

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carnes, Julie E.	2. Court or Organization Northern Division, Georgia	3. Date of Report 05/1/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 2167 U.S. Courthouse 75 Spring Street, S.W. Atlanta, Georgia 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
-------------	------------------------	--

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
-------------	------------------------

1. 2011	 - lawyer - self-employed; partner in law firm
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
---------------	--------------	-----------------	----------------	-------------------------------

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Carnes, Julie E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Associated Credit Union	A	Interest	M	T					
2. Regions Bank	A	Interest		T	Closed	01/06/11	M	A	
3. SunTrust Bank	B	Interest	N	T					
4. SunTrust Bank Trustec	A	Interest	J	T					
5. Wells Fargo Bank	A	Interest	K	T					
6. ING	D	Interest	M	T					
7. Columbia Co. GA Wtr & Swr Rev Due 06/01/2015	D	Interest		T	Redeemed	12/01/11	L	A	
8. Municipal Elec Auth GA Due 11/01/2013	D	Interest	M	T					
9. Prudential Life Insurance Company Annuity	A	Dividend	O	T					
10. Municap Elec Auth GA Maty: 01/01/2012	D	Interest	M	T					
11. Georgia ST HSG & FIN AU Maty: 06/01/2012	C	Interest	M	T					
12. Fayette Co. GA Sch DT Maty: 03/01/2013 (corr. from 9/1/10)	B	Interest	L	T					
13. Lowndes Cnty GA Pub Facs Maty: 06/01/2013	B	Interest	L	T					
14. Liberty Co. GA Hosp Auth Maty: 08/01/2014	B	Interest	K	T					
15. Gwinnett Co. GA Wtr & Swr Maty: 08/01/2015	C	Interest	M	T					
16. GA ST Hsg&Fin AU Single Fam Mtg Sr.A,Subsr A-2-AMT due6/1/16	B	Interest	L	T					
17. Fayette Co. GA Sch DT Maty: 03/01/2017	B	Interest	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A=\$1,000 or less
F=\$50,001 - \$100,000

B=\$1,001 - \$2,500
G=\$100,001 - \$1,000,000

C=\$2,501 - \$5,000
H=\$1,000,001 - \$5,000,000

D=\$5,001 - \$15,000
I=\$15,001 - \$50,000

E=\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J=\$15,000 or less
N=\$250,001 - \$500,000
P3=\$25,000,001 - \$50,000,000

K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000

L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000

M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q=Appraisal
U=Book Value

R=Cost (Real Estate Only)
V=Other

P4=More than \$50,000,000
S=Assessment
W=Estimated

T=Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Cobb Cnty GA Dev Auth Rev Ser A CIFG due 7/15/17	B	Interest	L	T					
19. Gainesville GA Wtr & Sew Rev Maty: 11/15/2017	B	Interest	L	T					
20. Schwab Money Market Fund (a/k/a Schwab One Money Market)	C	Interest	N	T					
21. American Fund (AMCAP Fund)	A	Dividend	J	T					
22. American Fund (Washington Mutual Inv.)	A	Dividend	J	T					
23. American Fund (Capital World Bond Fund)	A	Dividend	J	T					
24. American Fund (Euro Pacific Growth Fund)	A	Dividend	J	T					
25. American Fund (Investment Co. of America)	A	Dividend	J	T					
26. American Fund (New Perspective Fund)	A	Dividend	J	T					
27. American Fund (New Economy Fund)	A	Dividend	J	T					
28. Value Line Premier Growth Fund (VALSX)	A	Dividend	K	T					
29. Bestbank	A	Interest	M	T					
30. Merrill Lynch Bank	B	Interest	N	T					
31. American US Govt. Securities FD CL A				T	Sold (part)	01/11/11	M	A	
32.	D	Interest		T	Sold	08/11/11	M	A	
33. BB&T Bank	A	Interest	M	T					
34. Phoenix AZ Ser A Maty: 7/01/21	C	Interest	M	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

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35. Kentucky ST PPTY & BLDGS Nov 01/18	D	Interest	M	T					
36. JEA FLA ELEC SYS REV SUB-SER SER B B RF Maty: 10/1/22	D	Interest	M	T					
37. Pennsylvania ST First Ser A Nov 01/17	D	Interest	M	T					
38. Maine Mun Bd Bk Lt Ser C Nov 01/17	D	Interest	M	T					
39. Ohio ST Major New ST Infrastructure PJ Rev 1 Jun 15/16	D	Interest	M	T					
40. ML US Treasury Money Fund	A	Interest	J	T					
41. Georgia B & T NA	A	Interest		T	Redeemed	02/18/11	L	A	
42. Capmark Bank NA	A	Interest		T	Redeemed	02/25/11	L	A	
43. Sallie Mae Bank	A	Interest		T	Redeemed	02/25/11	L	A	
44. Discover Bank NA	C	Interest	L	T					
45. U.S. Trsy Inflation Note APR 15 2011	A	Interest		T	Redeemed	04/15/11	K	A	
46. U.S. Trsy Inflation Note APR 15 2012	A	Interest	K	T					
47. The Endowment Registered Fund LP	A	Interest	O	T					
48. First Eagle Overseas CL I					Buy	01/12/11	J		
49.					Buy	05/09/11	J		
50.	B	Dividend	L	T	Sold (part)	08/09/11	K	B	
51. First Eagle Gold CL I					Buy	01/13/11	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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52.	B	Dividend	L	T	Buy	05/09/11	J		
53. Pimco Unconstrained Bond Fund CL P	B	Dividend	M	T					
54. Pimco Unconstrained Bond Fund CL C	A	Dividend	K	T					
55. Blackrock Global Allocation FD Inc INSTL					Buy	01/12/11	J		
56.					Buy	05/09/11	J		
57.	A	Dividend	L	T	Buy	08/31/11	J		
58. Eaton Vance Gbl Macro Absolute Return CL I	A	Dividend		T	Sold	06/03/11	L	A	
59. Goldman Sachs Absolute Return Tracker FD INSTL	A	Dividend		T	Sold	01/13/11	L	A	
60. Washington Cnty Ore. CLEAN WTR SVCS SEW REV A Maty: 10/1/19	D	Dividend	M	T					
61. Blackrock GNMA C	A	Dividend	K	T					
62. Eaton Vance Strategic Income Fund CL C	A	Dividend	K	T					
63. Lord Abbett Short Duration Income Fund C	A	Dividend	K	T					
64. Alpine Ultra Short Tax Optimized Income Fund	A	Dividend	K	T	Sold (part)	01/13/11	K	A	
65. Cohen & Steers Global Realty Shares, Inc. CL I					Buy	01/12/11	J		
66.	A	Dividend	J	T	Buy	05/09/11	J		
67. Thornburg Limited Term Municipal Fund CL I	C	Dividend	L	T					
68. Blackrock Eqty Dividend Fund Instl	A	Dividend	J	T	Buy	01/12/11	J		

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(See Columns B1 and D4)

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G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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69. CGM Advisor Targeted Equity FD CL Y					Buy	01/12/11	J		
70.					Buy	05/09/11	J		
71.	A	Dividend		T	Sold	06/07/11	J	A	
72. Fairholme Fund					Buy	01/13/11	J		
73.					Buy	05/09/11	J		
74.					Sold (part)	05/10/11	J	A	
75.					Sold (part)	05/11/11	K	A	
76.					Sold (part)	06/07/11	J	A	
77.	A	Dividend		T	Sold	06/08/11	J	A	
78. Franklin Rising Dividends FD ADV CL					Buy	01/12/11	J		
79.	A	Dividend	L	T	Buy	05/09/11	J		
80. Eaton VNCE PRMTRC STRCTRD EMRGN MRKTS FD I					Buy	01/03/11	J		
81.	A	Dividend		T	Sold	03/11/11	J	A	
82. Franklin Income FD ADV Class	A	Dividend	J	T	Buy	01/12/11	J		
83. Hussman Strategic Total Return Fund CL Retail					Buy	01/12/11	J		
84.	A	Dividend		T	Sold	03/31/11	J	A	
85. Ishare Barleys 20+ YR Treas Index Fund					Buy	01/12/11	J		

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

I11 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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86.	A	Dividend		T	Sold	05/05/11	J	A	
87. Loomis Sayles Strategic Inc FD CL Y					Buy	01/12/11	J		
88.					Buy	05/09/11	J		
89.	C	Dividend	L	T	Buy	06/07/11	K		
90. Nuveen Tradewinds Global ALL CAP FD CL 1					Buy	01/12/11	J		
91.					Buy	05/09/11	J		
92.	A	Dividend		T	Sold	08/09/11	K	A	
93. Pimco Emerging Markets FD CL P (fka Developing Local Markets					Buy	01/12/11	J		
94.	A	Dividend	K	T	Buy	05/09/11	J		
95. Pimco Total Return Fund CL P					Buy	01/12/11	J		
96.					Buy	05/09/11	J		
97.	A	Dividend	J	T	Buy	08/17/11	J		
98. Templeton GBLB Bond FD ADV CL					Buy	01/12/11	J		
99.	D	Dividend	M	T	Buy	05/09/11	J		
100. The Oakmark Fund					Buy	01/12/11	J		
101.					Buy	05/09/11	J		
102.					Sold (part)	06/16/11	K	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

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2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

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103.	A	Dividend		T	Sold	06/17/11	K	A	
104. Wisdomtree Global Equity Income Fund	A	Dividend		T	Sold	01/12/11	J	A	
105. Wisdomtree Emerging Mrkt Equity Income					Buy	01/12/11	J		
106.					Buy	05/09/11	J		
107.	A	Dividend		T	Sold	10/04/11	J	A	
108. SPDR S&P Dividend ETF (SDY)	A	Dividend	K	T	Buy	10/17/11	J		
109. SCHW INTL EQ ETF (SCHF)	A	Dividend	K	T					
110. Pamassus Equity Income Fund Investor SH (PRBLX)	A	Dividend	K	T					
111. Manning & Napier World Oppty A (EXWAX)	A	Dividend	K	T					
112. Schwab S&P 500 Index FD (SWPPX)	A	Dividend	M	T	Buy	03/09/11	L		
113. Scout Intl FD (UMBWX)	A	Dividend	K	T	Buy	01/31/11	K		
114. Vanguard Growth (VUG)					Buy	11/17/11	J		
115.	A	Dividend	K	T	Buy	11/21/11	J		
116. Texas Transn Commn 4% 12 REV DUE 04/01/12	A	Interest	K	T					
117. Washington St 5.0% 16 EXIT DB DUE 07/01/16	A	Interest	K	T					
118. SCH MUNI Money Fund	A	Interest	K	T					
119. Chicago ILL Brd Ed 5% 16GO UTX DUE 12/01/16	A	Interest	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000

I11 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

Date of Report

05/1/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. JEA FLA Wtr & Swr 5% 21 REV DUE 10/01/21	A	Interest	K	T					
121. Minnesota PUB FACS 5% 20 REV DUE 03/01/20	A	Interest	K	T					
122. Minnesota ST 4% 18GO UTX DUE 08/01/18	A	Interest	K	T					
123. New York NY 5% 15GO UTX DUE 11/01/15	A	Interest	K	T					
124. Ohio State 5% 14GO DUE 11/01/14	A	Interest	K	T					
125. Plano TEX INDPT SCH 5% 13GO UTX DUE 02/15/13	A	Interest	K	T					
126. Texas ST 5% 19GO DUE 10/01/19	A	Interest	K	T					
127. AMERICAN BEACON SMALL CAP VAL INV CL (AVPAX)	A	Dividend	K	T	Buy	01/31/11	K		
128. AMERICAN CENTURY MID CAP VALUE FD INV CL (ACMVX)	A	Dividend	K	T	Buy	03/09/11	K		
129. BARON SMALL CAP FUND (BSCFX)	A	Dividend	K	T	Buy	01/31/11	K		
130. BROWN ADVISORY GROWTH EQUITY INST (BIAGX)					Buy	05/04/11	K		
131.					Buy	08/11/11	J		
132.	A	Dividend	K	T	Buy	10/10/11	J		
133. COLUMBIA CONTRARIAN CORE FD CL Z (SMGSZ)	A	Dividend	K	T	Buy	01/31/11	K		
134. CURRENCYSHARES JAPANESE YEN TRUST (FXV)	A	Dividend	J	T	Buy	10/05/11	J		
135. FEDERATED CLOVER SMALL VALUE FD CL A (VSFAX)	A	Dividend	K	T	Buy	03/09/11	K		
136. FRANKLIN GROWTH FUND ADV CL (FCGAX)	A	Dividend	K	T	Buy	03/09/11	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$250,000.001 - \$500,000.000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Carnes, Julie E.

Date of Report

05/1/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
137. HARBOR INTERNATIONAL FUND INV CL (HIINX)					Buy	03/09/11	K		
138.	A	Dividend	L	T	Buy	05/04/11	K		
139. ISHARES DJ SELECT DIV FD SELECT DIVIDEND INDEX FD (DVY)					Buy	05/04/11	K		
140.	A	Dividend	K	T	Buy	07/29/11	J		
141. ISHARES S&P U S PFD FUND S&P U S PFD STK INDEX FD (PFF)	A	Dividend	K	T	Buy	05/04/11	K		
142. ISHARES TR BARCLAYS FUND BARCLAYS AGGREGATE BOND FUND (AGG)	A	Dividend	L	T	Buy	05/04/11	L		
143. ISHARES GOLD TRUST (IAU)	A	Dividend	J	T	Buy	06/30/11	J		
144. ISHARES MSCI HK IDX FD HONG KONG INDEX FUND (EWH)					Buy	06/30/11	K		
145.					Sold (part)	08/05/11	J	A	
146.					Buy	10/11/11	J		
147.	A	Dividend	J	T	Buy	11/01/11	J		
148. ISHARES TR BARCLAYS 7-10 YR TREAS.BOND FD (IEF)					Buy	06/30/11	J		
149.	A	Dividend	J	T	Sold (part)	10/05/11	J	A	
150. ISHARES TR BARCLAYS TIPSBOND FUND (TIP)					Buy	06/30/11	K		
151.	A	Dividend	K	T	Buy	09/27/11	J		
152. ISHARES RUSSELL 1000 VALRUSSELL 1000 VALUE INDEX FUND (IWD)	A	Dividend	L	T	Buy	01/31/11	L		

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H11 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

Date of Report

05/1/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
153. ISHARES TR RUSSELL 1000 RUSSELL 1000 GROWTH INDEX FUND (IWF)	A	Dividend	L	T	Buy	01/31/11	L		
154. JENSEN QUALITY GROWTH FD CL J (JENSX)	A	Dividend	K	T	Buy	03/09/11	K		
155. LKCM SMALL CAP EQUITY FUND INSTITUTIONAL CLASS (LKSCX)					Buy	08/11/11	J		
156.	A	Dividend	K	T	Buy	10/10/11	J		
157. MARSHALL SMALL CAP GROWTH FD CL Y (MRSCX)	A	Dividend	K	T	Buy	03/09/11	K		
158. METROPOLITAN WEST TOTAL RETURN BOND M (MWTRX)	A	Interest	K	T	Buy	05/04/11	K		
159. OAKMARK INTL FD CLASS I (OAKIX)	A	Dividend	J	T	Buy	10/11/11	J		
160. OPPENHEIMER DEVELOPING MKTS FD CL A (ODMAX)	A	Dividend	K	T	Buy	03/09/11	K		
161. OPPENHEIMER EQUITY INCM FD CL A (QCV3Z)					Buy	03/09/11	K		
162.	A	Dividend	L	T	Buy	10/10/11	J		
163. PIMCO EXCH TR FUND ENHANCED SHORT MAT. STRATEGY FD (MINT)	A	Dividend	J	T	Buy	06/30/11	J		
164. PIMCO EXCH TRADED FUND 15+ YR US TIPS INDEX FD (LTPZ)				T	Buy	06/30/11	J		
165.	A	Dividend	J	T	Sold (part)	10/05/11	J	A	
166. POWERSHS DB COMMDTY INDX (DBC)	A	Dividend	J	T	Buy	06/30/11	J		
167. POWERSHS QQQ TRUST SER 1 (QQQ)	A	Dividend	J	T	Buy	06/30/11	J		
168. S P D R S&P 500 ETF TR EXPIRING 01/22/2118 (SPY)					Buy	06/30/11	J		
169.	A	Dividend		T	Sold	09/13/11	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H11 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Carnes, Julie E.

Date of Report

05/1/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
170. SPDR GOLD TRUST SPDR GOLD SHARES (GLD)					Buy	06/30/11	K		
171.	A	Dividend	J	T	Sold (part)	10/05/11	J	A	
172. VANGUARD INTL EQTY INDEX FTSE ALL WORLD EX US ETF (VEU)					Buy	03/09/11	J		
173.	A	Dividend	J	T	Buy	10/17/11	J		
174. VANGUARD EQUITY INCOME FD INVESTOR SHRS (VEIPX)	A	Dividend	J	T	Buy	08/11/11	J		
175. VANGUARD REIT (VNQ)					Buy	06/30/11	K		
176.					Buy	07/29/11	K		
177.	A	Dividend	K	T	Buy	10/05/11	J		
178. VANGUARD SMALL CAP VALUE (VBR)	A	Dividend	J	T	Buy	10/10/11	J		
179. VANGUARD WHITEHALL FUNDS HIGH DIVIDEND YIELD (VYM)	A	Dividend	K	T	Buy	03/09/11	K		
180. VANGUARD BOND INDEX FUNDTOTAL BOND MARKET ETF (BND)					Buy	06/30/11	K		
181.	A	Dividend	K	T	Sold (part)	10/05/11	J	A	
182. VANGUARD DIV APPRCIATION (VIG)					Buy	06/30/11	K		
183.	A	Dividend	K	T	Buy	10/05/11	J		
184. VANGUARD MSCI EAFE ETF (VEA)					Buy	06/30/11	J		
185.	A	Dividend	J	T	Buy	10/05/11	J		

1. Income Gain Codes:
(See Columns BI and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

Date of Report

05/1/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
186. VANGUARD MSCI EMERGING MARKETS ETF (VWO)					Buy	06/30/11	J		
187.					Buy	10/05/11	J		
188.	A	Dividend	J	T	Buy	12/12/11	J		
189. VANGUARD TOTAL STOCK MKT (VTI)					Buy	06/30/11	K		
190.	A	Dividend	K	T	Buy	10/05/11	J		
191. WISDOMTREE EMERGING ETF MKTS LOCAL DEBT FUND (ELD)					Buy	08/11/11	J		
192.	A	Dividend		T	Sold	09/27/11	J	A	
193. WISDOMTREE ASIA ETF LOCAL DEBT FUND (ALD)					Buy	06/30/11	J		
194.	A	Dividend	J	T	Sold (part)	09/27/11	J		
195. WISDOMTREE TRUST BRAZILIAN REAL FUND (BZF)					Buy	06/30/11	J		
196.	A	Dividend		T	Sold	09/27/11	J	A	
197. GENL ELEC CAP CP 5.1%15 INTERNOTE DUE 08/15/15	A	Interest	K	T	Buy	04/15/11	K		
198. PRINCIPAL LIFE 5.10%14 NOTES DUE 04/15/14	A	Interest	K	T	Buy	04/15/11	K		
199. VERIZON GLOBAL 4.9%15 BONDS DUE 09/15/15 CALLABLE	A	Interest	K	T	Buy	04/15/11	K		
200. LOEWS CORP 5.25%16 NOTES DUE 03/15/16	A	Interest	K	T	Buy	04/15/11	K		
201. FED HM LN MTG 2.75%16NOTES DUE 04/18/16					Buy	04/15/11	K		
202.	A	Interest		T	Sold	10/18/11	K	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
203. CALIFORNIA ST ECONO 3%13EXIT DUE 07/01/13	A	Interest	K	T	Buy	02/02/11	K		
204. NEW YORK N Y CITY 5%17REV DUE 06/15/17	A	Interest	K	T	Buy	02/03/11	K		
205. PENNSYLVANIA ST 5%20GO UTX DUE 07/01/20	B	Interest	K	T	Buy	02/02/11	K		
206. VIRGINIA ST PUB SCH 5%17GO DUE 08/01/17	A	Interest	K	T	Buy	02/02/11	K		
207. TALLAHASSEE FLA HLTHFACSREV TALLAHASSEE MEM ILTHICARE 12/1/30	C	Interest	K	T	Buy	01/24/11	K		
208. GEORGIA MUN GAS AUTH GAS REV TOCCOA PROJ DUE 6/01/20	B	Interest	K	T	Buy	03/28/11	K		
209. BLACKROCK STRATEGIC INCOME OPPRNTS PTF					Buy	06/16/11	M		
210.					Buy	07/01/11	J		
211.					Buy	08/17/11	J		
212.					Buy	08/31/11	J		
213.	A	Dividend	K	T	Sold (part)	12/14/11	L	A	
214. BLACKROCK EQTY DIVIDEND FUND					Buy	05/09/11	J		
215.					Sold	05/12/11	J	A	
216.					Buy	06/03/11	K		
217.	C	Dividend	L	T	Buy	12/14/11	L		
218. DOUBLELINE CORE FIXED INCOME FUND CL I					Buy	06/16/11	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

I11 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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E = \$15,001 - \$50,000

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
219.					Buy	07/01/11	K		
220.					Buy	08/17/11	J		
221.	B	Dividend	L	T	Buy	08/31/11	J		
222. DOUBLELINE TOTAL RETURN BOND FUND CL I					Buy	05/05/11	J		
223.					Buy	06/03/11	K		
224.					Buy	07/05/11	J		
225.	C	Dividend	L	T	Buy	08/17/11	J		
226. EATON VANCE AMT FREE MUNICIPAL INCOME CL I (EVMBX)	A	Dividend	L	T	Buy	12/14/11	L		
227. EATON VANCE STRATEGIC INCOME FUND CL I					Buy	06/03/11	L		
228.	A	Dividend		T	Sold	12/14/11	L	A	
229. FRANKLIN INCOME FD ADV					Buy	05/09/11	J		
230.					Buy	08/17/11	J		
231.	C	Dividend	K	T	Buy	08/31/11	K		
232. GOLDMAN SACHS SATELLITE STRATEGIES PORT FD I					Buy	01/12/11	M		
233.					Buy	01/13/11	J		
234.					Buy	05/09/11	J		
235.					Buy	08/17/11	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

I11 = \$1,000,001 - \$5,000,000
I12 = More than \$5,000,000
M1 = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Carnes, Julie E.

Date of Report

05/1/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
236.					Buy	08/18/11	J		
237.					Buy	08/19/11	J		
238.	D	Dividend	M	T	Buy	08/25/11	J		
239. ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F					Buy	05/09/11	J		
240.					Buy	05/10/11	J		
241.					Buy	05/13/11	K		
242.	A	Dividend		T	Sold	08/02/11	K	A	
243. ISHARES IBOXX INVESTOP IBOXX \$ INVESTOP CORP BOND FUND (LQD)					Buy	10/05/11	J		
244.	A	Dividend	K	T	Buy	10/26/11	J		
245. ISHARES BARCLAYS MBS BON FUND (MBB)	A	Dividend	J	T	Buy	10/26/11	J		
246. ISHARES MSCI EAFE INDEX FUND					Buy	11/16/11	J		
247.	A	Dividend	J	T	Buy	12/13/11	J		
248. ISHARES MSCI GERMANY INDEX FUND					Buy	01/12/11	J		
249.					Buy	05/09/11	J		
250.	A	Dividend		T	Sold	08/02/11	J	A	
251. SECTOR SPDR ENERGY					Buy	03/31/11	J		
252.					Buy	05/09/11	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
...
R = Cost (Real Estate Only)
V = Other

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Carnes, Julie E.

Date of Report

05/1/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
253.	A	Dividend		T	Sold	10/04/11	J	A	
254. THORNBURG INVSMT INCOME BUILDER FUND CL I					Buy	10/31/11	K		
255.					Buy	11/01/11	K		
256.					Buy	11/03/11	K		
257.	D	Dividend	L	T	Buy	11/04/11	K		
258. POWERSHRES EXCHANGE TRAD FD TR II EMERGING MKTS					Buy	01/11/11	J		
259.					Buy	05/09/11	J		
260.	A	Dividend		T	Sold	08/02/11	J	A	
261. VANGUARD VALUE ETF (VTV)					Buy	11/14/11	K		
262.					Buy	11/17/11	J		
263.	A	Dividend	K	T	Buy	11/21/11	K		
264. YACKTMAN FUND					Buy	05/12/11	J		
265.	A	Dividend	K	T	Buy	06/03/11	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

I11 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Carnes, Julie E.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Carnes, Julie E.

Date of Report

05/11/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Julie E. Carnes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544