

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
FOLEY SQUARE
NEW YORK, NEW YORK 10007

RICHARD OWEN
JUDGE

September 14, 2006

Hon. Ortrie D. Smith, Chair
Committee on Financial Disclosure
One Columbus Circle, NE
Washington, DC 20544

Re: Calendar Year 2005 Filing

Dear Judge Smith:

In response to your letter dated July 26, 2006, I understand the line item for "Home Depot" was omitted in error. The 2004 report should have included a line item for [REDACTED] owning shares in Home Depot as follows:

<u>Income Amount Code</u>	<u>Income Type</u>	<u>Gross Value Code</u>	<u>Value Method</u>
A	Div	J	T

Please be advised there were no sales of Home Depot in 2004.

Sincerely,

[REDACTED]

FINANCIAL
DISCLOSURE OFFICE

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FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. app. §§101-111)

1. Person Reporting (Last name, first, middle initial) Richard Owen		2. Court or Organization U.S. District Court Southern District of New York		3. Date of Report 5/16/6
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Full Time U.S. District Judge		5a. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final		6. Reporting Period 1/1/05-12/31/05
7. Chambers or Office Address U.S. Courthouse 40 Centre Street New York, NY 10007		5b. ____ Amended Report		
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____				

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☐ NONE (No reportable positions.)	
1 Trustee	Manhattan School of Music
2 President and Board Member	NY Lyric Opera Co.
3	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
1	
2	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	INCOME
A. Filer's Non-Investment Income		
☐ NONE (No reportable non-investment income.)		
1 Richard Owen	Music Royalties-Amer. Society of Composers, Authors and Publishers (ASCAP)	\$ 216.97
2 Wife	Opera and concert singer; Faculty Member Manhattan School of Music; Assist. Professor-Barnard College (in voice).	\$
3		\$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☒ NONE (No reportable non-investment income.)	
1	
2	

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IV. REIMBURSEMENTS – transportation, lodging, food, entertainment.*(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>
☒ XX	NONE (No such reportable reimbursements.)	
1		
2		
3		
4		
5		
6		
7		

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
☒ XX	NONE (No such reportable gifts.)		
1			\$
2			\$
3			\$
4			\$

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE*</u>
☒ XX	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			

Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000
	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001 or more
	P2 = \$5,000,001-\$25,000,000	P3 = \$25,000,001-\$50,000,000	P4 = \$50,000,001 or more	

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VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Ann. Code1 (A-H)	Type (e.g. div. or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	Date Month-Day	Value Code2 (J-P)	Gain Code1 (A-H)	Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
		RICHARD	OWEN						
1 AGERE SYSTEMS CLA		NONE			REV SPZ SELL	5-27	J	A	
2 AGERE SYSTEMS CLB		NONE			REV SPZ NAME CHG	5-27	J	A	* NEW NAME AGERE SYSTEM
3 AGERE SYSTEMS		NONE	J	T	REV SPZ NAME CHG	5-27	J		
4 AMERICAN GREETINGS CLA	A	DIV			SELL	3-8	J	A	
4 BENCH GROUP INC NEW		NONE			NAME CHG	12-27			* NEW NAME QUALMAX
6 QUALMAX INC		NONE	K	T	NAME CHG	12-27			
7 ANHEUSER-BUSCH COS	A	DIV	J	T	BUY	7-20	J		
8 CONSOLIDATED EDISON	A	DIV	J	T	BUY	5-4	J		
9 DOW CHEMICAL	A	DIV	J	T	BUY	6-22	J		
10 FIFTH THIRD BANCORP	A	DIV	J	T	BUY	3-8	J		
11 FIFTH THIRD BANCORP	A	DIV	J	T	BUY	3-10	J		
12 GROUPE DANONE ADR		NONE	J	T	BUY	11-22	J		
13 HS HEN2	A	DIV	J	T					
14 HOME DEPOT	A	DIV	J	T					
15 INTEL	A	DIV	J	T					
16 WTL PAPER	A	DIV	J	T	BUY	5/5	J		
17 KIM BERLY BLARK	A	DIV	J	T					

1. Income/Gain Codes (See Col. B1, D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Col. C1, D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 P2 = \$5,000,001 - \$25,000,000	L = \$50,001 - \$100,000 R1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000	
3. Value Method Codes (See Col. C2)	Q = Appraisal U = Book value	R = Cost (real estate only) V = Other	S = Assessment W = Estimated	T = Cash/Market	

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VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code1 (A-H)	(2) Type (e.g., div., rent or int.)	(1) Value Code2 (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
		RICHARD OWEN							
18 LUCENT TECH		NONE	J	T					
19 MELLON FINCL	A	DIV	J	T					
20 MOTOROLA	A	DIV	J	T					
21 NOKIA - ADR	A	DIV			SELL	4-22	J	B	
22 NORTHROP GRUMMAN	A	DIV			SELL	3-11	J	A	
23 PROCTOR & GAMBLE	A	DIV	K	T					
24 TEMPLETON DRAGON FD	A	DIV			SELL	6-8	J	A	
25 NUVEEN NY DIV ADV ^{MUN} FD2	A	DIV			SELL	5-2	J	A	
26 CITICORP 7 5/8% 5-10-05	B	INT			RED	5-1	K	A	
27 JPMORGAN CHASE 6 1/4 1-8-06	B	INT	K	T					
28 FHLB 5.015% 10-8-08	A	INT	J	T					
29 FHLB 6 1/2% 11-3-09	B	INT	K	T					
30 NYC NY 6 1/2 5 1/4 8-1-09	A	INT	J	T					
31 NY ST TWX AUTH 4 1/2% 4-1-09	A	INT	K	J					
32 CORNING NY SCHDEST 4% 11-5-11	A	INT	K	J	BUY	9-27	K		
33 NY NY ^{MUN} WTR FW AUTH 4-75% 6-15-12	B	INT	K	J					
34 HILTON CSD - NY 3.75% 6-15-13	A	INT	K	J	BUY	4-28	K		
1 Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000				
2 Value Codes: J=\$15,000 or less (See Col. C1, D3)	N=\$250,001-\$500,000 P3=\$250,001-\$500,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000					
3 Value Method Codes: Q=Appraisal (See Col. C2)	U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Mar et					

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A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
35 ^{USFD} W BABYLOON NY 4% 7/15/04	A	INT	J	T	B	3/18/05	J		
36									
37 SOUTHERN	A	DIV	J	T					
38 WATERPUBCO	A	DIV	J	T					
39 PENNVEST FET	B	DIV	J	T					
40 UNICOM	A	DIV	J	T					
41 SBC COMMUNICATIONS	A	DIV	J	T					
42 UNITED TECHNOLOGIES	A	DIV	J	T					
43 AMERICAN ELECTRIC	B	DIV	K	T					
44 AMERITECH	A	DIV	J	T					
45 BELL SOUTH	A	DIV	J	T					
46 GLOBAL CROSSING LTD		None	A	T					
47									
48									
49									
50									
51									
1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=More than \$5,000,000		E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000		K=\$15,001-\$50,000 O=\$500,001-\$1,000,000		L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000		M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000		
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						(2) Date Month Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 AGERE SYSTEMS CL A		NONE			REV SPL SELL	5-27	J	A	
2 AGERE SYSTEMS CL B		NONE			REV SPL SELL	5-27	J	A	NEW NAME AGERE SYSTEMS
3 AGERE SYSTEMS		NONE	J	T	REV SPL Name Chg	5-27	J		
4 AMERICAN EXPRESS	A	DIV			SELL	7-9	J	B	
5 AMERICAN GREETINGS CL A	A	DIV			SELL	3-8	J	A	
6 AUHEUSER BUCH COS	A	DIV	J	T	BUY	7-29			
7 CONSOLIDATED EDISON	A	DIV	J	T	BUY	5-4			
8 DOW CHEMICAL	A	DIV	J	T	BUY	6-22			
9 GROUPE DAHOME ADR		NONE	J	T	BUY	11-22			
10 HOME DEPOT	A	DIV	J	T					
11 INTEL	A	DIV	J	T					
12 INT'L PAPER	A	DIV	J	T	BUY	5-5			
13 LUCENT TECH		NONE	J	T					
14 MOTOROLA	A	DIV	J	T					
15 MELLON FINCL	A	DIV	J	T	BUY	6-14			
16 PROCTOR & GAMBLE	A	DIV	K	T					
17 TEMPLETON DRAGON FD	A	DIV			SELL	6-8	J	B	

1. Income/Gain Codes (See Col. B1, D4)	A = \$1,000 or less F = \$50,001-\$100,000	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
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☐ NONE (No reportable income, assets, or transactions)									
18 INVEEN NY DIV ADV MNUFD	A	DIV			SEL	4-21	J	A	
19 INVEEN NY DIV ADV MNUFD	A	DIV			SEL	5-2	J	A	
20 CIT 5 1/2 1130-07	A	WT	J	T					
21 STRIPS-VSTREAS 0% 8/15/08	A	WT	J	T					
22 FHLB 5.015% 10-8-08	A	WT	J	T					
23 S HUNTERDON NY VED 3.625% 5-1-11	A	WT	J	T					
24 NY ST TNY AUTH 3.75% 4-1-12	A	WT	J	T					
25 RYE BROOK INY 338 4-15-3	A	WT	J	T					
26 SUFFOLK CO NY 3.625% 12-1-14	A	WT	J	T					
27									
28									
29									
30									
31									
32									
33									
34									

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
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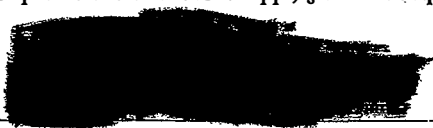
5/16/06

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

5/16/06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544