

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) JARVIS, JAMES H	2. Court or Organization Eastern District of Tennessee	3. Date of Report 5/6/04
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/03 to 12/31/03
7. Chambers or Office Address 800 Market Street, Suite 140 Knoxville, TN 37902	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)☒ **NONE** - (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)☒ **NONE** - (No reportable agreements.)DATEPARTIES AND TERMS

1. _____

RECEIVED
MAY 19 12 45 PM '04
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

JARVIS, JAMES H

Date of Report

5/6/04

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. _____	Tennessee Consolidated Retirement System	36045
2. _____	Aetna Insurance Company -- Deferred Income Account	450

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. _____	_____

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. _____	_____

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

JARVIS, JAMES H

Date of Report

5/6/04

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1. _____

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1. _____

FINANCIAL DISCLOSURE REPORT

Page 1 of 3

Name of Person Reporting
JARVIS, JAMES H

Date of Report
5/6/04

VII. INVESTMENTS and TRUSTS

-- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. UnimpProp (25%) Townsend, TN \$137,800	B	Rent	M	S					
2. ImpProp in SC and UnimpProp in TN (50%)	C	Rent	P1	T					
3. Notes for balance on #2 (partial sale of TN property)	G	Distribution	P1	Q					
4. Bonds - Flagship Tennessee and Delaware	B	Interest	K	T					
5. Stock - AT&T & Southwest Bell Telephone	B	Dividend	L	T					
6. UnimpProp Monroe County, TN \$247,500		None	M	S					
7. ImpProp Happy Valley TN \$29,500		None	K	S					
8. Colonial Securities Fund	A	Dividend	J	T					
9. Vodaphone Airtouch Stock	A	Dividend	J	T					
10. Bell Atlantic Stock (including NYNEX Corp. and Verizon)	A	Dividend	J	T					
11. Norfolk Southern Ry Stock	A	Dividend	J	T					
12. SBC Communications Stock	B	Dividend	K	T					
13. CBS Stock (including Viacom)	A	Dividend	J	T					
14. BellSouth Stock	A	Dividend	J	T					
15. Qwest Communications Stock	A	Dividend	J	T					
16. Ameritec Stock	A	Dividend	J	T					
17. Ford Motor Company Stock	A	Dividend	J	T					
18. Lucent Stock (including Agere)	A	Dividend	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 2 of 3

Name of Person Reporting
JARVIS, JAMES H

Date of Report
5/6/04

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Wyeth Stock	A	Dividend	J	T					
20. BBT Corporation Stock	A	Dividend	K	T					
21. Ryan's Steakhouse Stock	A	Dividend	J	T					
22. Clayton Homes Stock	A	Dividend			Sell	7-14	J	D	
23. Citigroup Stock	A	Dividend	J	T					
24. UnimpProp Rockford TN (\$100,000)		None	M	S					
25. Avaya Stock	A	Dividend	J	T					
26. Comcast Cable Stock	A	Dividend	J	T					
27. ImpProp Walland, TN (\$132,500)	D	Rent	M	S					
28. EMC Corporation Stock	A	Dividend	K	T					
29. Union Planters Bank Stock	A	Dividend			Sell	12/15	J	A	
30. Certificate of Deposit - Union Planters Bank	A	Interest	K	T					
31. Certificate of Deposit - BB&T Banking Corporation	A	Interest	K	T					
32. Apex Municipal Fund, Inc.	B	Interest	K	T					
33. Pimco Municipal Income Fund III	B	Interest	K	T					
34. Scudder Municipal Fund Trust	A	Interest			Sell	2/25	K	A	
35. Dreyfus Municipal Income Fund	A	Interest			Sell	1/29	K	B	
36. Putnam Municipal Fund	A	Interest			Sell	6/2	K	B	

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 3 of 3

Name of Person Reporting

JARVIS, JAMES H

Date of Report

5/6/04

VII. INVESTMENTS and TRUSTS

— income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy. sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. Munivest Fund, Inc.	B	Interest	K	T					
38. UBS PaineWebber Cash Fund	A	Interest	J	T					
39. The Income Fund of America - Class A	C	Interest	L	T					
40. Smith Barney Shearson Income Fund (X)	C	Interest	L	T					
41. Liberty High Yield Securities Fund - A	A	Interest	J	T					
42. Nuveen Municipal Bond Fund	B	Interest	L	T					
43. Putnam Managed Municipal Income Fund	A	Interest	K	T	Buy	2/25	K		
44. Putnam Managed Municipal Income Fund					Sell	6/03	K	B	
45. American Balanced Fund - Class C	A	Interest	K	T	Buy	12/2	J		
46. Coca Cola Enterprises	A	Dividend	K	T	Buy	6/27	K		
47. Coca Cola Enterprises					Sell	12/11	K	B	
48. Pepsi Bottling	A	Dividend	K	T	Buy	6/27	K		
49. Pepsi Bottling					Sell	12/12	K	A	
50. Hyperion Total Return & Income Fund, Inc.	A	Interest	K	T	Buy	7/29	K		
51. Hyperion Total Return & Income Fund, Inc.					Sell	8/19	K	B	
52. National City Corporation	A	Dividend	K	T	Buy	10/22	K		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

JARVIS, JAMES H

Date of Report

5/6/04

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

JARVIS, JAMES H

Date of Report

5/6/04

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 10, 04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544