

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Weis, Joseph F.	2. Court or Organization COURT OF APPEALS	3. Date of Report 5/5/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SR CIRCUIT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 5200 USPO AND COURTHOUSE 700 GRANT STREET PITTSBURGH, PA 15219-1906	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

RECEIVED
200 MAY 13 P 3:18
JUDICIAL
DISCLOSURE OFFICE

Weis, Joseph F.

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Weis, Joseph F.

Date of Report

5/5/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT
Page 3 of 8

Name of Person Reporting
Weis, Joseph F.

Date of Report
5/5/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT
Page 4 of 8

Name of Person Reporting
Weis, Joseph F.

Date of Report
5/5/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab AMT Tax-Free Money Fund	A	Dividend	K	T	Sold (part)	10/29/09	L	A	
2. Artisan Mid Cap Mutual Fund	A	Dividend	K	T	Buy (add'l)	2/2/09	J		
3. (continuation)		None			Sold (part)	4/6/09	J	A	
4. (continuation)		None			Sold (part)	6/17/09	J	A	
5. Clipper Mutual Fund	A	Dividend	K	T	Buy (add'l)	4/6/09	J		
6. FMI Large Cap Mutual Fund	A	Dividend	K	T	Buy (add'l)	2/2/09	J		
7. FPA Capital Mutual Fund	A	Dividend	K	T	Buy (add'l)	4/6/09	J		
8. First Eagle Overseas A Mutual Fund	A	Dividend	J	T	Buy	2/2/09	J		
9. (continuation)		None			Buy (add'l)	4/6/09	J		
10. Harbor International Institutional Mutual Fund	A	Dividend	L	T	Buy (add'l)	1/14/09	J		
11. (continuation)		None			Sold (part)	9/25/09	J	D	
12. Longleaf Partners Mutual Fund	A	Dividend	K	T	Buy (add'l)	1/14/09	J		
13. (continuation)		None			Sold (part)	8/24/09	J	A	
14. Longleaf Partners Small-Cap Mutual Fund	A	Dividend	K	T	Buy (add'l)	1/14/09	J		
15. (continuation)		None			Sold (part)	12/21/09	J	A	
16. Oakmark International Mutual Fund	A	Dividend	K	T	Buy (add'l)	2/2/09	J		
17. (continuation)		None			Sold (part)	6/17/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Weis, Joseph F.

Date of Report

5/5/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Oakmark Select Mutual Fund	A	Dividend	K	T	Buy (add'l)	4/6/09	J		
19. (continuation)		None			Sold (part)	12/21/09	J	A	
20. Selected American Shares S Mutual Fund	A	Dividend	L	T	Buy (add'l)	3/11/09	J		
21. TCW Select Equities Mutual Fund	A	Dividend	J	T	Sold (part)	7/20/09	J	A	
22. Thornburg International Value A Mutual Fund	A	Dividend	K	T	Buy (add'l)	3/11/09	J		
23. (continuation)		None			Buy (add'l)	4/6/09	J		
24. Thornburg International Value I Mutual Fund	A	Dividend	J	T					
25. Vanguard Energy Mutual Fund	A	Dividend	K	T	Sold (part)	12/21/09	J	C	
26. Westport Select Cap R Mutual Fund	A	Dividend	K	T	Buy (add'l)	3/11/09	J		
27. (continuation)		None			Sold (part)	9/25/09	J	A	
28. FPA New Income Mutual Fund	A	Dividend	K	T	Buy	7/2/09	K		
29. Loomis Sayles Bond I Mutual Fund	D	Dividend	L	T	Sold (part)	12/21/09	K	A	
30. Vanguard Intermediate Term Tax-Exempt Mutual Fund	A	Dividend			Sold (part)	2/20/09	K	A	
31. (continuation)		None			Sold	4/6/09	K	B	
32. Vanguard Intermediate-Term Tax-Exempt Admiral Mutual Fund	D	Dividend	N	T					
33. Vanguard Short-Term Tax-Exempt Admiral Mutual Fund	B	Dividend	L	T	Sold (part)	11/2/09	K	A	
34. (continuation)		None			Sold (part)	12/21/09	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Weis, Joseph F.

Date of Report

5/5/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Schwab Money Fund (IRA)	A	Dividend	J	T					
36. Oakmark Select Mutual Fund (IRA)	A	Dividend	J	T					
37. TCW Select Equities N Mutual Fund (IRA)	A	Dividend	J	T					
38. Thornburg International Value I Mutual Fund (IRA)	A	Dividend	K	T					
39. Westport Select Cap R Mutual Fund (IRA)	A	Dividend	J	T					
40. FPA New Income Mutual Fund (IRA)	A	Dividend	J	T					
41. Loomis Sayles Bond R Mutual Fund (IRA)	B	Dividend	K	T					
42. PIMCO Low Duration Mutual Fund (IRA)	A	Dividend	J	T					
43. Mellon Bank Account	A	Interest	K	T					
44. Mellon Bank CD	A	Interest	J	T					
45. National City Checking	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 7 of 8

Name of Person Reporting
Weis, Joseph F.

Date of Report
5/5/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Items 1-42 in Part VII are held in accounts at Charles Schwab & Co.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Weis, Joseph F.

Date of Report

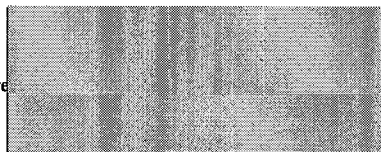
5/5/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544