AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2015

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BREEN, J. DANIEL	WESTERN DISTRICT OF TENNESSEE	05/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
CHIEF U.S. DISTRICT JUDGE/ACTIVE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2015 **to** 12/31/2015
7. Chambers or Office Address		
444 UNITED STATES COURTHOUSE 111 SOUTH HIGHLAND AVENUE JACKSON, TN 38301		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	05/13/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Bar Association	11/13/2015	Nashville, TN	Meeting	travel
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	05/13/2016

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Bancourp South	A	Interest	K	T					
2. IRA - Fidelity Mag.	B	Dividend	J	T	Sold (part)	09/30/15	J	A	
3. CMA ACCT. - MERRILL LYNCH									
4. Idacorp, Inc.	A	Dividend	J	T					
5. Proctor & Gamble CS	A	Dividend	K	T					
6. AT&T CS	A	Dividend	J	T					
7. Coca-Cola CS	A	Dividend	J	T					
8. E.I. Dupont CS	A	Dividend	J	T					
9. Johnson & Johnson CS	A	Dividend	J	T					
10. Waddell & Reed Acct									
11. Waddell & Reed Strategy Port	A	Dividend	J	T	Sold (part)	01/20/15	J	A	
12.					Sold (part)	07/08/15	J	A	
13. Waddell & Reed Balanced Port	A	Dividend	J	T	Sold (part)	03/16/15	J	A	
14. Waddell & Reed Bond Port	A	Dividend	J	T	Sold (part)	01/20/15	J	A	
15.					Sold (part)	03/16/15	J	A	
16.					Sold (part)	07/08/15	J	A	
17. Waddell & Reed Core Equity	A	Dividend	J	T	Sold (part)	01/20/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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BREEN, J. DANIEL	05/13/2016

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Waddell & Reed Core Equity	A	Dividend	J	T	Sold (part)	03/16/15	J	A	
19.					Sold (part)	07/08/15	J	A	
20. Waddell & Reed Growth Port	A	Dividend	J	T					
21. Waddell & Reed High Income Port	A	Dividend	J	T	Sold (part)	01/20/15	J	A	
22.					Sold (part)	03/16/15	J	A	
23.					Sold (part)	07/08/15	J	A	
24. Waddell & Reed Science & Tech Port	A	Dividend	J	T	Sold (part)	01/20/15	J	A	
25.					Sold (part)	07/08/15	J	A	
26. Waddell & Reed Small Cap Growth Port	A	Dividend	J	T					
27. Waddell & Reed Trgt. Fd. Intl. Growth Port	A	Dividend	J	T					
28. Waddell & Reed Value Port	A	Dividend	J	T					
29. Waddell & Reed Global Ntrl. Res. Port	A	Dividend	J	T					
30. Waddell & Reed Mid Cap Gwth Port	A	Dividend	J	T					
31. Waddell & Reed Target Microcap Gwth	A	Dividend	J	T					
32. Waddell & Reed Target Energy Port	A	Dividend	J	T					
33. VOYA Acct.									
34. VOYA Gwth and Inc. Portfolio	A	Dividend	J	T					See Part VIII(a)

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. Fidelity Contrafund	A	Dividend			Sold	08/15/15	J	A	
36. VOYA Large Cap Gwth Portfolio	A	Dividend	J	T	Buy	08/15/15	J	A	
37. VOYA FMR SM Diversified Midcap	A	Dividend	J	T					
38. VOYA JP Morgan Smapp Cap Core Eq. Port	A	Dividend	J	T					
39. VOYA Am. Fds. Int'l Port	A	Dividend	J	T					
40. IRA ACCOUNT - MERRILL LYNCH									
41. Ivy Asset Strategy Fd.	A	Dividend	J	T					
42. J.P. Morgan Strategic Inc. Fd.	A	Dividend	J	T					
43. Dreyfus App. Fd.	A	Dividend	J	T					
44. Eaton Vance Floating Rate Fd.	A	Dividend	J	T					
45. Coca Cola - CS	A	Dividend	J	T					
46. Exxon Mobil - CS	A	Dividend	J	T					
47. General Electric - CS	A	Dividend	J	T					
48. Mainstay Large Cap Growth Fd.	A	Dividend	J	T					
49. Mainstay Marketfield Fd.	A	Dividend			Sold	01/07/15	J	A	
50. Johnson & Johnson - CS	A	Dividend	J	T					
51. McDonalds - CS	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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52. Oakmark Select Fd.	A	Dividend	J	T					
53. Oppenheimer Int'l Growth Fd.	A	Dividend	J	T					
54. Permanent Portfolio Fd.	A	Dividend	J	T					
55. Pioneer Strategic Inc. Fd.	A	Dividend	J	T					
56. Pimco Foreign Bd Fd.	A	Dividend	J	T	Buy	04/10/15	J		
57. Prudential Short Term Corp. Fd.	A	Dividend	J	T					
58. Pimco Income Fd.	A	Dividend	J	T	Buy	04/10/15	J		
59. TCW Total Returns Bd. Fd.	A	Dividend			Sold	01/07/15	J	A	
60. Wal-Mart Stores - CS	A	Dividend	J	T					
61. Wells Fargo Adv. Small Cap Fd.	A	Dividend			Sold	04/10/15	J	A	
62. Western Asset Core Plus Bd Fd.	A	Dividend			Sold	04/10/15	K	A	
63. IRA - MERRILL LYNCH									
64. Clearbridge Agg. Gwth Fd.	A	Dividend	J	T					
65. Consumer Discretionary SPDR	A	Dividend	J	T					
66. Deleware Value Fd.	A	Dividend	J	T					
67. Federated Int'l Leaders Fd.	A	Dividend	J	T					
68. iShares TIPS	A	Dividend	J	T	Buy	01/07/15	J		

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69. Hotchkis and Wiley Mid Cap	A	Dividend	J	T					
70. iShares 3-7 yr Treas. Bd	A	Dividend	J	T	Buy	01/07/15	J		
71. TCW Total Return Bd. Fd.	B	Dividend			Sold	01/07/15	K	A	
72. iShares MBS ETF	A	Dividend	J	T	Buy	01/07/15	J		
73. Health Care Select SPDR	A	Dividend	J	T					
74. Market Vectors J.P.	A	Dividend	J	T	Buy	01/07/15	J		
75. First Tr. Exchange Traded Fd.	A	Dividend	J	T					
76. Materials Select Sector	A	Dividend	J	T	Buy	01/07/15	J		
77. First Tr. ISE Cloud Comp	A	Dividend	J	T					
78. Powershares Global	A	Dividend	J	T	Buy	01/07/15	J		
79. First Eagle Global	A	Dividend	J	T					
80. Powershares Preferred Portfolio	A	Dividend	J	T	Buy	01/07/15	J		
81. iShares Russell 1000 Gwth	A	Dividend	J	T					
82. Sector SPDR Consumers STPL	A	Dividend	J	T	Buy	01/07/15	J		
83. iShares NASDAQ Biotech	A	Dividend	J	T					
84. Sector SPDR Energy	A	Dividend	J	T	Buy	01/07/15	J		
85. iShares Russell Midcap	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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86. iShares 1BOXX	A	Dividend	J	T	Buy	01/07/15	J		
87. Oakmark Select Fd.	A	Dividend			Sold	01/07/15	K	A	
88. Sector SPDR Industrial	A	Dividend	J	T	Buy	01/07/15	J		
89. iShares MSCI EAFE	A	Dividend	J	T					
90. Sector SPDR Utilities	A	Dividend	J	T	Buy	01/07/15	J		
91. iShares RS 2000 Value	A	Dividend	J	T					
92. SPDR Barclays HiYield Bd	A	Dividend	J	T	Buy	01/07/15	J		
93. iShares RS 2000 Gwth	A	Dividend	J	T					
94. iShares Russell 1000 Value	A	Dividend	J	T	Buy	01/07/15	J		
95. Loomis Sayles Strategic Inc.	A	Dividend	K	T					
96. Nuveen Real Estate Fd.	A	Dividend	J	T					
97. Sector SPDR Financial	A	Dividend	J	T					
98. T. Rowe Price Diversified Sm Cap	A	Dividend	J	T					
99. Templeton Glbl. Bond Fd.	A	Dividend	K	T					
100. Oakmark Int'l. Fd.	A	Dividend	J	T					
101. Permanent Portfolio Fd.	A	Dividend	J	T					
102. Vanguard Consumer Staples	A	Dividend	J	T					

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103. Vanguard Financials ETF	A	Dividend	J	T	Buy	01/07/15	J		
104. Vanguard Materials ETF	A	Dividend	J	T					
105. Vanguard Telecom SRVCS ETF	A	Dividend	J	T	Buy	01/07/15	J		
106. Vanguard Info. Tech.	A	Dividend	J	T					
107. Vanguard Intermediate Bd ETF	A	Dividend	J	T	Buy	01/07/15	J		
108. Vanguard Energy ETF	A	Dividend	J	T					
109. Vanguard Short Term Bd	A	Dividend	J	T	Buy	01/07/15	J		
110. Merrill Lynch Money Market Fd.	A	Interest	L	T					
111. Vanguard Indust. ETF	A	Dividend	J	T					
112. Vanguard MSCI Emerg. Mkts.	A	Dividend	J	T					
113. Merrill Lynch Retirement Reserves	A	Interest	J	T					
114. VOYA Corp. Leaders Fd.	A	Dividend	J	T					See Port VIII(b)
115. Prudential Short Term Bd. Fd.	A	Dividend	J	T					
116. Metropolitan West Total Ret.	A	Dividend			Sold	04/10/15	J	A	
117. Procter & Gamble CS	A	Dividend	J	T					
118. Waddell & Reed Advisors Small Cap	A	Dividend	J	T					
119. Waddell & Reed Accumulative	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
BREEN, J. DANIEL	05/13/2016

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
120. Waddell & Reed Core Investment	A	Dividend	J	T					
121. Waddell & Reed Advisors Cash Management	A	Dividend	J	T					
122. BanCorp South CD	A	Interest	J	T					
123. Ivy VIP Asset Strategy	A	Dividend	K	T					
124. Ivy VIP Balanced Fd.	A	Dividend	J	T					
125. Ivy Energy Fd.	A	Dividend	J	T					
126. Ivy Global Nat'l Res. Fd.	A	Dividend	K	T					
127. Ivy Intl Grwth Fd.	A	Dividend	J	T					
128. Ivy Sci NC Tech Fd.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

(a) During 2015, Ing Financial became VOYA Financial. Therefore, each of the funds listed in lines 33 through 39 are now VOYA Funds.

(b) I mistakenly listed VOYA Corporate Leaders Fd as Vanguard Corp. Leaders Fund in my 2014 report.

Name of Person Reporting	Date of Report
BREEN, J. DANIEL	05/13/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ J. DANIEL BREEN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544