

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Henderoson, Karen L.	2. Court or Organization US Circuit Court of Appeals, District of Columbia Circuit	3. Date of Report 09/05/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address US Courthouse, Room 3118 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank Account		None	K	T					
2. Suntrust Bank Checking Account	A	Interest	K	T					
3. Suntrust Bank Investment Account Contains:									
4. **Suntrust Investment Sweep Account	A	Dividend	M	T					
5. Allis Chalmers Energy Inc.: Common		None	J	W					
6. Morgan Stanley Account Contains:									
7. **Morgan Stanley Cash Accounts	A	Interest	K	T					
8. **Morgan Stanley Money Market Fund	A	Dividend	K	T					
9. **AT&T Inc.: Common	B	Dividend	K	T					
10. **Alcatel-Lucent: Common		None			Sold	06/26/16	J	A	
11. **NCR Corp: Common		None	J	T					
12. **Teradat Corp: Common		None	J	T					
13. **International Business Machines: Common	A	Dividend	J	T					
14. IRA: Morgan Stanley Contains:									
15. **AT&T Inc: Common	C	Dividend	L	T					
16. **Citigroup Inc.: Common	A	Dividend	J	T					
17. **Alcatel-Lucent: Common		None			Sold	06/26/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. **Intl Business Machines Corp.: Common	C	Dividend	L	T					
19. **NCR Corp.: Common		None	J	T					
20. **Teradata Corp: Common		None	J	T					
21. **Morgan Stanley Cash Accounts	A	Interest	M	T					
22. **Traveler's Companies: Common	A	Dividend	J	T					
23. Merrill Lynch Account Contains:									
24. **Merrill Lynch Cash Accounts	A	Interest	M	T					
25. Merrill Lynch IRA Contains:									
26. **AT&T, Inc.: Common	B	Dividend	K	T					
27. **Alcatel-Lucent: Common		None			Sold	06/02/16	J	A	
28. **Marathon Oil Corp: Common	A	Dividend	L	T					
29. **Marathon Petroleum: Common	C	Dividend	M	T					
30. **Merrill Lynch Cash Accounts	A	Interest	K	T					
31. Wells Fargo Rollover IRA Contains:									
32. **Wells Fargo PT Money Market Ftrnd A	A	Interest	O	T					
33. Stanley Black & Decker Corp.: Common	B	Dividend	L	T					
34. Bank America Corp.: Common	D	Dividend	O	T					

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35. The Boeing Co.: Common	E	Dividend	O	T					
36. Campbell Soup Co.: Common	D	Dividend	N	T					
37. Colgate-Palmolive Co.: Common	E	Dividend	P1	T					
38. General Electric Co.: Common	E	Dividend	P1	T					
39. The Goodyear Tire & Rubber Co.: Common	A	Dividend	L	T					
40. IBM Corp.: Common	D	Dividend	N	T					
41. Exxon-Mobil Corp.: Common	E	Dividend	O	T					
42. Kinder Morgan	B	Dividend	L	T					
43. Pfizer Co.: Common	D	Dividend	N	T					
44. Marathon Group: Common	B	Dividend	M	T					
45. Marathon Petroleum: Common	D	Dividend	N	T					
46. Monsanto: Common	D	Dividend	N	T					
47. Chevron Corporation: Common	E	Dividend	N	T					
48. Weyerhaeuser Co.: Common	D	Dividend	M	T					
49. US Steel Group: Common	A	Dividend	J	T					
50. Allstate: Common	A	Dividend	K	T					
51. Delhaize Group Spons: Common		None			Merged (with line 164)	07/24/16	K		

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52. Discover Financial Services: Common	A	Dividend	K	T					
53. Federal Signal Corp: Common	A	Dividend	L	T					
54. Halliburton Co.: Common	A	Dividend	K	T					
55. Morgan Stanley: Common	A	Dividend	K	T					
56. 21st Century Fox	A	Dividend	J	T					
57. Royal Dutch Shell: Common	F	Dividend	O	T					
58. Schlumberger Ltd.: Common	D	Dividend	N	T					
59. Transocean Inc.: Common		None	J	T					
60. Valhi Inc.: Common	A	Dividend	J	T					
61. Total S.A.: Common Stock	A	Dividend	J	T					
62. Springtree Associates Partnership: 1% Interest (Columbia, SC)	A	Rent			Sold	03/31/16	L	F	
63.	A	Interest							
64. Wells Fargo Bank Account	A	Interest	M	T					
65. First Citizens Bank Account	A	Interest	N	T					
66. NBSC Money Market Account	A	Interest	M	T					
67. BB&T Money Market Account	A	Interest	M	T					
68. South State Bank	A	Interest	M	T					

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69. TD Bank Money Market Account	A	Interest	M	T					
70. Minnesota Mutual Whole Life Insurance Policy	A	Dividend	M	T					
71. John Hancock Whole Life Insurance Policy	A	Dividend	M	T					
72. Merrill Lynch SEP Contains:									
73. **Merrill Lynch SEP Cash Accounts	A	Dividend	J	T					
74. **Chevron Corp: Common	D	Dividend	M	T					
75. Wells Fargo Bank Trust Contains:									
76. **Wells Fargo Cash accounts	A	Interest	J	T					
77. ** American Century Tax Free Bond	A	Dividend	K	T	Sold (part)	01/21/16	J	A	
78.					Buy (add'l)	04/25/16	J		
79.					Buy (add'l)	05/12/16	J		
80.					Sold (part)	08/24/16	J	A	
81.					Buy (add'l)	10/06/16	J		
82. ** AQR Managed Futures	A	Dividend	J	T	Sold (part)	01/21/16	J	A	
83.					Buy (add'l)	04/25/16	J		
84.					Buy (add'l)	08/26/16	J		
85. **Artisan Mid Cap Fund	A	Dividend	J	T	Buy (add'l)	04/25/16	J		

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86.					Sold (part)	08/24/16	J	A	
87. ** ASG Global Alternatives	A	Dividend	J	T	Buy (add'l)	04/25/16	J		
88.					Buy (add'l)	08/26/16	J		
89. **Credit Suisse Commodity		None	J	T	Sold (part)	08/24/16	J	A	
90.					Sold (part)	12/14/16	J	A	
91. **Dodge & Cox International Stock Fund	A	Dividend	J	T	Buy (add'l)	01/25/16	J		
92.	A	Distribution			Sold (part)	04/21/16	J	A	
93.					Sold (part)	05/12/16	J	A	
94.					Sold (part)	08/24/16	J	B	
95. **Dreyfus Tax Exempt	A	Interest	J	T					
96. **Eaton Vance Global	A	Dividend	J	T	Sold (part)	01/21/16	J	A	
97.					Buy (add'l)	08/26/16	J		
98. **Fidelity Emerging Markets	A	Dividend	J	T	Sold (part)	01/21/16	J	A	
99.	A	Distribution			Buy (add'l)	08/26/16	J		
100. **Harbor Capital Appreciation Fund	A	Dividend	K	T	Buy (add'l)	01/25/16	J		
101.	B	Distribution			Sold (part)	04/21/16	J	A	
102.					Buy (add'l)	08/26/16	J		

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103.					Buy (add'l)	10/04/16	J		
104.					Sold (part)	10/05/16	J	A	
105. **JP Morgan Mid Cap Value Fund	A	Dividend	J	T	Buy (add'l)	01/25/16	J		
106.	A	Distribution			Sold (part)	04/21/16	J	A	
107.					Sold (part)	08/24/16	J	A	
108. **The Merger Fund Class International		None	J	T	Buy (add'l)	08/26/16	J		
109. **MFS Value Fund	A	Dividend	K	T	Buy (add'l)	01/25/16	J		
110.	A	Distribution			Sold (part)	04/21/16	J	A	
111.					Buy (add'l)	08/26/16	J		
112. **Neuberger Berman Long Short Fund		None	J	T	Sold (part)	01/21/16	J	A	
113.					Sold (part)	08/26/16	J	A	
114. **Nuveen High Yield Muni	A	Dividend	J	T	Sold (part)	01/21/16	J	A	
115.					Buy (add'l)	04/28/16	J		
116.					Buy (add'l)	08/26/16	J		
117. **Nuveen International Duration	A	Dividend	J	T	Sold (part)	01/21/16	J	A	
118.	A	Distribution			Buy (add'l)	04/25/16	J		
119.					Buy (add'l)	05/12/16	J		

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120.					Sold (part)	08/24/16	J	A	
121.					Buy (add'l)	10/06/16	J		
122. **Nuveen Limited Term Muni	A	Dividend	K	T	Sold (part)	01/21/16	J	A	
123.					Sold (part)	04/21/16	J	A	
124.					Buy (add'l)	05/12/16	J		
125.					Buy (add'l)	08/26/16	J		
126.					Buy (add'l)	10/06/16	J		
127. **Oppenheimer Developing Markets Fund Class I	A	Dividend	J	T	Buy (add'l)	01/25/16	J		
128.					Sold (part)	04/21/16	J	A	
129.					Sold (part)	08/24/16	J	A	
130. **SPDR DJ Wilshire International	A	Dividend	J	T	Sold (part)	01/25/16	J	A	
131.					Sold (part)	04/25/16	J	A	
132.					Sold (part)	08/26/16	J	A	
133.					Sold (part)	10/06/16	J	A	
134. **T Rowe Price Institutional Float	A	Dividend	J	T	Buy (add'l)	04/25/16	J		
135. **Vanguard REIT Viper	A	Dividend	J	T	Sold (part)	01/25/16	J	A	
136.					Sold (part)	04/25/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	08/26/16	J	A	
138.					Sold (part)	10/06/16	J	A	
139. **American Centmy Small Cap Growth Fund		None	J	T	Buy (add'l)	01/25/16	J		
140.					Sold (part)	05/12/16	J	A	
141.					Buy (add'l)	08/26/16	J		
142. **Artisan International Fund #662	A	Dividend	J	T	Buy (add'l)	01/25/16	J		
143.					Sold (part)	05/12/16	J	A	
144.					Sold (part)	08/24/16	J	A	
145. **Blackrock Global Long/Short Credit Fund		None	J	T	Buy (add'l)	04/25/16	J		
146.					Buy (add'l)	08/26/16	J		
147. **iShares Russell Mid Cap		None			Sold	01/25/16	J	A	
148. **Streling Stratton Small Cap Value Fund	A	Dividend	J	T	Buy (add'l)	01/27/16	J		
149.	A	Distribution			Sold (part)	04/25/16	J	A	
150.					Sold (part)	05/12/16	J	A	
151.					Buy (add'l)	08/26/16	J		
152. **T Rowe Price Real Estate Fund	A	Dividend	J	T	Sold (part)	04/21/16	J	A	
153. **Federated Government Obligations	A	Interest	J	T	Buy	09/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	10/03/16	J		
155.					Buy (add'l)	11/01/16	J		
156.					Buy (add'l)	12/01/16	J		
157. **Invesco Balanced Risk Commodity Strategy	A	Dividend	J	T	Buy (add'l)	08/26/16	J		
158. **JP Morgan High Yield Fund	A	Dividend	J	T	Buy (add'l)	04/25/16	J		
159.			J	T	Buy (add'l)	08/26/16	J		
160. **PIMCO Commodity Real RET Strategy	A	Dividend	J	T	Buy	12/14/16	J		
161. Wells Fargo Brokerage Account Contains:									
162. **Wells Fargo Cash Accounts		None	J	T					
163. **US Treasury Bill due 05/26/16	C	Interest			Redeemed	05/26/16	P1	A	
164. **US Treasury Bill due 01/05/17		None	P1	T	Buy	07/05/16	P1		
165. AT&T STOCK	A	Dividend	J	T					
166. NEWSCORP STOCK	A	Dividend	J	T					
167. AHOLD DELHAIZE	A	Dividend	K	T	Open	07/24/16	K		
168. Regions Bank	A	Interest	J	T	Open	06/30/16	M		
169.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Karen L. Henderoson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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