

UNITED STATES COURT OF APPEALS

SECOND CIRCUIT

UNITED STATES COURTHOUSE
225 CADMAN PLAZA EAST
BROOKLYN, NEW YORK 11201

August 6, 2008

REENA RAGGI
United States Circuit Judge

Hon. Ortrie D. Smith
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N. E.
Washington, D.C. 20544

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CLERK'S OFFICE

Re: 2007 Financial Disclosure Report

Dear Judge Smith:

In response to your letter of July 9, 2008, asking for clarification as to the current status of two assets, "U.S. Treasury Strips," and "Citigroup Global Markets, Inc. Account No. 3D," I no longer own these assets. I am transmitting three copies of an amended report indicating this status at Part VII, page 5, lines 19 and 20, as I expect it will make future record review easier at both ends to have a single document reflect my 2007 filing.

Thank you.

Very truly yours,



Reena Raggi

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) RAGGI, REENA	2. Court or Organization U.S. COURT OF APPEALS, 2ND CIR	3. Date of Report 05/05/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES CIRCUIT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address U.S. COURTHOUSE 225 CADMAN PLAZA EAST BROOKLYN, NY 11201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. MEMBER, JUDICIAL ADVISORY BOARD	GEORGE MASON SCHOOL OF LAW, CENTER FOR LAW AND ECONOMICS
2. MEMBER, PARISH COUNCIL	CHURCH OF THE ASSUMPTION, BROOKLYN NEW YORK
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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SECOND CIRCUIT

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

RAGGI, REENA

Date of Report

05/05/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	YALE LAW SCHOOL	DECEMBER 10, 2007	NEW HAVEN	MOOT COURT	HOTEL AND MEALS
2.					
3.					
4.					
5.					

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Name of Person Reporting

RAGGI, REENA

Date of Report

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

RAGGI, REENA

Date of Report

05/05/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CITIBANK PREFERRED MONEY MARKET ACCOUNT	A	Interest	J	T					
2. CITIBANK SAVINGS ACCOUNT	A	Interest	J	T					
3. CITIBANK CHECKING ACCOUNT	A	Interest	J	T					
4. CITI NEW YORK TAX FREE RESERVE MMF - R	D	Interest	M	T					
5. CITI NEW YORK TAX FREE RESERVE MMF - ●	B	Interest	L	T					
6. CITIGROUP GLOBAL MKTS INC ACCOUNT NO.1 - R									
7. -FEDERAL HOME LOAN BANK CONS BONDS	D	Interest			REDEMPTION	11/27	M	A	
8. -FEDERAL FARM CREDIT BANK CONS BONDS	D	Interest	L	T	REDEEM PART	10/02	L	A	
9. -NEW YORK CITY HLTH & HOSP CRP TAX EXEMPT MUNI BONDS	C	Interest			REDEMPTION	10/30	L	A	
10. -NEW YORK CITY GENL OBLIG TAX EXEMPT OID		None							
11. -U.S. TREASURY NOTES SER R 2001 DTD 12/31/2006	D	Interest	O	T	BUY	11/09	O		
12. -FEDERAL HOME LOAN BANK CONS BOND DTD 05/18/2005	A	Interest	M	T	BUY	10/24	M		
13. -FEDERAL HOME LOAN BANK CONS BONDS DTD 01/29/03		None	M	T	BUY	10/24	M		
14. -NEW YORK ST URBAN DEV CORP REV RFDG		None	M	T	BUY	11/09	M		
15. CITIGROUP GLOBAL MKTS INC ACCOUNT NO.2 - ●									
16. -FEDERAL NATL MTG MEDIUM TERM NOTE	D	Interest	M	T					
17. -VARIOUS NY STATE AND NY CITY TAX EXEMPT MUNI BONDS	D	Interest			REDEMPTION	11/28	M	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$250,000.01 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

RAGGI, REENA

Date of Report

05/05/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -NY STATE DORM AUTH REVS ST TAX EXEMPT OID	C	Interest	K	T	PART REDEEM	10/30	M	A	
19. -U.S. TREASURY STRIPS		None			CLOSED				
20. CITIGROUP GLOBAL MKTS INC ACCOUNT NO.3 -					CLOSED				
21. GREENWICH ST.EMPLOYEES FUND	A	Int./Div.			CLOSED	02/05	J		
22. NY COLLEGE SAVINGS ACCT -		None			CLOSED	01/31	J		
23. NY COLLEGE SAVINGS ACCT - R		None			CLOSED	01/31	J		
24. KEOGH PLAN - MERRILL LYNCH-D	B	Interest	M	T					
25. CITIGROUP 401K PLAN									
26. -STANDISH MELLON STABLE VALUE	A	Int./Div.	L	T					
27. -RUSSELL 3000 INDEX	C	Int./Div.	N	T					
28. CITIBANK IRA MONEY MARKET ACCOUNT-R	B	Interest	M	T					
29. CHARLES SCHWAB MMF IRA ACCOUNT -		None			CLOSED	11/27	L		
30. CHARLES SCHWAB & CO INC CUST IRA ROLLOVER		None	L	T	OPENED	11/27	L		
31. VANGUARD NEW YORK TAX-EXEMPT MMF	D	Interest	O	T	OPENED	09/27	O		
32.									
33.									
34.									

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

RAGGI, REENA

Date of Report

05/05/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.									
36.									
37.									
38.									
39.									
40.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

RAGGI, REENA

Date of Report

05/05/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

- 1) PART VII, PAGE 5, LINE 21: THIS ASSET WAS TRANSFERRED TO THE ESTATE [REDACTED] ON FEBRUARY 5, 2007.
- 2) PART VII, PAGE 5, LINE 26: THIS ASSET WAS FORMERLY KNOW AS STABLE VALUE FUNDS.
- 3) PART VII, PAGE 5, LINE 27: THIS ASSET WAS FORMERLY KNOW AS SALOMON CAPITAL FUND.
- 4) PART VII, PAGE 5, LINE 30: THIS ASSET REPRESENTS FUNDS FROM A DIRECT ROLLOVER OF AN IRA ACCOUNT OWNED BY [REDACTED] (DEC 02/05/2007).
- 5) PART VII, PAGE 5, LINE 31: THIS ASSET ACCOUNT WAS OPENED ON 09/27/2007 FROM THE PROCEEDS OF A LIFE INSURANCE POLICY ON [REDACTED] (DEC 02/05/2007).
- 6) PART VII, PAGE 5, LINE 20: THIS ACCOUNT WAS CLOSED ON JULT 5, 2006.
- 7) PART VII, PAGE 5, LINE 19: THESE ASSETS WERE REDEMED ON NOVEMBER 15, 2006

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

RAGGI, REENA

Date of Report

5/05/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) RAGGI, REENA	2. Court or Organization U.S. COURT OF APPEALS, 2ND CIR	3. Date of Report 05/05/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES CIRCUIT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address U.S. COURTHOUSE 225 CADMAN PLAZA EAST BROOKLYN, NY 11201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. MEMBER, JUDICIAL ADVISORY BOARD	GEORGE MASON SCHOOL OF LAW, CENTER FOR LAW AND ECONOMICS
2. MEMBER, PARISH COUNCIL	CHURCH OF THE ASSUMPTION, BROOKLYN NEW YORK
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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Name of Person Reporting

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Date of Report

05/05/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	YALE LAW SCHOOL	DECEMBER 10, 2007	NEW HAVEN	MOOT COURT	HOTEL AND MEALS
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

RAGGI, REENA

Date of Report

05/05/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

RAGGI, REENA

Date of Report

05/05/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CITIBANK PREFERRED MONEY MARKET ACCOUNT	A	Interest	J	T					
2. CITIBANK SAVINGS ACCOUNT	A	Interest	J	T					
3. CITIBANK CHECKING ACCOUNT	A	Interest	J	T					
4. CITI NEW YORK TAX FREE RESERVE MMF - R	D	Interest	M	T					
5. CITI NEW YORK TAX FREE RESERVE MMF -	B	Interest	L	T					
6. CITIGROUP GLOBAL MKTS INC ACCOUNT NO.1 - R									
7. -FEDERAL HOME LOAN BANK CONS BONDS	D	Interest			REDEMPTION	11/27	M	A	
8. -FEDERAL FARM CREDIT BANK CONS BONDS	D	Interest	L	T	REDEEM PART	10/02	L	A	
9. -NEW YORK CITY HLTH & HOSP CRP TAX EXEMPT MUNI BONDS	C	Interest			REDEMPTION	10/30	L	A	
10. -NEW YORK CITY GENL OBLIG TAX EXEMPT OID		None							
11. -U.S. TREASURY NOTES SER R 2001 DTD 12/31/2006	D	Interest	O	T	BUY	11/09	O		
12. -FEDERAL HOME LOAN BANK CONS BOND DTD 05/18/2005	A	Interest	M	T	BUY	10/24	M		
13. -FEDERAL HOME LOAN BANK CONS BONDS DTD 01/29/03		None	M	T	BUY	10/24	M		
14. -NEW YORK ST URBAN DEV CORP REV RFDG		None	M	T	BUY	11/09	M		
15. CITIGROUP GLOBAL MKTS INC ACCOUNT NO.2 -									
16. -FEDERAL NATL MTG MEDIUM TERM NOTE	D	Interest	M	T					
17. -VARIOUS NY STATE AND NY CITY TAX EXEMPT MUNI BONDS	D	Interest			REDEMPTION	11/28	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -NY STATE DORM AUTH REVS ST TAX EXEMPT OID	C	Interest	K	T	PART REDEEM	10/30	M	A	
19. -U.S. TREASURY STRIPS		None							
20. CITIGROUP GLOBAL MKTS INC ACCOUNT NO.3 -									
21. GREENWICH ST.EMPLOYEES FUND	A	Int./Div.			CLOSED	02/05	J		
22. NY COLLEGE SAVINGS ACCT -		None			CLOSED	01/31	J		
23. NY COLLEGE SAVINGS ACCT - R		None			CLOSED	01/31	J		
24. KEOGH PLAN - MERRILL LYNCH	B	Interest	M	T					
25. CITIGROUP 401K PLAN									
26. -STANDISH MELLON STABLE VALUE	A	Int./Div.	L	T					
27. -RUSSELL 3000 INDEX	C	Int./Div.	N	T					
28. CITIBANK IRA MONEY MARKET ACCOUNT-R	B	Interest	M	T					
29. CHARLES SCHWAB MMF IRA ACCOUNT -		None			CLOSED	11/27	L		
30. CHARLES SCHWAB & CO INC CUST IRA ROLLOVER		None	L	T	OPENED	11/27	L		
31. VANGUARD NEW YORK TAX-EXEMPT MMF	D	Interest	O	T	OPENED	09/27	O		
32.									
33.									
34.									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

?2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

RAGGI, REENA

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.									
36.									
37.									
38.									
39.									
40.									

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000
H2 =More than \$5,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000

M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q =Appraisal
U =Book Value

R =Cost (Real Estate Only)
V =Other

S =Assessment
W =Estimated

T =Cash Market

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RAGGI, REENA

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

- 1) PART VII, PAGE 5, LINE 21: THIS ASSET WAS TRANSFERRED TO THE ESTATE OF [REDACTED] ON FEBRUARY 5, 2007.
- 2) PART VII, PAGE 5, LINE 26: THIS ASSET WAS FORMERLY KNOW AS STABLE VALUE FUNDS.
- 3) PART VII, PAGE 5, LINE 27: THIS ASSET WAS FORMERLY KNOW AS SALOMON CAPITAL FUND.
- 4) PART VII, PAGE 5, LINE 30: THIS ASSET REPRESENTS FUNDS FROM A DIRECT ROLLOVER OF AN IRA ACCOUNT OWNED BY [REDACTED].
[REDACTED] (DEC 02/05/2007).
- 5) PART VII, PAGE 5, LINE 31: THIS ASSET ACCOUNT WAS OPENED ON 09/27/2007 FROM THE PROCEEDS OF A LIFE INSURANCE POLICY ON
[REDACTED] (DEC 02/05/2007).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



5/7/08

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544