY SELECT COMPUTERS	A	Dividend	J	T					
43. FEDERATED FUND	A	Dividend	K	T					
44. GAMBO GROWTH FUND	A	Dividend	K	T					
45. LEGG-MASON OPPORTUNITY FUND	A	Dividend	J	T					
46. T. ROWE PRICE INTERNAT'L	A	Dividend	J	T					
47. VANGUARD GNMA	A	Dividend	K	T					
48. VANGUARD TOTAL STOCK INDEX	A	Dividend	L	T					
49. U.S. SAVINGS BONDS	A	Interest	M	T					
50. FIDELITY HOMESTEAD (CDs)	A	Interest	M	T					
51. GUARANTY HOMESTEAD (CDs)	A	Interest	M	T					

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(See Columns B1 and D4)

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(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

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52. DRYADES SAVINGS (CDs)	A	Interest	K	T					
53. ALLIANCEBERNSTEIN INC COM	A	Interest	K	T	Buy	09/03/09	K		
54. FIDUCIARY CLAYMORE MLP COM	A	Interest	K	T	Buy	09/03/09	K		
55. WISDOMTREE TRUST EMERG MKTS ETF	A	Interest	K	T	Buy	09/10/09	K		
56. WISDOMTREE TRUST INTL DIV E FINL	A	Interest	K	T	Buy	10/15/09	K		
57.									

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

VII. INVESTMENTS AND TRUSTS.

#1. Parcel #1 was donated to the Preservation Resource Center in New Orleans, LA (a 501(c)(3) corporation) on September 1, 2009.

#4. Farm and pasture land. Raise cattle and sell cows.

#14. Assets of Testamentary Trust.

(1) Trustee, with no direct personal interest, for Account of Testamentary Trust on deposit with Bank One, New Orleans, Louisiana. The interest income is paid pursuant to the terms of the Trust in monthly installments to [REDACTED], who is income beneficiary for life or remarriage. Trustee's [REDACTED] are the principal beneficiaries of the Trust.

(2) Lot [REDACTED], Geneva County, Alabama, appraised value \$600

#18. GNMA'S POOL BONDS is principle reduction on a government mortgage pool.

#22. SCHWABB MONEY MARKET FUND is funds in an IRA cash reserve with money in and out, similiar to a bank account. This fund is treated like a CD, and some of the funds are used to purchase other stocks, i.e., the value at beginning of the year was greater than the value at the end as the funds were reduced by purchase of more shares in fund.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Sign

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544