

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Duncan, Allyson K.	2. Court or Organization US Court of Appeals, 4th Circuit	3. Date of Report 05/05/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Glenlake One Building 1440 Parklake Avenue, Suite 250 Raleigh, NC 27612		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	State Employees Retirement System of NC	\$17,968.00
2. 2016	Consolidated Judicial Retirement System of NC	\$2,564.00
3. 2016	World Learning Inc.	\$700.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	National Center for State Courts - Wages
2. 2016	Self-Employed Attorney
3. 2016	Ameriprise Retirement
4. 2016	Prudential Retirement

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. North State Bancorp COM		None			Sold	02/02/16	M	G	
2. Ameriprise MM	A	Interest	J	T					
3. Riversource Life Insurance Co-Immediate Annuity		None	K	W					
4. Ameriprise Brokerage Acct #1									
5. -Ameriprise MM	A	Interest	J	T					
6. -ETAGX		None			Sold	01/13/16	K	D	
7. -AGG	B	Dividend	K	T	Buy (add'l)	02/10/16	K		
8. -AGG					Sold (part)	01/13/16	K	A	
9. -AGG					Sold (part)	04/20/16	J	A	
10. -AGG					Sold (part)	07/25/16	K	A	
11. -AGG					Sold (part)	11/09/16	J	A	
12. -BNDX		None			Sold	01/13/16	K	B	
13. -CSJ	A	Dividend	J	T	Buy	01/13/16	K		
14. -CSJ					Buy (add'l)	02/10/16	J		
15. -CSJ					Sold (part)	04/20/16	J	A	
16. -CSJ					Sold (part)	07/25/16	J	A	
17. -CSJ					Sold (part)	11/09/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 I ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -EEMV	A	Dividend	K	T	Buy (add'l)	04/20/16	J		
19. -EEMV					Buy (add'l)	07/25/16	J		
20. -EEMV					Sold (part)	01/13/16	J	A	
21. -EEMV					Sold (part)	02/10/16	J	A	
22. -EEMV					Sold (part)	11/09/16	J	A	
23. -IEF	A	Dividend			Buy	02/10/16	K		
24. -IEF					Sold (part)	04/20/16	K	A	
25. -IEF					Sold (part)	07/25/16	J	A	
26. -IEF					Sold	11/09/16	J	A	
27. -IYR	A	Dividend			Buy	07/25/16	J		
28. -IYR					Sold (part)	01/13/16	J	A	
29. -IYR					Sold (part)	02/10/16	J	A	
30. -IYR					Sold (part)	04/20/16	J	A	
31. -IYR					Sold	11/09/16	K	A	
32. -SPLV	B	Dividend	L	T	Buy (add'l)	04/20/16	J		
33. -SPLV					Buy (add'l)	07/25/16	K		
34. -SPLV					Buy (add'l)	11/09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -SPLV					Sold (part)	01/13/16	J	B	
36. -SPLV					Sold (part)	02/10/16	J	B	
37. -VTI	A	Dividend	K	T	Buy (add'l)	01/13/16	J		
38. -VTI					Buy (add'l)	04/20/16	J		
39. -VTI					Buy (add'l)	07/25/16	J		
40. -VTI					Sold (part)	02/10/16	J	A	
41. -VTI					Sold (part)	11/09/16	J	A	
42. -IJR	A	Dividend	L	T	Buy (add'l)	04/20/16	J		
43. -IJR					Buy (add'l)	07/25/16	K		
44. -IJR					Buy (add'l)	11/09/16	J		
45. -IJR					Sold (part)	01/13/16	J	A	
46. -IJR					Sold (part)	02/10/16	J	A	
47. -VEA	B	Dividend	K	T	Buy (add'l)	01/13/16	K		
48. -VEA					Buy (add'l)	04/20/16	J		
49. -VEA					Sold (part)	02/10/16	K	A	
50. -VEA					Sold (part)	07/25/16	J	A	
51. -VEA					Sold (part)	11/09/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -VGT		None			Sold	01/08/16	K	A	
53. -XLY		None			Sold	01/08/16	J	A	
54. -IJJ	A	Dividend	K	T	Buy	11/28/16	K		
55. -PCY	A	Dividend	J	T	Buy	01/13/16	J		
56. -PCY					Buy (add'l)	02/10/16	J		
57. -PCY					Buy (add'l)	11/09/16	J		
58. -PCY					Sold (part)	04/20/16	J	A	
59. -PCY					Sold (part)	07/25/16	J	A	
60. -JNK	A	Dividend	K	T	Buy	01/13/16	J		
61. -JNK					Buy (add'l)	02/10/16	J		
62. -JNK					Buy (add'l)	07/25/16	J		
63. -JNK					Buy (add'l)	11/09/16	J		
64. -JNK					Sold (part)	04/20/16	K	A	
65. -XLE	A	Dividend	K	T	Buy	04/25/16	K		
66. -XLE					Buy (add'l)	07/25/16	J		
67. -XLE					Buy (add'l)	11/09/16	J		
68. -XLF	A	Dividend	K	T	Buy	11/09/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. -VGSH	A	Dividend			Buy	02/10/16	K		
70. -VGSH					Sold (part)	04/20/16	J	A	
71. -VGSH					Sold	07/25/16	J	A	
72. -DJP		None	J	T	Buy	10/24/16	J		
73. -DJP					Buy (add'l)	11/09/16	J		
74. -IAU		None			Buy	02/10/16	K		
75. -IAU					Buy (add'l)	04/20/16	J		
76. -IAU					Buy (add'l)	11/09/16	J		
77. -IAU					Sold (part)	07/25/16	J	A	
78. -IAU					Sold	11/28/16	K	A	
79. -USCI		None			Buy	07/25/16	J		
80. -USCI					Sold	10/24/16	J	A	
81. -IRA #1									
82. -AGG	B	Dividend	K	T	Buy (add'l)	02/10/16	K		
83. -AGG					Sold (part)	01/13/16	K	A	
84. -AGG					Sold (part)	04/20/16	J	A	
85. -AGG					Sold (part)	07/25/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. -AGG					Sold (part)	11/09/16	J	A	
87. -CSJ	A	Dividend	J	T	Buy	01/13/16	K		
88. -CSJ					Buy (add'l)	02/10/16	K		
89. -CSJ					Sold (part)	04/20/16	J	A	
90. -CSJ					Sold (part)	07/25/16	J	A	
91. -CSJ					Sold (part)	11/09/16	K	A	
92. -EEMV	A	Dividend	K	T	Buy (add'l)	04/20/16	J		
93. -EEMV					Buy (add'l)	07/25/16	J		
94. -EEMV					Sold (part)	01/13/16	J	A	
95. -EEMV					Sold (part)	02/10/16	J	A	
96. -EEMV					Sold (part)	11/09/16	J	A	
97. -IEF	A	Dividend			Buy	02/10/16	K		
98. -IEF					Sold (part)	04/20/16	K	A	
99. -IEF					Sold (part)	07/25/16	J	A	
100. -IEF					Sold	11/09/16	J	A	
101. -IYR	A	Dividend			Buy (add'l)	07/25/16	J		
102. -IYR					Sold (part)	01/13/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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103. -IYR					Sold (part)	02/10/16	J	A	
104. -IYR					Sold (part)	04/20/16	J	A	
105. -IYR					Sold	11/09/16	K	A	
106. -SPLV	B	Dividend	L	T	Buy (add'l)	04/20/16	J		
107. -SPLV					Buy (add'l)	07/25/16	K		
108. -SPLV					Buy (add'l)	11/09/16	J		
109. -SPLV					Sold (part)	01/13/16	K	B	
110. -SPLV					Sold (part)	02/10/16	J	B	
111. -VTI	B	Dividend	L	T	Buy (add'l)	01/13/16	J		
112. -VTI					Buy (add'l)	04/20/16	J		
113. -VTI					Buy (add'l)	07/25/16	J		
114. -VTI					Sold (part)	02/10/16	J	A	
115. -VTI					Sold (part)	11/09/16	J	A	
116. -BNDX		None			Sold	01/13/16	K	A	
117. -IJR	A	Dividend	L	T	Buy (add'l)	04/20/16	J		
118. -IJR					Buy (add'l)	07/25/16	K		
119. -IJR					Buy (add'l)	11/09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -IJR					Sold (part)	01/13/16	K	A	
121. -IJR					Sold (part)	02/10/16	J	A	
122. -VEA	B	Dividend	K	T	Buy (add'l)	01/13/16	K		
123. -VEA					Buy (add'l)	04/20/16	J		
124. -VEA					Sold (part)	02/10/16	K	A	
125. -VEA					Sold (part)	07/25/16	K	A	
126. -VEA					Sold (part)	11/09/16	J	A	
127. -VGT		None			Sold	01/08/16	K	A	
128. -XLY		None			Sold	01/08/16	J	A	
129. -IJJ	A	Dividend	K	T	Buy	11/28/16	K		
130. -JNK	A	Dividend	K	T	Buy	01/13/16	K		
131. -JNK					Buy (add'l)	02/10/16	J		
132. -JNK					Buy (add'l)	07/25/16	J		
133. -JNK					Buy (add'l)	11/09/16	J		
134. -JNK					Sold (part)	04/20/16	K	B	
135. -PCY	A	Dividend	J	T	Buy	01/13/16	J		
136. -PCY					Buy (add'l)	02/10/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -PCY					Buy (add'l)	11/09/16	J		
138. -PCY					Sold (part)	04/20/16	J	A	
139. -PCY					Sold (part)	07/25/16	J	B	
140. -VGSH	A	Dividend			Buy	02/10/16	K		
141. -VGSH					Sold (part)	04/20/16	J	A	
142. -VGSH					Sold	07/25/16	J	A	
143. -XLE	A	Dividend	K	T	Buy	04/25/16	K		
144. -XLE					Buy (add'l)	07/25/16	J		
145. -XLE					Buy (add'l)	11/09/16	J		
146. -XLF	A	Dividend	K	T	Buy	11/09/16	K		
147. -DJP		None	J	T	Buy	10/24/16	J		
148. -DJP					Buy (add'l)	11/09/16	J		
149. -IAU		None			Buy	02/10/16	K		
150. -IAU					Buy (add'l)	04/20/16	J		
151. -IAU					Buy (add'l)	11/09/16	J		
152. -IAU					Sold (part)	07/25/16	J	A	
153. -IAU					Sold	11/28/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -USCI		None			Buy	07/25/16	J		
155. -USCI					Sold	10/24/16	J	A	
156. Dreyfus Cash Management	A	Dividend	J	T					
157. AFLAC Common	A	Dividend	K	T					
158. Dupree NC Tax Free Short to Medium Series	B	Dividend	M	T					
159. North State Bank Accounts	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Allyson K. Duncan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544