

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HART, WILLIAM T.	2. Court or Organization NORTHERN DISTRICT OF ILLINOIS	3. Date of Report 04/27/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 219 S. DEARBORN STREET ROOM 2246 CHICAGO, ILLINOIS 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. DIRECTOR	NORTHERN DISTRICT OF ILLINOIS COURT HISTORICAL ASSOCIATION, an Illinois not-for-profit corporation
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS — Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1 MORGAN STANLEY ("MS"); UBS FINANCIAL SERV., INC.		None	O	T					
2. ---Ford Motor Credit Co. (Ser. Note)					Redeemed	12/21/09	L	A	
3. ---General Motors AC B					Redeemed	06/15/09	L	A	
4. ---DRU, INC.					Buy	06/11/09	K		
5. ---Caterpillar FNL SVC					Buy	04/08/09	K		
6. ---Capital One Bank Glen Allen, VA CD					Redeemed	05/19/09	L	A	See Note 1
7. ---HSBC Fin. Corp.					Buy	07/21/09	L		
8. ---BBT Cap. T.					Buy	07/22/09	K		
9. ---GE Money Bank UT CD					Redeemed	11/06/09	L		
10. ---M&T Capital Trust									
11. ---PNC Capital Trust E									
12. ---Credit Suisse GU									
13. ---J.P. Morgan Chase									
14. ---American Express Credit									
15. ---Capital Jumbo CD									See Note 1
16. ---Flagstone Bank CD					Redeemed	11/02/09	L	A	
17. MORGAN STANLEY ACCOUNT: UBS FINANCIAL SERV., INC.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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18. ---British Petroleum	B	Dividend	K	T					
19. ---Duke Energy	A	Dividend	J	T					
20. ---Chi Bd. Ed. Bonds	B	Interest	L	T	Buy	06/03/09	L		
21. ---Bristol Meyers Squibb	B	Dividend	K	T					
22. ---General Electric	A	Dividend	J	T					
23. ---Sunrise W & G	A	Interest	J	T					See Note 2
24. ---Hudson GO Purp B	B	Interest	K	T					
25. ---Luzerne, CO GO B	B	Interest	L	T					
26. ---Texas Cap. Bk. CD				T	Buy	03/13/09	L		
27. ---Texas Cap. Bk. CD	A	Interest			Redeemed	04/20/09	L	A	
28. ---Kansas CY CLGS	A	Interest	J	T					
29. ---DB Capital T.									See Note 3
30. ---Credit Suisse	A	Dividend	J	T					
31. ---Citigroup	B	Dividend			Sold	04/16/09	K	A	
32. ---Deutsche BK Cap III	B	Dividend	K	T					See Note 3
33. ---UBS Bank USA	A	Interest	L	T		01/07/09			
34. STOFAN AGAZZI ACCT:									

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35. ---Ill. Rural Bk Bd Rev Bond	B	Interest	K	T					
36. ---Walgreen	A	Dividend	K	T					
37. ---Romeoville, IL GO	B	Interest	K	T					
38. ---Wash. St. Higher Ed. Fac Bond	B	Interest	K	T					
39. ---IM-IT Bond Fund 79 (Van Kampen)	A	Interest	J	T					
40. ---Ill. St. U. Rev. B	A	Interest	J	T					
41. ---Rancho Mirage CA B	A	Interest	J	T					
42. ---Harris Bank (checking)	A	Interest	K	T					
43. ---Federated Prime CS	A	Dividend	J	T	Deposits	2009	J		See Note 4
44. ---Mass. Mut. Life Ins. (Paid Up)		None	K	T					
45. ---The Clare Residency Deposit Account		None	O	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

NOTE 1.

Capital Jumbo CD on line 15 duplicates the investment reported on line 6 Capital One Bank Glen Allen, VA CD.

NOTE 2.

Only partial redemption in 2008.

NOTE 3.

DB Capital on line 29 duplicates the investment reported on line 32 Deutsche BK Cap III.

NOTE 4.

Cash sweeps during 2009.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544