

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dow, Jr., Robert M.	2. Court or Organization U.S. District Court, Northern District of Illinois	3. Date of Report 05/13/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge -- Active Status	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address E.M. Dirksen U.S. Courthouse 219 South Dearborn Street, Suite 1978 Chicago, IL 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☒ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1. _____
2. _____
3. _____

Dow, Jr., Robert M.

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Dow, Jr., Robert M.

Date of Report

05/13/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
-------------	------------------------	--

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
-------------	------------------------

1. 2010	Bailey & Glasser LLP -- salary as attorney at law firm
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
---------------	--------------	-----------------	----------------	-------------------------------

1. Practicing Law Institute	5/23/10 to 5/24/10	New York, NY	CLE program on international arbitration; served as moot court judge	Transportation, meals, hotel
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Dow, Jr., Robert M.

Date of Report

05/13/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Chase Bank	credit card	K
2. Target	credit card	J
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Dow, Jr., Robert M.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northern Trust Bank accounts	A	Interest	J	T					
2. Northern Trust Bank IRA (CD)	A	Interest	J	T					
3. Prudential Individual Retirement Annuity									
4. -- AST Academic Strategies Asset Allocation		None	M	T	Sold (part)	05/20/10	K		
5.					Buy (add'l)	06/17/10	K		
6.					Sold (part)	06/29/10	K		
7.					Buy (add'l)	07/15/10	K		
8.					Buy (add'l)	09/20/10	L		
9. -- AST First Trust Cap Appr Target Portfolio		None	O	T	Sold (part)	05/20/10	M		
10.					Buy (add'l)	06/17/10	L		
11.					Sold (part)	06/29/10	M		
12.					Buy (add'l)	07/15/10	L		
13.					Buy (add'l)	09/20/10	L		
14. -- AST Bond Portfolio 2020 (X)		None	K	T	Buy	05/20/10	M		
15.					Sold (part)	06/17/10	L		
16.					Buy (add'l)	06/29/10	M		
17.					Sold (part)	07/15/10	L		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A=\$1,000 or less

F=\$50,001 - \$100,000

J=\$15,000 or less

N=\$250,001 - \$500,000

P3=\$25,000,001 - \$50,000,000

Q=Appraisal

U=Book Value

B=\$1,001 - \$2,500

G=\$100,001 - \$1,000,000

K=\$15,001 - \$50,000

O=\$500,001 - \$1,000,000

R=Cost (Real Estate Only)

V=Other

C=\$2,501 - \$5,000

H1=\$1,000,001 - \$5,000,000

L=\$50,001 - \$100,000

P1=\$1,000,001 - \$5,000,000

P4=More than \$50,000,000

S=Assessment

W=Estimated

D=\$5,001 - \$15,000

H2=More than \$5,000,000

M=\$100,001 - \$250,000

P2=\$5,000,001 - \$25,000,000

T=Cash Market

E=\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Dow, Jr., Robert M.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	09/20/10	M		
19. Harris Bank accounts	A	Interest	J	T					
20. GCG Mutual Fund									
21. -- American Funds Euro Pacific Growth	A	Dividend	J	T					
22. -- American Funds Fundamental Investors	A	Dividend	J	T					
23. -- American Funds Small Cap World Fund	A	Dividend	J	T					
24. -- American Funds Bond Fund of America	A	Dividend	J	T					
25. -- American Funds Growth Fund of America	A	Dividend	J	T					
26. GCG 529 Fund #1									
27. -- EuroPacific Growth Fund 529A	A	Dividend	J	T					
28. -- American Funds New Economy Fund	A	Dividend	J	T					
29. GCG 529 Fund #2									
30. -- American Funds Capital World G/I 529A	A	Dividend	J	T					
31. -- American Funds Growth Fund of America 529A	A	Dividend	J	T					
32. GCG 529 Fund #3									
33. -- New Perspective Fund 529A	A	Dividend	J	T					
34. -- American Funds Fundamental Investors 529A	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimat

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Dow, Jr., Robert M.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. GCG Fund #4									
36. -- American Funds SmallCap World Fund 529A	A	Dividend	J	T					
37. -- American Funds Capital Income Builder 529A	A	Dividend	J	T					
38. Podesta HSA Account									
39. -- I Shares Dow Jones Select Dividend Index Fund	A	Dividend	J	T					
40. -- I Shares TR S&P 500 Index Fund	A	Dividend	J	T					
41. First Community Bank Common Stock		None	J	T					
42. Sauk Valley Community Bank Common Stock	A	Dividend	J	T					
43. MSJI, Inc. Common Stock		None	K	T					
44. Minnesota Life: Variable Adjustable Life Insurance	A	Interest	J	T					
45. Travelers: Variable Life Policy	A	Interest	J	T					
46. Northwestern Mutual Life Policy	A	Dividend	J	T					
47. MetLife: Universal Life Policy	A	Interest	J	T					
48. Buffalo Jayhawk China Fund		None	J	T					
49. Buffalo International Fund	A	Dividend	K	T	Buy (add'l)	12/20/10	J		
50. Buffalo Balanced Fund	A	Dividend	K	T	Buy (add'l)	03/18/10	J		
51.					Buy (add'l)	6/18/10	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Dow, Jr., Robert M.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	06/24/10	J		
53.					Buy (add'l)	09/20/10	J		
54.					Buy (add'l)	12/20/10	J		
55. Buffalo Small Cap Fund		None	K	T					
56. Buffalo Science & Technology Fund		None	K	T	Buy (add'l)	12/20/10	J		
57. Buffalo Large Cap Fund	A	Dividend	K	T	Buy (add'l)	12/20/10	J		
58. Buffalo USA Growth Fund	A	Dividend	K	T	Buy (add'l)	12/20/10	J		
59.					Buy (add'l)	12/20/10	J		
60. Northern Inst Tax-Exempt		None	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Dow, Jr., Robert M.

Date of Report

05/13/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Lines 3, 20, 26, 29, 32, 35, and 38 are headers for various funds -- the individual holdings of each fund, values, and transactions are reported in the lines below each header.
2. As noted in my 2009 report, all of the stock assets of a [REDACTED] investment partnership in which [REDACTED] was a limited partner (Hoskins Group LLP) were divested in March 2009. Because each of the final dispositions was noted on the 2009 report, it is my understanding that those assets no longer need to be listed on the 2010 report. In other words, the omission of those assets from the 2010 report is explained by the final disposition of the assets reported on the 2009 report.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Dow, Jr., Robert M.

Date of Report

05/13/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert M. Dow, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544