

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Brian J.	2. Court or Organization U. S. District Court, Middle District of Florida	3. Date of Report 10/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Bryan Simpson United States Courthouse 300 North Hogan Street, Suite 11-400 Jacksonville, FL 32202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member, Board of Directors	Jacksonville Public Education Fund, Inc.
2.	Member, Trustee	The Community Foundation, Inc.
3.	Member, Board of Directors	North Florida Council, Boy Scouts of America, Inc.
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Davis, Brian J.

Date of Report

10/15/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	State of Florida Retirement	\$123,613.44
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Florida Retirement System Disability Income
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Davis, Brian J.

Date of Report

10/15/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Regions Bank	Mortgage on Investment Property, Jacksonville, FL	K
2.	DiTech Financial LLC	Mortgage on Investment Property, Jacksonville, FL	K
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Davis, Brian J.

Date of Report

10/15/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citigroup Common Stock (X)	A	Dividend	J	T					
2. Travelers Common Stock (X)	A	Dividend	J	T					
3. Community First Credit Union Accounts (X)	A	Interest	J	T					
4. Regions Bank Accounts	A	Interest	K	T					
5. VyStar Credit Union Accounts (X)	A	Interest	K	T					
6. American Funds: American Mutual Fund	A	Dividend	J	T					
7. Northwestern Mutual Rollover IRA (H)									
8. Dreyfus Ins Deposit Program	A	Dividend	J	T					
9. Cohen & Steers Real Estate Securities Fund Class I	A	Dividend	J	T					
10. Deutsche Enhanced Commodity Strategy Fund Institutional Class	A	Dividend	J	T					
11. John Hancock Disciplined Value Mid Cap Fund Class I	A	Dividend	J	T					
12. PIMCO Income Fund Class P	A	Dividend	K	T					
13. Prudential Total Return Bond Fund Class Z	A	Dividend	K	T					
14. T Rowe Price Blue Chip Growth	A	Dividend	J	T					
15. iShares Inc Edge MSCI Minimum Volatility Emerging Markets ETF	A	Dividend	J	T					
16. Powershares Exchange-Traded FD TRII S&P 500 Low Volatility Port	A	Dividend	K	T					
17. Invesco Balanced Risk Commodity Strategy Fund CL Y	A	Dividend			Sold	05/19/17	J		Seller:Northwestern Mutual

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Davis, Brian J.

Date of Report

10/15/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. AB Global Bond Fund Advisor Class	A	Dividend			Sold	05/19/17	J		Seller: Northwestern Mutual
19. Ariel Fund	A	Dividend	J	T					
20. Eaton Vance Income Fund of Boston Institutional	A	Dividend			Sold	05/19/17	J		Seller: Northwestern Mutual
21. JP Morgan Government Bond Fund Class	A	Dividend	K	T					
22. MFS Value Fund Class I	A	Dividend			Sold	05/19/17	J		Seller: Northwestern Mutual
23. New Perspective Fund Class F-2	A	Dividend			Sold	05/19/17	J		Seller: Northwestern Mutual
24. New World Fund Class F-2	A	Dividend			Sold	05/19/17	J		Seller: Northwestern Mutual
25. Northern Small Cap Value Fund	A	Dividend	J	T					
26. Oppenheimer Global Fund Class Y	A	Dividend			Sold	05/19/17	J		Seller: Northwestern Mutual
27. PNC Small Cap Fund Class I	A	Dividend			Sold	05/19/17	J		Seller: Northwestern Mutual
28. iShares TR Core S&P 500 ETF	A	Dividend	K	T					
29. iShares TR Core U S Aggregate Bd ETF	A	Dividend	J	T					
30. iShares MSCI EAFE Minimum Volatility ETF	A	Dividend	J	T					
31. SPDR SER TR Dow Jones REIT ETF	A	Dividend	J	T	Sold (part)	05/01/17	J		Seller: Northwestern Mutual
32. Columbia Overseas Value Fund Advisor Class	A	Dividend	J	T	Buy	05/19/17	J		Buyer: Northwestern Mutual
33. Delaware Emerging Markets Fund	A	Dividend	J	T	Buy	05/19/17	J		Buyer: Northwestern Mutual
34. iShares Inc MSCI France ETF	A	Dividend	J	T	Buy	05/19/17	J		Buyer: Northwestern Mutual

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Davis, Brian J.

Date of Report

10/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. iShares Inc MSCI Germany ETF	A	Dividend	J	T	Buy	05/19/17	J		Buyer: Northwestern Mutual
36. iShares TR Core S&P 500 ETF	A	Dividend	K	T					
37. iShares TR MSCI EAFE Minimum Volatility ETF	A	Dividend	K	T					
38. John Hancock International Growth Fund Class I	A	Dividend	K	T	Buy	05/19/17	J		Buyer: Northwestern Mutual
39. Investment Property, Jacksonville, FL (May 9, 2018 Appraisal: \$52,798)		None	L	Q					
40. Investment Property, Jacksonville, FL (May 9, 2018 Appraisal: \$70,980)		None	L	Q					
41. MetLife Variable Annuity, Fixed Account	A	Int./Div.	J	T					
42. NorthWestern Mutual Variable Annuity (H)									
43. Equity Income (MSA/T Rowe Price)	A	Dividend	J	T					
44. FocusedAppreciation (MSA/Loomis Sayles)	A	Dividend	J	T					
45. Growth Stock (MSA/The Boston Company)	A	Dividend	J	T					
46. US Small Cap Equity (RIF)	A	Dividend	J	T					
47. International Equity (MSA/Franklin Tmpl)	A	Dividend	J	T					
48. International Growth (MSA/FIAM LLC)	A	Dividend	J	T					
49. International Developed Markets (RIF)	A	Dividend	J	T					
50. Select Bond (MSA/Wells Capital Mgmt)	A	Dividend	J	T					
51. Strategic Bond (RIF)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Davis, Brian J.

Date of Report

10/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. High Yield Bond (MSA/Federated Inv.)	A	Dividend	J	T					
53. Global Real Estate Securities (RIF)	A	Dividend	J	T					
54. Guaranteed Interest Fund I	A	Interest	K	T					
55. NorthWest Mutual Whole Life Policy #1	A	Dividend	J	T					
56. NorthWest Mutual Whole Life Policy #2	A	Dividend	K	T					
57. NorthWest Mutual Whole Life Policy #3	C	Dividend	L	T					
58. American Funds: Growth and Income Fund #1	A	Dividend	J	T					
59. American Funds: Growth and Income Fund #2	A	Dividend	J	T					
60. American Funds: Growth and Income Fund #3	A	Dividend	J	T					
61. Regions Investment Accounts (H)									
62. GS Fin Corp SR IDX ZRO LKD19	A	Dividend	J	T					
63. GS Fin Corp SR GLBL INDX 20	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Davis, Brian J.

Date of Report

10/15/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Davis, Brian J.

Date of Report

10/15/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brian J. Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544